Chapter 1

Current Frontiers in Olefin Metathesis

Olefin metathesis has emerged as a versatile and powerful tool for organic and polymer chemistry.¹ The development of commercially available catalysts 1-4 (Figure 1.1) has been essential to the widespread use of this reaction. The early ruthenium-based complex 2^2 is significantly more stable towards water, air and functionality than molybdenum-based complex 1, but 1 has greater activity, especially toward sterically demanding and electron-deficient olefins.³

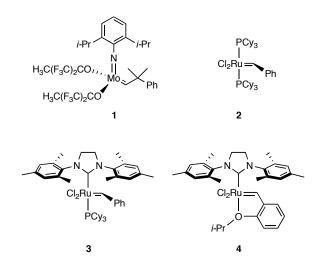


Figure 1.1. Commercially available catalysts for olefin metathesis.

The use of *N*-heterocyclic carbenes (NHCs) as ligands for ruthenium closed the gap between molybdenum and ruthenium systems.⁴ Ruthenium-based NHC complexes, such as **3**, possess activity similar to molybdenum-based complexes yet maintain the high functional group tolerance and stability of **2**.⁵ This combination of stability (toward air and moisture), selectivity (for olefins over other functional groups) and activity has led to ruthenium-based systems being those most commonly used. Nevertheless, challenges remain in all three areas for ruthenium catalysts. This thesis will describe efforts to design and synthesize more selective ruthenium-based olefin metathesis catalysts and more active ruthenium-based olefin metathesis catalysts. Investigation of different

catalysts' stabilities and efforts to design more stable catalysts lies outside of the scope of this thesis.⁶

There are three areas of selectivity that are current topics of interest for ruthenium-based olefin metathesis catalysts: enantioselectivity, diastereoselectivity (E/Z ratios), and chemoselectivity (selective reactions with differentially substituted olefins). The work described in this thesis has focused on the development of enantioselectivity in ruthenium systems. Chapter 2 describes the synthesis of ruthenium catalysts for enantioselective olefin metathesis. The application of these catalysts to asymmetric ringclosing metathesis is described in Chapter 3. The application of these catalysts to asymmetric cross and ring-opening cross metathesis is described in Chapter 4.

The development of more active ruthenium-based olefin metathesis catalysts is an area of ongoing research. It is widely accepted that there are two phases to the olefin metathesis catalytic cycle: initiation and propagation (Figure 1.2).⁷

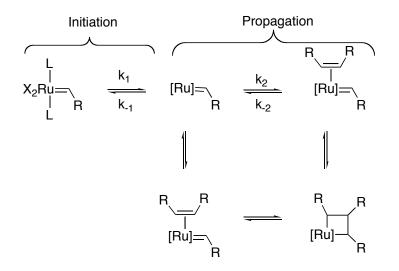


Figure 1.2. Catalytic cycle of olefin metathesis.

There are three methods to increasing the activity of the system: increasing the initiation rate (k_1) as that is the rate-limiting step, increasing the amount of catalyst that is

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propagating (k_2/k_1) , or expanding the scope of olefins that can react with the catalyst $(k_2/k_2$ for a class of olefins). Replacing one of the phosphine ligands of **2** with an NHC in **3** resulted in a 75-fold decrease in k_1 but a 1000-fold increase in the ratio k_2/k_1 .⁷ This decrease in k_1 has been offset by a great deal of successful research focused on increasing the initiation rates of NHC-containing catalysts.⁸ In addition, the use of NHC-containing catalysts expanded the substrate scope of ruthenium-catalyzed olefin metathesis, as, for the first time, electron deficient olefins such as acrylates were viable substrates.⁹ Nevertheless, continued expansion of the substrate scope remains essential as ruthenium-catalyzed olefin metathesis reactions with very hindered substrates remain challenging. Examples include: RCM and CM to form tetrasubstituted olefins, CM of olefins with bulky substitution at the allylic position, and polymerization of very sterically encumbered olefins. The design and synthesis of a family of ruthenium catalysts with increased activity for RCM to form tetrasubstituted olefins is described in Chapter 5.

¹ For recent reviews on olefin metathesis, see: (a) Grubbs, R. H. *Handbook of Metathesis;* Wiley-VCH: Weinheim, 2003. (b) Fürstner, A. *Angew. Chem., Int. Ed.* **2000**, *39*, 3012.

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⁶ Soon Hong has performed a great deal of work in this area, and his thesis should include a complete discussion of recent work on catalyst stability.

⁷ Sanford, M. S.; Love, J. A.; Grubbs, R. H. *J. Am. Chem. Soc.* **2001**, *123*, 6543 and references therein.

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