Iminium and Enamine Activation: Methods for Enantioselective Organocatalysis

Thesis by

Sean Pomeroy Brown

In Partial Fulfillment of the Requirements for the Degree of

Doctor of Philosophy



California Institute of Technology

Pasadena, California

2005

(Defended February 22, 2005)

© 2005

Sean Pomeroy Brown

All Rights Reserved

For

Florence Elizabeth Southall

Acknowledgements

I will always be grateful to Prof. David MacMillan for creating a truly exciting and highly rewarding graduate experience. Dave has taught me a great amount about chemistry and science in general. I admire his creativity and desire for excellence, which have inspired me during my graduate career.

I also appreciate the good-natured environment that the Caltech chemistry faculty and staff have developed. In particular, I would like to thank Prof. Linda Hsieh Wilson, Prof. Nathan Lewis, and Prof. Dennis Dougherty for reading this thesis and sitting on my defense committee.

I feel fortunate to have been a member of the terrific group of highly dedicated scientists in the MacMillan group. The group has tripled in size since I arrived and the current and ex-members are too numerous for me to thank everybody, so I would like to thank the whole MacMillan group past and present. They have provided a tremendously educational atmosphere in which to conduct science. I always felt the freedom to ask questions and state my opinions while still being challenged and encouraged. Most importantly, I am glad that the group also takes intramural sports too seriously. I have enjoyed wins, losses (even though it didn't look like I did), championships, and the all important chalkboard planning. In particular, I would like to thank the group members that I directly collaborated with on projects.

Finally, I would like to thank my family. My parents, grandmother, and brother have supported me throughout my education (including junior college), and always provided an oasis of good food and video games when I needed a break. I appreciate my parents for allowing me to set my own goals and for encouraging me to attain them. My

"little" brother, Darren, continually inspires me through his patience, humor, and good nature. For my grandmother's enduring love and kindness I have dedicated this thesis in her memory.

Most importantly, I would like to thank my best friend and wife, Catrine. Without her, I cannot imagine having done any of this. Words cannot express my gratitude for the love, patience, and support she has given me during my graduate work.

Abstract

Further development of an organocatalytic LUMO-lowering activation strategy utilizing chiral imidazolidinone salts has been described. Enantioselective catalytic Friedel-Crafts alkylations of furans and thiophenes have been achieved with good yields and high levels of enantioselectivity. Furthermore, this methodology has been utilized to access enantioenriched \square -chiral esters.

The organocatalytic iminium activation strategy has been applied to the development of an enantioselective Mukaiyama-Michael reaction for the construction of the []-butenolide architecture. This reaction is viable due to imidazolidinone catalysts' ability to partition silyloxyfurans to react through an unprecedented 1,4-addition manifold to [],[]-unstaturated aldehydes. This Mukaiyama-Michael methodology has also been extended to provide access to []-amino acids by use of silyloxyoxazoles.

The imidazolidinone framework, developed for iminium activation, was also demonstrated to participate in enamine activation of aldehydes to perform the enantioselective
☐-chlorination of aldehydes. A first generation catalyst provided good yields and high enantioselectivities at −30 °C. Design of a second generation catalyst afforded high levels of reaction efficiency and enantioselectivity at ambient temperature.

Table of Contents

Acknowledg	ements i
Abstract	v
Table of Cor	ntentsvi
List of Scher	mesx
List of Figur	es xi
List of Table	esxii
Abbreviation	nsx
Chapter 1	Enantioselective Organocatalysis
I.	Introduction
II.	Development of a General Approach to Enantioselective
Orga	nocatalysis
	LUMO-Lowering Catalysis
	Chiral Imidazolidinone Catalysts
	HOMO-Raising Catalysis
III.	Summary of Thesis Research
IV.	References
Chapter 2	Enantioselective Organocatalytic Friedel-Crafts Alkylation of Furans
I.	Introduction
	Enantioselective Friedel-Crafts Alkylations
	Organocatalysis 1

II.	Results and Discussion	12
	First Generation Imidazolidinone Catalyst	12
	Second Generation Imidazolidinone Catalyst	18
III.	Conclusion	21
IV.	Experimental Section	23
	General Information	23
	Procedures	24
	Stereochemical Analysis	34
V.	References	39
Chapter 3	Enantioselective Organocatalytic Mukaiyama-Michael React	tion
I.	Introduction	40
	The []Butenolide Architecture	40
	The Mukaiyama-Michael Reaction	41
	Enantioselective Catalytic Mukaiyama-Michael Reactions	41
	Mukaiyama-Michael vs. Mukaiyama-Aldol	42
	Organocatalysis of the Michael Reaction	43
	Iminium Catalyzed Michael Reactions	44
II.	Results and Discussion	44
	Organocatalytic Access to the []Butenolide Architecture	44
	Organocatalytic Access to []-amino acids	48
III.	Conclusion	50
IV.	Experimental Section	51

	General Information	51
	Procedures	52
V.	References	64
Chapter 4	Enantioselective Organocatalytic []-Oxidation of Aldehydes	
I.	Introduction	66
	☐-Oxidation of Carbonyls	66
	Enantioselective Catalytic Approaches to the []-Oxidation of Carl	bonyls 67
	Proline Catalyzed Reactions	68
П.	Results and Discussion	69
	Organocatalyzed []-Oxidation of Carbonyls	69
	Other Approaches to Organocatalyzed []-Oxidation of Carbonyls	73
	Blackmond's []-Oxidation Mechanism	74
	The Role of Proline Solubility	77
	Soluble Proline Mimics	79
III.	Conclusion	80
IV.	Experimental Section	82
	General Information	82
	Procedures	83
	Stereochemical Analysis	91
	Procedure for Linearity Experiment	92
	Kinetics Experiment	93
	Visual Comparison Experiment	03

V.	References95
Chapter 5	Enantioselective Organocatalytic []-Chlorination of Aldehydes
I.	Introduction98
	The Utility of Enantioenriched Halogen Stereocenters98
	Asymmetric Construction of Halogen Stereocenters
	Enantioselective Catalytic Construction of Halogen Stereocenters99
	Imidazolidinone Catalyzed Enamine Activation
П.	Results and Discussion
	First Generation Enantioselective Catalytic ☐-Chlorination
	Development of a Room Temperature Enantioselective []-Chlorination 105
	Second Generation Enantioselective Catalytic []-Chlorination
	Enantioselective Single operation Construction of Terminal Epoxides . 111
III.	Conclusion
IV.	Experimental Section
	General Information
	Procedures
	Stereochemical Analysis
V.	References
Appendix 1.	X-Ray Crystallographic Data for (2S,3R)-5-(N-Methyl-N-((S)-1-
	phenylethyl)amino)-2-(benzamido)-2,3-dimethylpentanoic
	Acid•Hydrochloride

List of Schemes

Chapter 1	Enan	tioselective Organocatalysis
Sche	me 1.	Lewis acid catalysis4
Chapter 2	Enan	tioselective Organocatalytic Friedel-Crafts Alkylation of Furans
Sche	me 1.	Catalytic cycle of the organocatalytic Frield-Crafts alkylation of
		furans
Chapter 3	Enan	tioselective Organocatalytic Mukaiyama-Michael Reaction
Sche	me 1.	Butenolide containing natural products
Sche	me 2.	Catalytic cycle of the organocatalyzed Mukaiyama-Michael
		reaction
Chapter 4	Enan	tioselective Organocatalytic ∏-Oxidation of Aldehydes
Sche	me 1.	Strategies for the preparation of <i>[</i>]-oxy carbonyl compounds 67
Chapter 5	Enan	tioselective Organocatalytic ∏-Chlorination of Aldehydes
Sche	me 1.	Pseudo C ₂ symmetry
Sche	me 2.	Increased catalyst steric bulk leads to product configurational
		stability

List of Figures

Chapter 2	Enai	ntioselective Organocatalytic Friedel-Crafts Alkylation of Fura	ans
Figur	e 1.	Mayr's study of the relative reactivity of nucleophilic ☐ systems	s 13
Figur	e 1.	Computation model of amine catalysts	19
Figur	e 1.	Computation model of catalysts iminium ions	19
Chapter 4	Enai	ntioselective Organocatalytic []-Oxidation of Aldehydes	
Figur	e 1.	Blackmond's reaction calorimetry data	74
Figur	e 2.	Blackmond's non-linear relationship data	75
Figur	e 3.	Enantiomeric excess of the product 6 vs. the enantiomeric exce	SS
		of proline (5)	77
Figur	e 4.	The percent intial rate of homogeneous reaction as a function o	f
		time	78
Figur	e 5.	Visual comparison of the homogeneous and heterogeneous	
		reactions	79
Chapter 5	Enai	ntioselective Organocatalytic []-Chlorination of Aldehydes	
Figur	e 1.	Secondary amine catalyst architecture	102
Figur	e 2.	Proposed transition states for organocatalyzed []-chlorination	102
Figur	e 3.	Exposure of (S)-2-chlorooctanal (13) to catalyst 11	107
Figur	e 4.	Exposure of (S)-2-chlorooctanal (13) to catalyst 21	111

List of Tables

Chapter 2	Enant	ioselective Organocatalytic Friedel-Crafts Alkylation of Furans
Table	1.	The effect of solvent on the alkylation of 2-methylfuran
Table	2.	The effect of imidazolidone architecture on the alkylation of 2-
		methylfuran
Table	3.	The effect of acid cocatalyst on the alkylation of 2-methylfuran . 15
Table	4.	The effect of water on the alkylation of 2-methylfuran
Table	5.	The effect of non-polar solvents on the alkylation of
		2-methylfuran
Table	6 .	The effect of cocatalyst on the alkylation of
		2-methoxymethylfuran
Table	· 7 .	Organocatalyzed conjugate addition of furans and thiophenes 20
Table	8.	Organocatalyzed conjugate addition of furans to [],[]-unsaturated
		aldehydes
Chapter 3	Enant	ioselective Organocatalytic Mukaiyama-Michael Reaction
Table	1.	The effect of protect nucleophiles on the organocatalyzed
		Mukaiyama-Michael
Table	2.	The effect of acid cocatalyst on the organocatalyzed Mukaiyama-
		Michael
Table	3.	Organocatalyzed Mukaiyama-Michael: aldehyde substrate
		scope

Table 4.	Organocatalyzed Mukaiyama-Michael: silyloxy furan substrate
	scope
Chapter 4 E	nantioselective Organocatalytic ∏-Oxidation of Aldehydes
Table 1.	Effect of Solvent on the Asymmetric []-Oxyamination70
Table 2.	Effect of catalyst loading on organocatalyzed []-oxidation71
Table 3.	Enantioselective <i>□</i> -oxyamination: substrate scope
Chapter 5 E	nantioselective Organocatalytic ∏-Chlorination of Aldehydes
Table 1.	Effect of catalyst and chlorinating reagent on []-chlorination 102
Table 2.	Effect of solvent on the organocatalyzed <i>□</i> -chlorination 103
Table 3.	Enantioselective ☐-chlorination: substrate scope
Table 4.	Ambient temperature ☐-chlorination utilizing catalyst 11 106
Table 5.	Enantioselective ☐-chlorination: substrate scope
Table 6.	Effect of solvent on the organocatalyzed <i>□</i> -chlorination 112
Table 7.	Enantioselective //-chlorination: substrate scope

Abbreviations

Cbz: Benzyloxycarbonyl

CI: Chemical Ionization

CNAcOH: Cyanoacetic acid

DBA: Dibromoacetic acid

DCA: Dichloroacetic acid

DFA: Difluoroacetic acid

DME: 1,2-Dimethoxyethane

DMSO: Dimethylsulfonyl Oxide

DNBA: 2,4-Dinitrobenzoic acid

dr: diastereomeric ratio

ee: Enantiomeric excess

EI: Electrospray Ionization

EtOAc: Ethyl Acetate

FAB: Fast Atom Bombardment ionization

GLC: Gas Liquid Chromatography

h: Hour

HOAc: Acetic acid

HOMO: Highest occupied molecular orbital

HPLC: High performance liquid chromatography

HRMS: High resolution mass spectroscopy

Hz: Hertz

IR: infrared

LUMO: Lowest unoccupied molecular orbital

M: Molar

m: meta

mg: milligram

min: minute

mL: milliliter

mmol: millimole

MsOH: Methanesulfonic acid

mT: millitorr

NMR: Nuclear magnetic resonance spectroscopy

o: ortho

p: para

ppm: Parts per million

PTSA: *p*-Toluene sulfonic acid

TBS: *tert*-Butyldimethylsilyl

tert: tertiary

TIPS: Triisopropylsilyl

TMS: Trimethylsilyl

TCA: Trichloroacetic acid

TfOH: Trifluoromethanesulfonic acid

THF: Tetrahydrofuran

TLC: Thin layer chromatography

XRD: X-ray diffraction