

Chapter 4

Using Metal Complex Reduced States to Monitor the Oxidation of DNA*

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4.1 Introduction

Cellular DNA is continually under the threat of oxidation from a host of sources.¹⁻⁴ Left unrepaired, oxidative damage to DNA leads to health problems, including cancer.⁵⁻⁷ In order to improve our understanding of the chemical mechanisms underlying oxidative damage, as well as the biological factors affecting the prevalence, detection, and repair of such damage, it is necessary to utilize a wide variety of chemical and biological tools and techniques.

One especially useful tool for the study of oxidative damage in DNA is DNA-mediated charge transport (CT). Due to orbital overlap between the π systems of neighboring nucleobases, DNA can serve as a bridge in long-range electron transfer (ET) reactions. Unlike photocleavage mechanisms, many of which result in the formation of nonspecific damage by reactive oxygen species,⁸⁻¹⁰ or photoligation mechanisms, which lead to the formation of unnatural adducts between metal complexes and DNA,¹¹ DNA-mediated CT results in preferential damage at sites of low oxidation potential. Oxidative events at low potential guanine sites ($E^\circ[\text{G}^{\bullet+}/\text{G}] = 1.29 \text{ V vs. NHE}$)¹² can be initiated by many different DNA-bound oxidants, including organic molecules, transition metal complexes, and DNA base analogues,¹³⁻¹⁸ allowing for the study of DNA oxidation in a wide variety of environments and sequence contexts. Additionally, oxidative probes are capable of inducing damage in regions far from the site of charge injection. In solution studies, damage at guanine sites was observed almost 200 Å away from a DNA-bound oxidant.¹⁹ Recently, our laboratory observed the propagation of robust redox signals over a distance of 100 base pairs, or 340 Å, in DNA monolayers on gold electrodes.²⁰ DNA CT may fulfill biological roles as well. The observed funneling of oxidative damage to regions of mitochondrial DNA that contain genes necessary for replication may serve as a check against the propagation of damaged genetic material in situations of high oxidative stress.²¹ DNA CT also may be involved in other capacities within the cell,²² for example, to activate transcription^{23,24} and to perform long-range signaling.²⁵

In order to study such reactions in the laboratory, it is necessary to have a convenient method for initiating DNA CT reactions.²⁶ Transition metal complexes have proven especially amenable for use as oxidants in the study of DNA damage due to their synthetic

versatility and the ability to tune their redox properties. In addition, an appropriate ligand set enables metal complexes to interact strongly with DNA through intercalative binding, allowing for the initiation of long-range DNA-mediated oxidation by optical excitation of the bound complex. Complexes of the type $[\text{Rh}(\phi)_2(\text{L})]^{3+}$ (ϕ = 9,10-phenanthrenequinone diimine), where L = bpy (2,2'-bipyridine) or phen (phenanthroline), are especially strong photooxidants. These complexes, which bind DNA through intercalation of the ϕ ligand, were used to establish the ability of DNA to propagate charge.²⁷ Photoexcitation of DNA-bound $[\text{Rh}(\phi)_2(\text{L})]^{3+}$ at 365 nm leads to injection of a positive charge into the DNA base stack, which then equilibrates at sites of low redox potential (guanine and guanine repeats).^{19,28} Iridium complexes have also been used to initiate DNA-mediated CT processes. The complex $[\text{Ir}(\text{ppy})_2(\text{dppz})]^+$ (ppy = 2-phenylpyridine; dppz = dipyrido[2,3-*a*:2',3'-*c*]phenazine) intercalates into DNA via the dppz ligand. Interestingly, from the excited state, the complex is a strong enough reductant and oxidant to promote both the reduction and the oxidation of DNA.²⁹ This remarkable ability has enabled characterization of DNA-mediated electron transfer and DNA-mediated hole transfer in identical sequence contexts, showing that both have a shallow distance dependence.^{30,31} Tricarbonyl rhenium complexes are of interest due to the strong infrared absorption of the carbonyl ligands. Excitation and reduction of such complexes can be followed temporally by observing dynamic changes in the stretching frequencies of the carbonyl ligands.^{32–34} In addition, complexes such as $[\text{Re}(\text{CO})_3(\text{dppz})(\text{L})]^{n+}$ act as “light switches”,³⁵ luminescing only when bound to DNA.^{36–39} Such interesting photophysical properties provide additional means of monitoring DNA CT events.

Due to the large number of factors that affect the relative efficiency of DNA CT, such as DNA binding strengths, redox properties, and photophysical behavior of various metal complexes, it is necessary to compare DNA oxidants in identical environments. In the present study, we have examined the ability of three metal complexes to report on DNA-mediated oxidation events through the appearance of their reduced states. We have focused on investigation of the reduced states of $[\text{Rh}(\phi)_2(\text{bpy}')]^{3+}$, $[\text{Ir}(\text{ppy})_2(\text{dppz}')]^+$, and $[\text{Re}(\text{CO})_3(\text{dppz})(\text{py}')]^+$ [**Rh**, **Ir**, and **Re**, respectively; bpy' = 4-methyl-4'-(butyric

acid)-2,2'-bipyridine; dppz' = 6-(dipyrido[3,2-*a*:2',3'-*c*]phenazin-11-yl)hex-5-ynoic acid; py' = 3-(pyridin-4-yl)-propanoic acid] and their DNA-conjugates (**Rh-DNA**, **Ir-DNA**, and **Re-DNA**) in aqueous and organic solutions, as well as their efficiencies of DNA photooxidation. The structures of the complexes and conjugates are shown in Scheme 4.1. We have used steady-state spectroelectrochemistry and nanosecond transient absorption (TA) spectroscopy to record the electronic spectra of the reduced states of the metal complexes and the charge transfer products of the metal-DNA conjugates, respectively. In addition, we have compared these spectral profiles with the redox properties and efficiency of DNA photooxidation of the three complexes.

4.2 Experimental Section

4.2.1 Materials

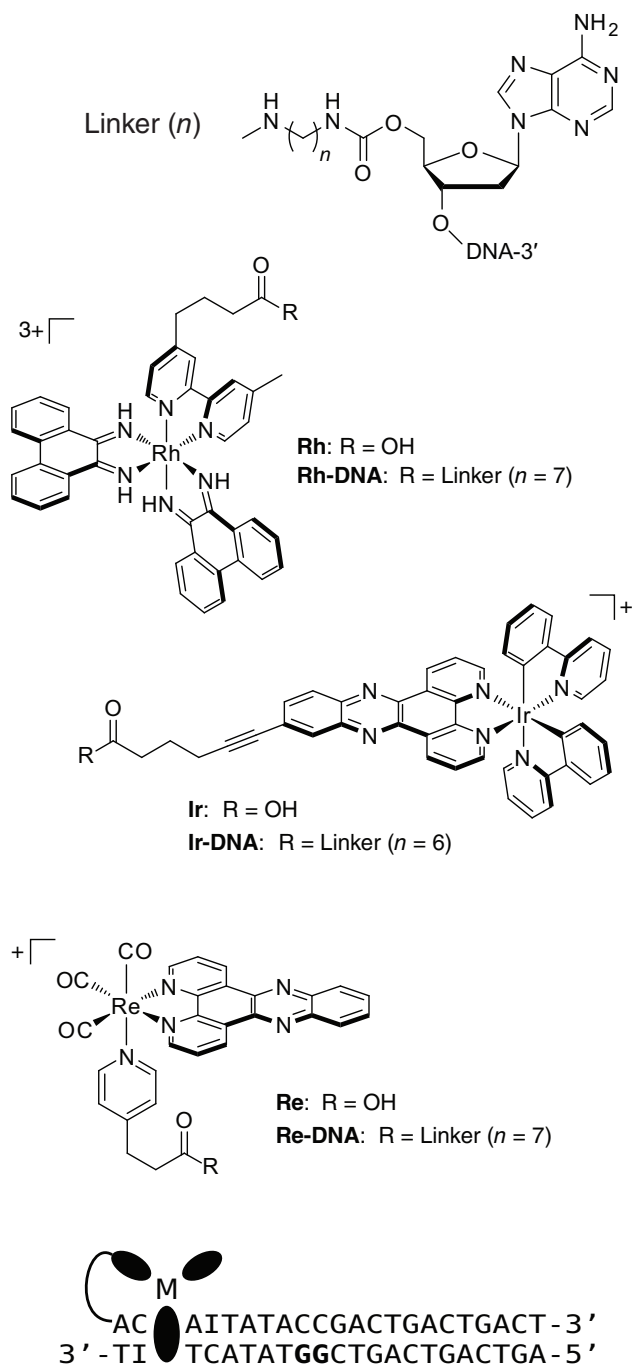
Unless indicated otherwise, all reagents and solvents were of reagent grade or better and were used as received without further purification. All reagents for DNA synthesis were purchased from Glen Research (Sterling, VA).

4.2.2 Synthesis of Metal Complexes

The synthesis of [*fac*-Re(CO)₃(dppz)(py')]Cl is described completely in Section 2.2.2 on Page 59. The complexes [Ir(ppy)₂(dppz')]Cl and [Rh(phi)₂(bpy')]Cl₃ were gifts from coworkers or were prepared using established protocols.^{29,40}

4.2.3 DNA Synthesis and Modification

Oligonucleotides were prepared using standard solid-phase phosphoramidite chemistry on an Applied Biosystems 3400 DNA synthesizer. Covalent tethers were appended to the 5'-ends of resin-bound oligonucleotides in two ways. For the **Ir-DNA** conjugate, an amino-terminated C₆-alkyl phosphoramidite was added in the last step of the automated synthesis; for the **Rh**- and **Re-DNA** conjugates, a diammonononane linker was added as previously described.⁴¹ Agitation of the amine modified strands in the presence of metal complex, *O*-(benzotriazol-1-yl)-*N,N,N',N'*-tetramethyluronium hexafluorophosphate (HBTU),



Scheme 4.1: Structures of complexes and DNA sequences used in biochemical and spectroscopic experiments. The complexes were used free in solution or were covalently attached to the DNA sequence shown via an alkyl linker ($n = 6$ or 7). The 5'-GG-3' site is shown in bold. Physical models suggest that the tethered complexes intercalate 1–3 bases from the end of the duplex.

1-hydroxybenzotriazole hydrate (HOBt), and diisopropylethylamine (DIEA) in anhydrous DMF resulted in covalent attachment of the metal complexes to the DNA. Cleavage from the resin was effected by incubation in NH_4OH at 60 °C for 6 h. Strands were purified by reversed-phase HPLC (50 mM aqueous ammonium acetate/acetonitrile gradient) using a Clarity 5 μ Oligo-RP column (Phenomenex). Oligonucleotides were characterized by MALDI-TOF mass spectrometry and quantitated by UV/visible spectroscopy. Annealing was accomplished by incubating solutions containing equimolar amounts of complementary strands in buffer (10 mM sodium phosphate, 50 mM NaCl buffer; pH 7.5) at 90 °C for 5 min followed by slow cooling over 90 min to ambient temperature. The melting temperature (T_m) of each duplex was determined by monitoring the 260 nm absorbance of a dilute sample while heating slowly (1 °C min⁻¹) from ambient temperature to 100 °C; the T_m is taken as the inflection point of the melting curve.

4.2.4 Gel Electrophoresis

Polyacrylamide gel electrophoresis (PAGE) experiments were performed based on published procedures.⁴² Briefly, DNA strands were radioactively labeled on the 5'-end with [α -³²P]-ATP (MP Biomedicals), treated with 10% piperidine for 25 min at 90 °C, and purified by 20% PAGE. Duplexes were formed by heating a mixture of the purified, labeled strands (8 pmol), unlabeled strands of the same sequence (192 pmol), and complement strands bearing tethered metal complexes (200 pmol) at 90 °C for 5 min followed by slow cooling over 90 min to ambient temperature. Irradiation of 2 μ M (duplex) samples for various times was carried out using an Oriel Instruments solar simulator (300–440 nm) equipped with a 355 nm long-pass filter. Samples were treated with 0.2 units calf thymus DNA to improve sample recovery and 10% piperidine (v/v) to induce strand cleavage at damaged sites, heated for 30 min at 90 °C, and dried *in vacuo*. Following separation by 20% PAGE, gels were developed using a Molecular Dynamics Storm 820 phosphorimager and Molecular Dynamics phosphorimaging screens. Gels were visualized and quantified using ImageQuant software (Molecular Dynamics). Damage at specific sites is determined as percent counts relative to the total counts per lane.

4.2.5 Spectroelectrochemistry

UV-visible spectroelectrochemistry was carried out using a custom-built, optically transparent, thin-layer electrode (OTTLE) cell (path length = 0.1 mm) consisting of vapor-deposited platinum working and pseudoreference electrodes and a Pt-wire auxiliary electrode.⁴³ The potential of the cell was controlled by an electrochemical workstation (CH Instruments 650A). Samples consisted of saturated solutions of metal complexes in dry organic solvents that were degassed under N₂ and introduced into the optical cell using a gastight syringe. The cell was held at a reducing potential, and spectra were acquired every 4 s until the sample was fully reduced using a spectrophotometer (Hewlett Packard 8452A).

4.2.6 Time-Resolved Spectroscopy

Steady-state emission spectra were recorded on a Fluorolog-3 spectrofluorometer (Jobin Yvon) using 2 mm slits. Scattered light was rejected from the detector by appropriate filters.

Time-resolved spectroscopic measurements were carried out at the Beckman Institute Laser Resource Center. Time-resolved emission and TA measurements were conducted using instrumentation that has been described.⁴⁴ Briefly, the third harmonic (355 nm) of a 10 Hz, Q-switched Nd:YAG laser (Spectra-Physics Quanta-Ray PRO-Series) was used as an excitation source (pump pulse duration ≈ 8 ns). For the measurement of transient absorbance spectra, a white light flashlamp of ~ 15 ns duration was employed as the probe lamp, and two photodiode arrays (Ocean Optics S1024DW Deep Well Spectrometer) detected the measurement and reference beams. For the measurement of transient kinetics, the probe light was provided by a pulsed 75 W arc lamp (PTI model A 1010) and detected with a photomultiplier tube (Hamamatsu R928) following wavelength selection by a double monochromator (Instruments SA DH-10). For both spectral and kinetic measurements, the pump and probe beams were collinear, and scattered laser light was rejected from the detectors using suitable filters. The samples were held in 1 cm path length quartz cuvettes (Starna) equipped with stir bars and irradiated at 355 nm with 500–1000 laser pulses at 5 mJ pulse⁻¹. Samples were monitored for degradation by UV/visible absorbance

and exchanged for fresh sample when necessary. Samples were prepared with a maximum absorbance of 0.7 in order to achieve high signal-to-noise ratios in TA experiments. TA measurements were made with and without excitation, and were corrected for background light, scattering, and fluorescence. Transient spectra were smoothed using a boxcar algorithm to reduce the effect of instrumental noise.

Kinetic traces were fit to exponential equations of the form

$$I(t) = a_0 + \sum_n a_n \exp(-t/\tau_n),$$

where $I(t)$ is the signal intensity as a function of time, a_0 is the intensity at long time, a_n is a pre-exponential factor that represents the relative contribution from the n th component to the trace, and τ_n is the lifetime of the n th component. Up to two exponential terms were used in the model function to obtain acceptable fits. Kinetic traces were smoothed logarithmically prior to fitting in order to decrease the weight of long time data on the fit.

4.3 Results

4.3.1 Metal Complex Characteristics

The **Rh** and **Ir** metal complexes each contain one intercalating ligand (phi in **Rh** and dppz in **Ir**) and two ancillary ligands, resulting in the formation of Δ and Λ stereoisomers. The efficiency of DNA CT depends strongly on the extent of coupling between the DNA base stack and the bound metal complex, so the stronger binding Δ -isomer is preferred for CT experiments.⁴¹ While the diastereomers of Rh-DNA conjugates are easily resolved by reversed-phase HPLC, those of Ir-DNA conjugates are not. For this reason, only the Δ -isomer of **Rh-DNA** was used in experiments involving metal complex-DNA conjugates, while **Ir-DNA** was used as an isomeric mixture. For experiments involving free metal complexes, isomeric mixtures were used. The Re complex was synthesized using the published protocol for the analogous complex, *fac*-[Re(CO)₃(dppz)(4-methylpyridine)]⁺.³⁷ Only the facial stereoisomer is expected to form during synthesis, so enantiomeric separation was not a consideration during purification.

The photophysical properties of **Rh** and **Ir** have been described.^{29,45} Optical absorbance spectra for all three complexes are shown in Figure 4.1. Importantly, the three complexes absorb at very different strengths throughout the near-UV region. The weakest absorber is **bf**, with an extinction coefficient at 384 nm of only 11 000 M⁻¹cm⁻¹.^{37,39} The spectra of **Rh** and **Ir** are more intense, with extinction coefficients of $\epsilon_{390} = 19\,000\text{ M}^{-1}\text{ cm}^{-1}$ and $\epsilon_{405} = 30\,600\text{ M}^{-1}\text{ cm}^{-1}$, respectively.^{29,41} **Re-DNA** is also unlike **Rh-DNA** and **Ir-DNA** in that it exhibits luminescence. The luminescence is persistent, suggesting that quenching by guanine is competitive with emissive decay in this sequence context.

4.3.2 Spectroelectrochemistry

Absorbance spectra of the reduced metal complexes were determined using spectroelectrochemistry. Spectra of metal complexes saturated in organic solvents were recorded at regular time intervals during reduction. For **Rh** and **Ir** in 0.1 M TBAH/DMF, the potential was held at $< -1.0\text{ V}$ vs. Ag/AgCl. For **Re** in 0.1 M TBAH/CH₃CN, the potential was held at -1.25 V vs. Ag/AgCl. These potentials are sufficient for single-electron reduction of the complexes. Figure 4.2 shows the initial ground state spectrum of each sample, as well as the spectrum resulting from exhaustive reduction. For all three samples, reduction causes a decrease in the intensity of the most prominent near-UV band, with the concomitant appearance of broad bands at lower energies. In the spectra of **Ir** and **Re**, absorption bands also appear at higher energies. For **Ir**, subsequent oxidation at 0 V resulted in quantitative regeneration of the initial species, but **Rh** and **Re** showed only incomplete ($\sim 95\%$) recovery. These results indicate that the reduction of **Ir**, but not that of **Rh** or **Re**, is completely reversible on the timescale of the experiment ($\sim 10\text{ s}$). Even so, electrogenerated side products observed in spectroelectrochemistry experiments are not expected to interfere in time-resolved spectroscopic experiments employing fast laser pulses.

4.3.3 Design and Synthesis of Metal Complex-DNA Conjugates

In order to better understand the interactions between metal complexes and DNA, and the ability of metal complexes to oxidize DNA, three metal complex-DNA conjugates were

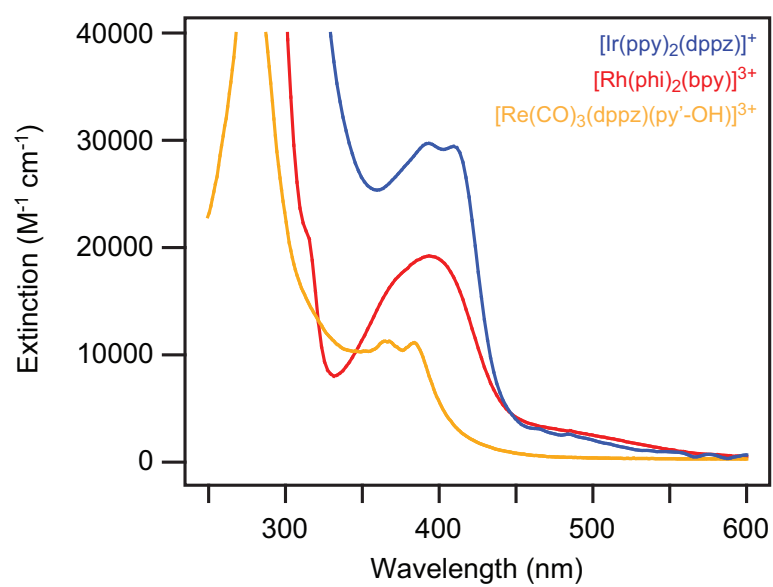


Figure 4.1: UV/visible spectra of **Rh**, **Ir**, and **Re** in acetonitrile. The spectra have been plotted in terms of molar extinction in order to facilitate comparison between the spectra.

synthesized. The three conjugates contain identical DNA sequences, and the metal complex in each conjugate is covalently tethered to one end of the duplex via a long alkyl linker. The structures of the complexes and conjugates are shown in Scheme 4.1. The tether in each case is designed to provide considerable conformational flexibility, allowing the complexes to bind DNA as they would in the absence of the covalent linker. However, the tether is not sufficiently long to allow for binding at sites past three base pairs from the end of the duplex, assuming that intercalation occurs from the major groove.⁴⁶ By limiting the position at which each complex is free to bind, it is possible to control the distance between the photooxidant and the low potential 5'-GG-3' hole trap, negating possible effects of differential distance on the yield and kinetics of DNA CT. Notably, the metal binding site and the 5'-GG-3' trap are separated by at least five base pairs (17 Å), so oxidation at the guanine doublet is presumed to be DNA mediated. Since identical DNA sequences are used in all three conjugates, each complex experiences a similar electronic environment when bound. In order to increase the yield of long-range oxidative damage, inosine, rather than guanine, has been incorporated at the metal binding site. Due to its relatively low redox potential (1.29 V vs. NHE)¹², guanine is easily oxidized, and the radical formed can participate in facile back electron transfer (BET) to regenerate the initial state of the system.⁴⁷ Inosine, although structurally similar to guanine, has a higher redox potential (1.5 V vs. NHE)¹⁸ and is not oxidized as readily. These considerations ensure that the distance of DNA CT and the environment of the metal complex are the same in the three conjugates.

Previous experiments have shown that all three complexes bind DNA by intercalation, as evidenced by hypochromism and a red shift in the near-UV absorption upon addition of DNA.^{29,37,40} Support for this binding mode is also provided by an increase in the DNA duplex melting temperature in the presence of the metal complexes (Table 4.1), since π -stacking interactions between the bases and the intercalating ligands are expected to stabilize the duplexes. Interestingly, the presence of the covalent linker on the intercalating ligand of **Ir** does not inhibit intercalation of this complex. Presumably, the complex interacts with the DNA bases during annealing, so that when the duplex is formed, the

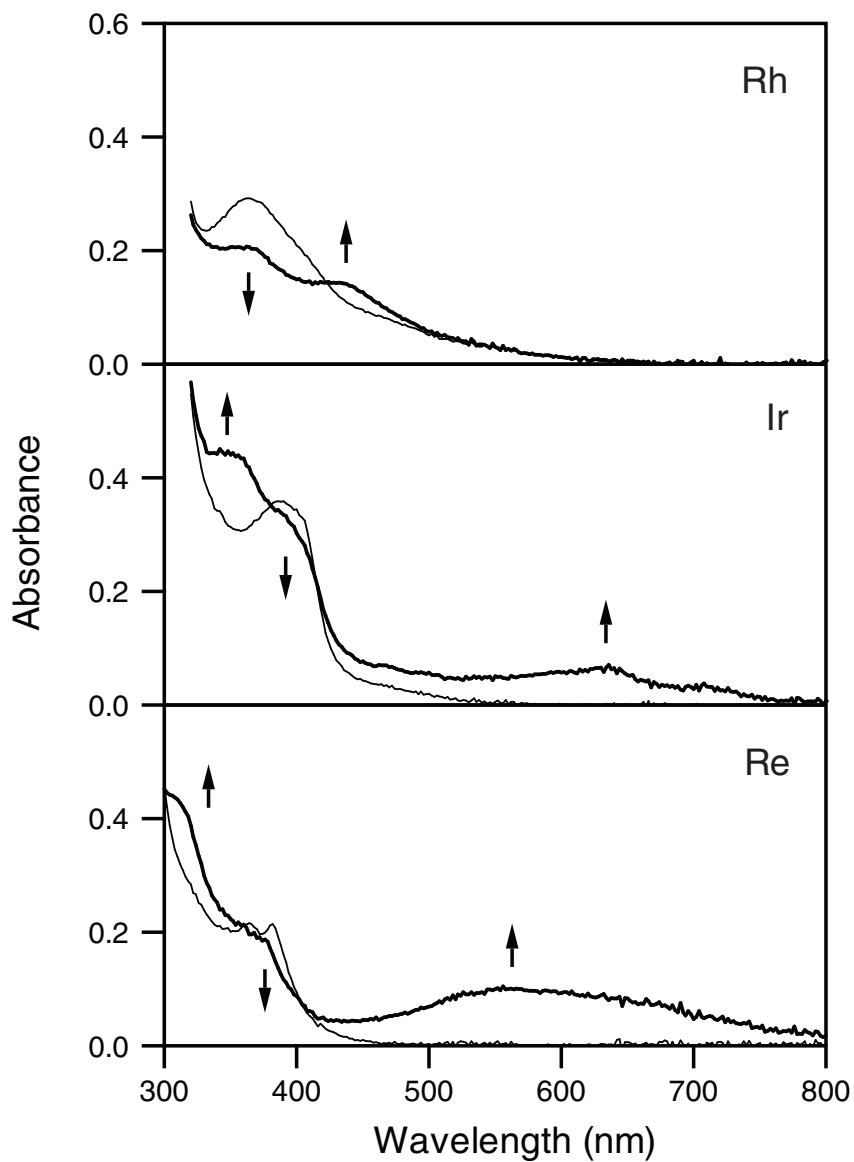


Figure 4.2: Steady-state UV/visible absorbance spectra of metal complexes before (thin line) and after (thick line) reduction by bulk electrolysis. Top: $16 \mu\text{M}$ $[\text{Rh}(\text{phi})_2(\text{bpy}')]^{3+}$ in DMF; middle: $12 \mu\text{M}$ $[\text{Ir}(\text{ppy})_2(\text{dppz}')]^{+}$ in DMF; bottom: $20 \mu\text{M}$ $[\text{Re}(\text{CO})_3(\text{dppz})(\text{py}'\text{-OEt})]^{+}$ in acetonitrile. Arrows indicate changes in the spectra upon reduction.

construct resembles a threaded needle, with the metal center on one side of the duplex, the tether on the other, and the intercalated dppz ligand connecting them.²⁹

4.3.4 Guanine Oxidation Observed by PAGE

An assay for guanine damage was carried out in order to establish directly the ability of **Re** to oxidize guanine and to enable comparison between the yield of oxidation observed upon excitation of each of the three metal complexes. Figure 4.4 shows the result of the photodamage experiment. Irradiation of DNA in the presence of each metal complex results in damage, although to varying degrees. Most prominently, extensive damage at the 5'-G of the 5'-GG-3' doublet in **Rh-DNA** appears after only 30 minutes of irradiation. At this time point, damage in **Ir-DNA** is undetectable, and damage in **Re-DNA** is faint. Damage accrues linearly in all three samples with increasing irradiation time (Figure 4.3). After 120 minutes of irradiation, damage in **Ir-DNA** has accumulated beyond the baseline, and damage in **Re-DNA** has become pronounced. The damage yield at both guanines of the 5'-GG-3' site increases as **Ir-DNA** < **Re-DNA** < **Rh-DNA** (Table 4.1). The absolute quantum yield of damage could not be determined accurately due to the nature of the sample geometry, but these values are expected to be comparable to those observed in similar conjugates between DNA and $[\text{Rh}(\text{phi})_2(\text{bpy}')]^{3+}$ (2×10^{-6}).¹⁷ Interestingly, the amount of damage does not correlate with the number of photons absorbed per sample. Based only on absorbance, **Ir**, which has a higher extinction coefficient than **Rh** and **Re**, and which has better spectral overlap with the excitation source (Figure 4.1), would be expected to be the most efficient photooxidant. Additionally, the pattern of damage differs in the three conjugates. While the **Rh-DNA** sample shows damage mainly at the 5'-G of the guanine doublet, the **Re-DNA** sample shows comparable damage at both guanines of the doublet, as well as pronounced damage close to the presumed complex binding site. This pattern of cleavage for **Re-DNA** does not appear to be the result of sensitization of singlet oxygen, given the lack of damage at thymine and the absence of damage enhancement in D_2O reported elsewhere.³⁸ Importantly, at the duplex concentrations used in these experiments ($2 \mu\text{M}$), interduplex guanine oxidation is not expected to be significant at the concentrations

Table 4.1: Melting Temperatures and Guanine Oxidation Yields for Metal Complex-DNA Conjugates

Species	T _m , °C ^a	G ^{ox} yield ^b
Unmodified DNA	51	—
Rh-DNA	59	1.00
Ir-DNA	58	0.06
Re-DNA	52	0.57

^a Measured using 2 μ M duplexes in buffer (10 mM sodium phosphate, 50 mM NaCl; pH 7.5); uncertainty in T_m estimated as 1 °C

^b Guanine oxidation yield determined via PAGE analysis; reported as the combined counts at both guanine sites of the 5'-GG-3' doublet after 120 min irradiation relative to counts per lane, and normalized to the amount of damage observed for **Rh-DNA**

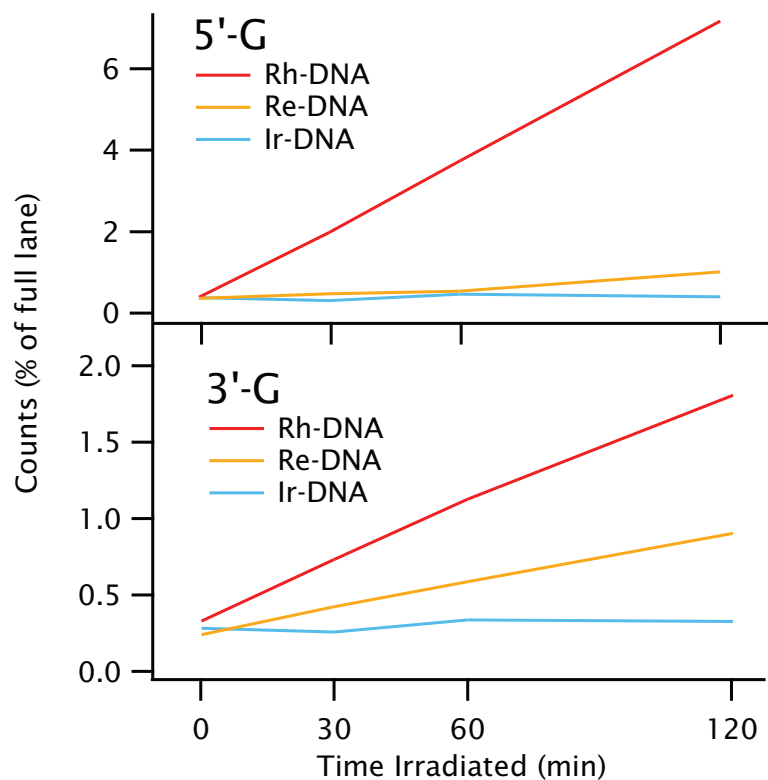


Figure 4.3: Accumulation of guanine damage with irradiation time. Damage at the 3' and 5' sites of the guanine doublet was quantified as the number of counts at those sites relative to the counts per lane from the PAGE gel (Figure 4.4). Sample conditions are described in the text.

used here.¹⁹ These results indicate that although each complex has the ability to carry out guanine oxidation at long range from the excited state, competing reaction pathways operate differently in the three systems.

4.3.5 Transient Absorption Spectra

TA spectra of the three conjugates are shown in Figure 4.5. The spectra illustrate the difference in absorbance observed 60 ns after 355 nm excitation of 15 μ M aqueous buffered samples (10 mM sodium phosphate, 50 mM NaCl; pH 7.5). In general, the three conjugates display similar difference spectra. **Rh-DNA** shows a strong bleach near 390 nm due to depletion of the ground state, as well as a positive transient centered at 460 nm with a long tail extending into the red. This is similar to the TA spectrum obtained for $[\text{Rh}(\text{phi})_2(\text{bpy})]^{3+}$ in water 30 ns after 420 nm excitation, except that in the latter case, an additional broad transient was observed centered near 680 nm.⁴⁸ **Ir-DNA** also shows a strong transient that is red-shifted from the ground state absorbance. However, in the case of **Ir-DNA**, the band is quite broad and featureless, extending into the near-IR region. No bleach was observed in the transient spectrum of **Ir-DNA** at 405 nm. An attempt to observe the excited state difference spectrum of $[\text{Ir}(\text{ppy})_2(\text{dppz})]^+$ in DMF after 355 nm (~ 10 ns pulse duration) yielded only broad absorption throughout the visible region. Finally, **Re-DNA** shows a strong, broad absorption throughout the visible region with a maximum near 460 nm and a shoulder near 550 nm, similar to what was observed for the excitation of $[\text{Re}(\text{CO})_3(\text{dppz})(\text{py})]^+$ in acetonitrile upon 400 nm excitation.⁴⁹ While the intensity of the TA signal is comparable for **Rh-DNA** and **Ir-DNA**, the signal for **Re-DNA** at 60 ns is over twice as strong.

Difference spectra between the reduced and non-reduced metal complexes in organic solvents, measured by spectroelectrochemistry, are also shown in Figure 4.5. Interestingly, there are several similarities between these difference spectra and those obtained by TA. For example, while the spectroelectrochemistry difference spectrum of **Rh** does not show the extended tail to long wavelengths observed in the TA spectrum of **Rh-DNA**, the positions of the bleaches and of the absorbance maxima are roughly the same. Similarly, while the

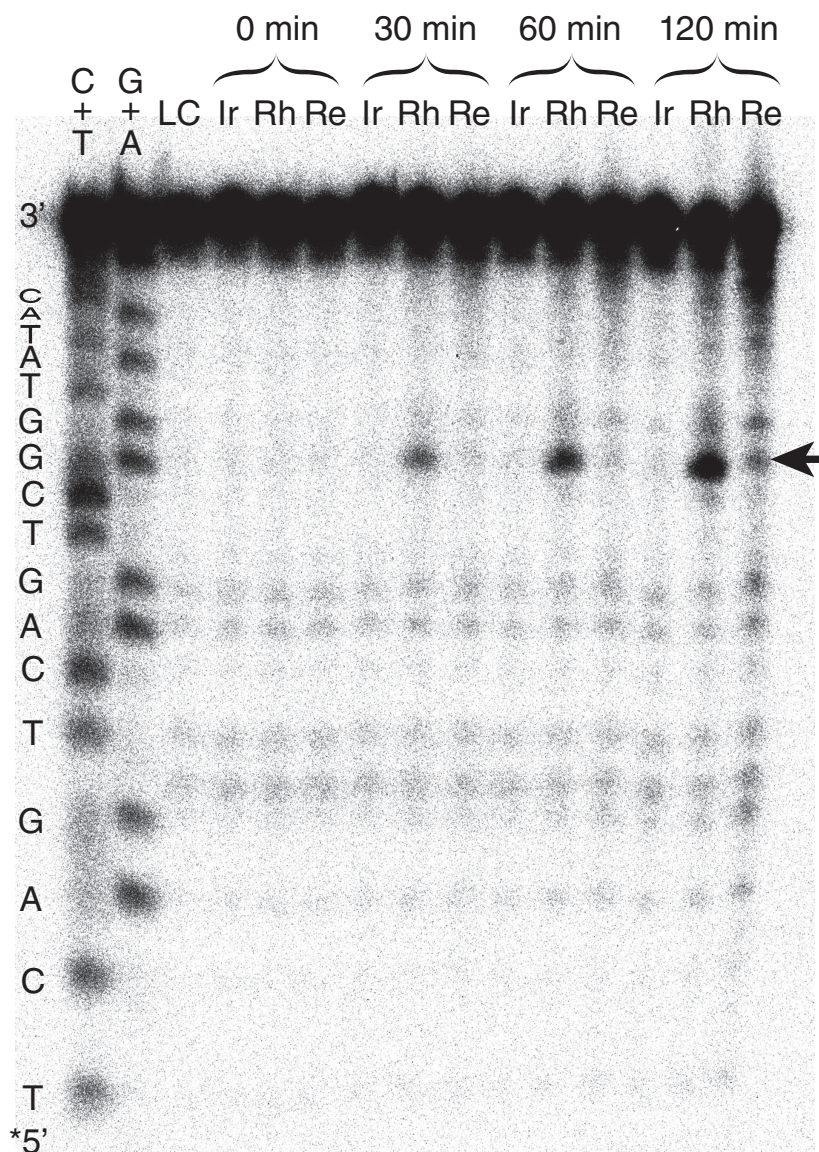


Figure 4.4: PAGE analysis following photooxidation of guanine by $[\text{Rh}(\text{phi})_2(\text{bpy}')]^{3+}$, $[\text{Ir}(\text{ppy})_2(\text{dppz}')]^{+}$, and $[\text{Re}(\text{CO})_3(\text{dppz})(\text{py}')]^{+}$ covalently bound to DNA. Metal-DNA conjugates ($2 \mu\text{M}$ in buffer: 10 mM sodium phosphate, 50 mM NaCl, pH 7.5) were irradiated for 0, 30, 60, or 120 min. DNA strand cleavage at sites of oxidation was achieved by treatment with 10% piperidine. Cleavage products were separated by 20% PAGE and visualized by phosphorimager. C+T and G+A: Maxam-Gilberts sequencing lanes; LC: light control (no metal complex); Ir, Rh, Re: the corresponding metal-DNA conjugates, irradiated for the indicated times. The DNA sequence is shown along the left edge of the gel. The position of the radiolabel is indicated by *. The 5'-G of the 5'-GG-3' doublet is indicated by an arrow.

spectroelectrochemistry difference spectrum of **Ir** shows a bleach at 405 nm and the TA difference spectrum of **Ir-DNA** does not, bands in both spectra exhibit a sharp increase in absorbance near 420 nm and are relatively flat throughout the visible region. Finally, although the electrochemical difference spectrum of **Re** exhibits a bleach near 390 nm while the TA difference spectrum of **Re-DNA** does not, and although their band shapes are different, both absorb strongly into the near-IR. Although the spectra of metal complexes bound to DNA are not expected to be completely analogous to those observed in organic solvents due to differences in the solvation environments, the spectroelectrochemistry difference spectra and the TA difference spectra show remarkable similarities. This result suggests that both techniques probe similar molecular states.

4.3.6 Kinetics

The emission and TA lifetimes of the metal complexes in acetonitrile are quite different from those of the DNA conjugates in aqueous solution. Kinetic parameters obtained from least-squares analysis are shown in Table 4.2. In general, lifetimes of the three complexes differ by several orders of magnitude. In acetonitrile, **Rh** and **Ir** are non-emissive upon excitation at 355 nm, but **Re** shows strong emission at 570 nm that decays biexponentially with lifetimes of 180 ns and 17 μ s. The behavior of **Re-OEt** is similar, although its emission decay lifetime is consistently observed to be shorter than that of **Re**, even after exhaustive degassing of the solvent via the freeze-pump-thaw method. The lifetimes of non-emissive excited states can be inferred from TA measurements. Excitation of **Rh** in acetonitrile at 355 nm results in a weak transient signal at 460 nm (the TA maximum) with a lifetime of 81 ns. Similarly, excitation of **Ir** gives a transient at 540 nm that decays with a lifetime of 270 ns. Presumably, the 19 μ s decay observed by TA for **Re** corresponds to the 17 μ s decay observed through emission.

TA decays for the three metal complex-DNA conjugates are shown in Figure 4.6. Again, the lifetimes of the transients differ greatly between the conjugates. In particular, transient signals measured for systems containing DNA-conjugated **Rh** and **Re** have much longer lifetimes than those observed in organic solvents. For **Rh-DNA**, the best fit gives

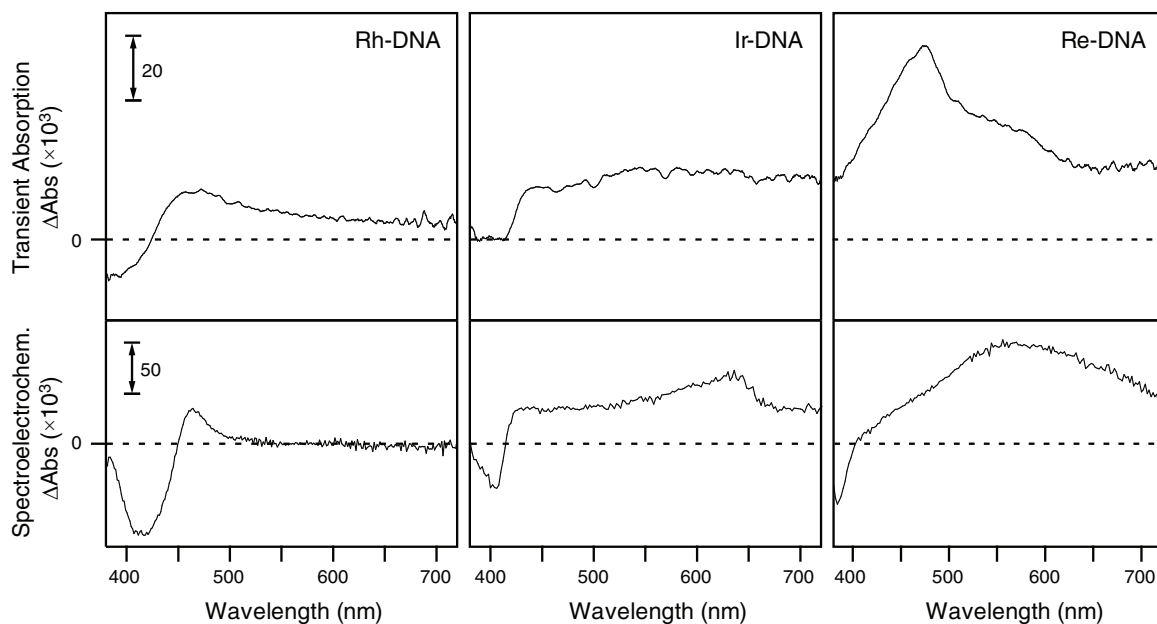


Figure 4.5: Comparison between transient absorption difference spectra and spectroelectrochemical difference spectra. Top: TA spectra obtained 60 ns after excitation at 355 nm of 15 μM $[\text{Rh}(\text{phi})_2(\text{bpy}')]^{3+}$, $[\text{Ir}(\text{ppy})_2(\text{dppz}')]^+$, and $[\text{Re}(\text{CO})_3(\text{dppz})(\text{py}')]^+$ covalently bound to DNA. Bottom: spectroelectrochemistry difference spectra for (from left to right) 16 μM $[\text{Rh}(\text{phi})_2(\text{bpy}')]^{3+}$ in DMF, 12 μM $[\text{Ir}(\text{ppy})_2(\text{dppz}')]^+$ in DMF, and 20 μM $[\text{Re}(\text{CO})_3(\text{dppz})(\text{py}')]^+$ in acetonitrile.

Table 4.2: Least-Squares Parameters for Time-Resolved Emission and Transient Absorption Decay Lifetimes for Metal Complexes and Metal Complex-DNA Conjugates

Species ^a	Emission		Transient Absorption	
	λ_{probe} , nm	τ , ns (% contribution) ^b	λ_{probe} , nm	τ , ns (% contribution) ^b
Rh	—	—	460	81
Ir	—	—	540	270
Re	570	180 (12), 17 000 (88)	475	19 000
Re-OEt	570	210 (11), 7 600 (89)	475	8 000
Rh-DNA	—	—	460	73 (79), 1 100 (21)
Ir-DNA	—	—	540	5.9 (94), 280 (6)
Re-DNA	570	265	475	3 200 (37), 35 000 (63)

^a Complexes were dissolved in deaerated acetonitrile; metal complex-DNA conjugates were prepared in buffer (10 mM sodium phosphate, 50 mM NaCl; pH 7.5).

^b Uncertainty in lifetimes estimated as 10%; values in parentheses correspond to the pre-exponential coefficients a_n following normalization of the signal.

lifetimes of 73 ns and 1.1 μ s. For **Re-DNA**, photoexcitation yields a more persistent transient signal, with lifetimes of 3.2 μ s and 35 μ s. **Ir-DNA**, on the other hand, exhibits a very prominent (94%), short-lived component with a lifetime on the order of 6 ns and a longer-lived component with a lifetime of 280 ns. The spectroscopic differences observed between the three conjugates underscore the diversity of their photophysical behavior and the differences in their interactions with DNA.

4.4 Discussion

4.4.1 Excited State Assignments

The steady-state photophysical properties of **Rh**, **Ir**, and **Re** resemble those of analogous complexes. For example, good agreement between the TA spectra of several phi-containing complexes following excitation at 420 nm has enabled assignment of the 390 nm absorption band in $[\text{Rh}(\text{phi})_2(\text{phen})]^{3+}$ to a combination of $\pi \rightarrow \pi^*$ (phen) and $\pi \rightarrow \pi^*$ (phi) transitions, which quickly relax (< 60 ns) to an intraligand charge transfer (ILCT) state in which electron density has shifted to the phenanthrene portion of the phi ligand.⁴⁸ Due to the similarities between the photophysics of $[\text{Rh}(\text{phi})_2(\text{phen})]^{3+}$ and $[\text{Rh}(\text{phi})_2(\text{bpy})]^{3+}$, a similar process is expected in the latter complex and in its tether-functionalized analogue, **Rh**. The absorption profiles of **Re** and **Ir** are also attributed to a mixture of several transitions. For example, tricarbonyl Re complexes bearing dppz are known to populate several singlet excited states upon photon absorption, including metal-to-ligand charge transfer (MLCT) states and ILCT states centered on the dppz ligand.^{49–53} Over time, intersystem crossing and internal conversion leads to population of the emissive ^3IL states.⁴⁹ Similarly, **Ir** exhibits a strong absorption band in the near-UV in acetonitrile, as well as a weak, broad band centered near 450 nm.²⁹ As in **Re**, the higher energy bands are likely due to an IL transition on dppz, while the lower energy band is probably MLCT in character. Thus, in all three complexes, a molecular orbital of the intercalating ligand is populated upon excitation.

4.4.2 Reduced Metal Complexes

The electronic structure of reduced **Rh** may be determined by comparing it with the reduced states of other phi complexes. For example, $E^\circ(\text{Ru}^{2+}/\text{Ru}^+)$ in $[\text{Ru}(\text{bpy})_2(\text{phi})]^{2+}$ appears at a more positive potential than in $[\text{Ru}(\text{bpy})_3]^{2+}$, indicating that in complexes with mixed bpy and phi ligands, phi is reduced more readily than bpy.⁵⁴ The product of single-electron reduction of **Rh** can therefore be assigned as $[\text{Rh}^{\text{III}}(\text{phi})(\text{phi}^{\bullet-})(\text{bpy}')]^{2+}$. The reduced states of **Re** and **Ir** can be assigned based on analogy to other complexes ligated by dppz. It has been shown that electrochemical reduction of dppz results in addition of an electron to the phenazine-centered orbital of dppz rather than to the α -diimine-centered orbitals that are populated upon excitation to the MLCT state.⁵⁵ Spectroscopically, reduction of dppz is manifested as the appearance of an absorption band centered near 570 nm that absorbs throughout the visible spectral range.⁵⁵ The resemblance between the difference spectrum of reduced dppz and that of reduced **Re** and **Ir** suggests that reduction of **Re** and **Ir** results in addition of an electron to the phenazine-centered orbital of the dppz ligand as well. These assignments are consistent with those of reduced $[\text{Os}(\text{phen})_2(\text{dppz})]^{2+}$ and $[\text{Ru}(\text{dppz})_3]^{2+}$, which show similar spectral behavior.⁵⁶ The reduced states of the three complexes, therefore, also involve the intercalating ligand. The participation in photophysical and electrochemical process of the intercalating ligand, which is intimately associated with the DNA base stack, may be necessary for efficient DNA-mediated CT to proceed.⁵⁷

4.4.3 Comparison of Spectroelectrochemical and TA Difference Spectra

The TA difference spectra of **Rh-DNA** and **Re-DNA** are similar to spectra observed upon photoexcitation of $[\text{Rh}(\text{phi})_2(\text{bpy})]^{3+}$ in water^{48,58} and $[\text{Re}(\text{CO})_3(\text{dppz})(\text{py})]^+$ in acetonitrile,⁴⁹ respectively. However, the TA spectra of the three conjugates also exhibit features in common with the reduced state spectra observed by spectroelectrochemistry. Considering the favorable driving force for guanine oxidation by excited **Rh**, **Ir**, and **Re**, the oxidative damage observed in PAGE experiments, and numerous reports in the literature confirming the ability of similar complexes to oxidize guanine from a distance,^{19,28,30,58-60} the observation of reduced states in the TA spectra is expected. In each system, oxidation of guanine

by the photoexcited metal complex must result in the reduction of the metal complex. The observed TA spectra, therefore, likely consist of mixtures of excited and reduced states.

Analysis of the TA lifetimes supports the formation of a mixture of states. In all three samples, DNA-mediated CT is expected to occur at a rate faster than the time resolution of the instrument.^{27,61,62} In **Rh-DNA**, the TA decay exhibits two lifetimes, the shorter of which is within error of the TA lifetime of **Rh** in acetonitrile and can therefore be ascribed to decay from the excited state of complex molecules that are not well coupled to the DNA base stack at the time of excitation. However, as is apparent by gel analysis, **Rh-DNA** shows the highest level of guanine damage. This supports the idea that DNA-mediated CT is fast compared to the TA instrumentation; eighty percent of the decay appears from the excited state as unquenched and uncoupled, but a faster static quenching must occur. This static quenching component reflects DNA-mediated CT that gives rise to the guanine damage. The second lifetime component, which is over an order of magnitude slower, is not observed in the absence of DNA, and is attributed to absorption of the reduced state.

The TA decay of **Ir-DNA** is also biexponential; however, in this case, it is the lifetime of the longer-lived component that shares similarity with the lifetime of **Ir** in acetonitrile. Here, the interpretation is slightly different. Considering the driving force for guanine oxidation, it is still probable that the reduced state of the complex is formed in **Ir-DNA**, but that its lifetime is much shorter in **Ir-DNA** than the lifetime of the reduced state in **Rh-DNA**. The longer lifetime in **Rh-DNA** and the shorter lifetime in **Ir-DNA**, then, reflect the rates of BET in these systems. Importantly, this interpretation is consistent with the results of the PAGE experiment: the low yield of guanine damage in **Ir-DNA** is due to fast BET in that system.

In **Re-DNA**, no component is observed that matches the excited state lifetime of **Re** or Re-OEt, suggesting that the DNA environment affects the photodynamics of this complex. However, excitation in the presence of DNA does lead to formation of a long-lived transient. A similar result was observed for $[\text{Re}(\text{CO})_3(\text{dppz})(4\text{-methylpyridine})]^+$ in the presence of calf thymus DNA.³⁷ Interestingly, in that case, the transient decay was also biphasic in the presence of DNA, and as the DNA concentration was increased, the longer

time component became more dominant. Both phases were assigned to formation of the $^3\text{IL}(\text{dppz})$ excited state, while the ten fold difference in lifetime between the two phases was attributed to two different binding modes or differences in solvent accessibility. While these factors can influence excited state lifetimes, it is probable that excited state quenching by guanine to form the reduced metal complex also occurs, similar to what we propose for **Ir-DNA** and **Rh-DNA**.

4.4.4 A Model for DNA-Mediated Guanine Oxidation

From these considerations, a model for the DNA-mediated oxidation of guanine by intercalating photooxidants can be constructed (Figure 4.2 on page 154). Prior to excitation, the system exists as an equilibrium of two populations: one in which the metal complex is poorly coupled to the base stack (not shown in Scheme 4.2) and one in which the complex is well coupled. Excitation of the metal complex may be followed by luminescent or non-radiative relaxation, or (in the well-coupled system) by charge injection to form the reduced metal complex and the guanine radical cation. From the charge-separated state, the formation of permanent guanine oxidation products by reaction with water or oxygen competes with BET. If charge injection is slow (due to poor coupling between the oxidant and the DNA base stack), decay to the ground state will preclude the eventual formation of guanine damage. If charge injection is fast, the yield of permanent damage may still be attenuated by facile BET. This mechanism combines the results observed by PAGE and transient absorption, and it is expected to be general for any intercalating metal complex photooxidant.

4.4.5 Factors Affecting the Efficiency of Guanine Oxidation

According to the model, the quantum yield of guanine damage, $\Phi_{\text{G}_{\text{ox}}}$, can be expressed as:

$$\Phi_{\text{G}_{\text{ox}}} = \Phi_{\text{CT}} \frac{k_{\text{trap}}}{k_{\text{trap}} + k_{\text{BET}}}, \quad (4.1)$$

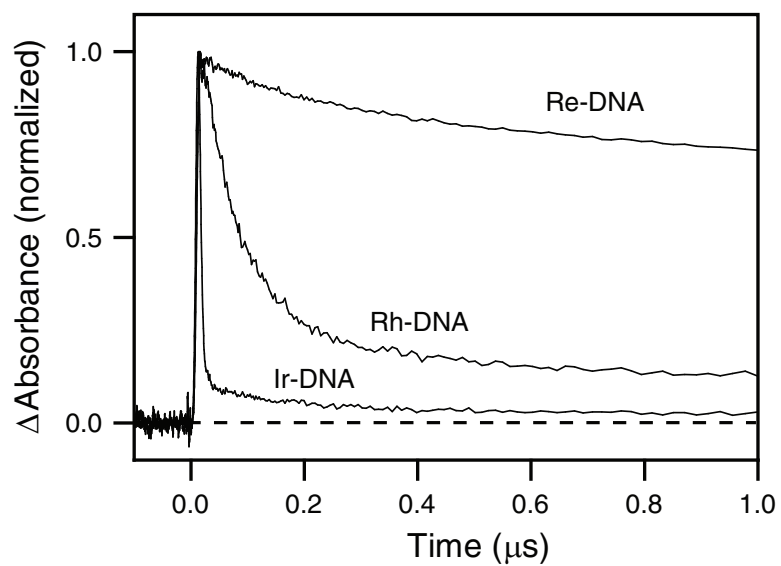


Figure 4.6: Transient absorption decay traces for 15 μM $[\text{Rh}(\text{phi})_2(\text{bpy}')]^{3+}$ (460 nm), $[\text{Ir}(\text{ppy})_2(\text{dppz}')]^+$ (540 nm), and $[\text{Re}(\text{CO})_3(\text{dppz})(\text{py}')]^+$ (475 nm) covalently bound to DNA following excitation at 355 nm.

where Φ_{CT} is the quantum yield of CT, k_{trap} and k_{BET} are the rates of hole trapping (to form permanent guanine products) and BET, respectively; and

$$\Phi_{\text{CT}} = F \frac{k_{\text{CT}}}{k_{\text{CT}} + k_{\text{em}} + k_{\text{nr}}} \quad (4.2)$$

depends on F , the fraction of conjugates that achieves a CT-active conformation within the excited state lifetime, and the rates of CT (k_{CT}), emission (k_{em} , if applicable), and non-radiative decay processes (k_{nr}). Here, k_{CT} refers to the intrinsic rate of CT through DNA, assuming a maximally coupled system. Using the definition of quantum yield, the amount of damage observed, $N_{\text{G}_{\text{ox}}}$, can be expressed as a function of N_{abs} , the number of photons absorbed:

$$N_{\text{G}_{\text{ox}}} = N_{\text{abs}} \times F \left(\frac{k_{\text{CT}}}{k_{\text{CT}} + k_{\text{em}} + k_{\text{nr}}} \right) \left(\frac{k_{\text{trap}}}{k_{\text{trap}} + k_{\text{BET}}} \right). \quad (4.3)$$

This function nicely summarizes the many factors that affect the yield of guanine damage. A greater number of photons absorbed, a greater fraction of the population in a CT-active conformation, and faster rates of CT and trapping increase the yield; conversely, faster rates of emission, non-radiative decay, and BET decrease the yield.

The extent of electronic coupling between the photooxidant and the hole acceptor is expected to have a strong influence on the amount of damage observed. Intercalation confers superior coupling between the oxidant and the DNA base stack. Functionally, the intercalated ligand “becomes” an additional base, linking the electronic system of the metal complex to that of the base stack. Poor coupling, therefore, disrupts this linkage and decreases the rate of charge injection. Factors that affect the degree of coupling between the oxidant and the base stack include the planarity and size of the intercalating ligand,^{57,63} the charge of the complex, the dynamics of the oxidant within the intercalation site,⁶² and the size, shape, and hydrophobicity of the ancillary ligands.^{58,64,65} Experimentally, the extent of coupling of the metal complex to the base stack is reflected in part by the increase in DNA melting temperature in the presence of the intercalator and by the extent of hypochromism associated with binding. From melting temperature data (Table 4.1),

coupling in the conjugates increases as **Re-DNA** < **Ir-DNA** < **Rh-DNA**. The stronger coupling observed in **Rh-DNA** is likely due to its higher charge (+3 for **Rh**, compared to +1 for **Ir** and **Re**), as well as the use of only the diastereomer bearing the tighter binding Δ -isomer.

In general, the extent of coupling between the bases themselves also affects the yield of damage. Indeed, the efficiency of DNA CT depends on the DNA sequence^{66–68} and base motions,^{69,70} and examination of DNA CT in solution⁷¹ and through DNA monolayers on gold surfaces^{72–74} has illustrated the acute sensitivity of DNA CT to intervening mismatches and lesions. In our tethered systems, such sequence-dependent and dynamic effects are not expected to cause differences in the guanine oxidation yield, since they will have equal bearing on the results for each of the three conjugates. Variations in CT associated with distance were not determined in these experiments, but they are expected to be comparable for the three assemblies. Not only do the tethered binding positions appear to be comparable based on model building, but more importantly, for well-coupled probes the distance dependence of DNA-mediated CT is shallow, independent of the probe.^{19,31,75,76}

The rate of any CT process is related to the thermodynamic driving force according to Marcus theory.⁷⁷ For the CT reaction, thermodynamic analysis predicts that **Rh*** should be the strongest oxidant ($E^\circ[\text{Rh}^{3+*}/\text{Rh}^{2+}] = 2.0 \text{ V vs. NHE}$)⁴⁸, while **Ir*** ($E^\circ[\text{Ir}^{+*}/\text{Ir}^0] = 1.7 \text{ V vs. NHE}$,²⁹ with E_{00} calculated as the crossover point between the absorbance and emission spectra) is expected to yield a similar amount of damage as **Re*** ($E^\circ[\text{Re}^{+*}/\text{Re}^0] = 1.73 \text{ V}$, calculated in a similar way), but this trend is not observed. One factor that contributes to the greater yield in **Re-DNA** is the much longer lifetime (slower k_{em} and k_{nr}) observed for the excited state of **Re** (Table 4.2). Besides decreasing the denominator in Eq. 4.3, a longer excited state lifetime will increase F , since more conformational states of DNA can be sampled prior to relaxation of the excited state. This increases the probability of achieving a CT-active conformation within the excited state lifetime. Another contributing factor is facile BET in **Ir-DNA**, which deactivates the charge-separated precursor before damage can occur. TA experiments have shown that in the absence of BET, the lifetime of the guanine radical extends into the millisecond regime.⁴⁷ Any process that

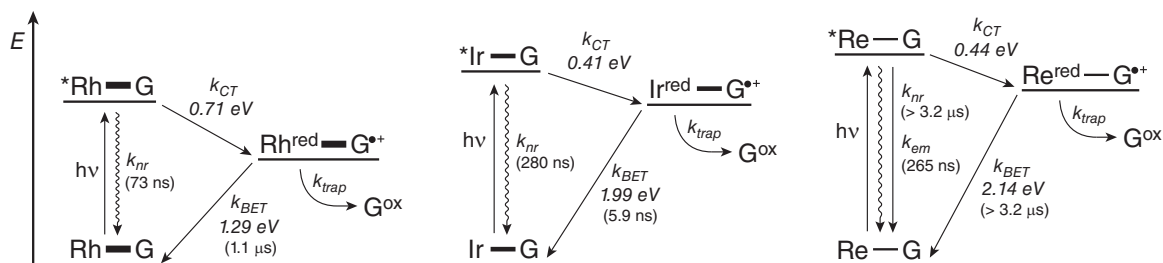
neutralizes the radical within its lifetime will decrease the yield of permanent damage.¹⁷ As an extreme example, BET completely prevents the formation of oxidative guanine damage when thionine is used as an intercalating photooxidant, despite the favorable driving force for this reaction (~ 0.7 eV).⁷⁸ The driving force for BET in each of the conjugates increases approximately as **Rh-DNA** (1.29 eV)⁴⁸ < **Ir-DNA** (1.99 eV)²⁹ < **Re-DNA** (2.14 eV). Due to the large free-energy changes associated with BET, these processes are expected to lie in the Marcus inverted region.⁷⁹ From these considerations alone, the rate of BET is therefore predicted to be fastest in **Rh-DNA** and slowest in **Re-DNA**. The observation of faster BET in **Ir-DNA** than in **Rh-DNA** by TA spectroscopy indicates that other factors, such as reorganization energy, may affect the rate of BET. Interestingly, effective coupling is needed for efficient BET as well as CT. In **Re-DNA**, poorer coupling to the base stack could decrease the efficiency of BET, further enhancing the yield of guanine damage in this conjugate.

The trend observed in the guanine oxidation assay can be explained by the interplay of these many factors. The higher yield of damage in the **Rh-DNA** sample is likely due to the strong driving force for guanine oxidation. For **Rh**, this value is 0.71 eV, compared to 0.51 eV for **Ir-DNA** and 0.54 eV for **Re-DNA**. This strong driving force leads to a fast k_{CT} . The high yield in the **Rh-DNA** sample is also due to strong coupling, evidenced by the high melting temperature differential observed for **Rh-DNA**: (8 °C, compared to 7 °C for **Ir-DNA** and 1 °C for **Re-DNA**). Presumably, BET in **Rh-DNA** is offset by these factors. In comparing **Ir-DNA** and **Re-DNA**, which have the same intercalating ligand, the same charge, and show a similar driving force for guanine oxidation, other factors become important. In these conjugates, the stronger coupling of **Ir** to the base stack results in faster rates of CT and BET, decreasing the yield of damage, while the longer excited-state lifetime and strongly inverted BET in **Re-DNA** increase damage.

4.5 Conclusions

The electrochemical and photophysical properties of three metal complexes and their DNA conjugates have been observed in the same sequence context. All of the complexes have

high excited state reduction potentials, and gel electrophoresis experiments indicate that guanine oxidation by the excited complexes can occur via DNA CT. Comparison between spectroelectrochemical difference spectra and TA difference spectra suggests that photoexcitation of metal complex-DNA conjugates results in a mixture of excited and reduced metal states, allowing for the observation of charge-separated intermediates and measurement of the relative rates of charge recombination (BET). The ability to oxidize guanine indicates effective coupling of all of the complexes to the DNA base stack, signifying that these or similar complexes could be useful for triggering oxidation in more complex experimental systems.



Scheme 4.2: Proposed model for the DNA-mediated oxidation of guanine by metallointercalating photooxidants. Conjugates are represented as M-G, where the thickness of the line connecting M and G represents the extent of coupling between the metal complex and the base stack. Wavy arrows represent non-radiative decay from the excited state (Rh^* , Ir^* , or Re^*). Reduced metal complex states are represented as M^{red} . The guanine radical cation ($\text{G}^{\bullet+}$) is distinguished from permanent guanine oxidation products (G^{ox}). Energy level differences are to scale. Thermodynamic driving forces are shown for charge injection and back electron transfer (BET), and lifetimes are shown in parentheses. In each scheme, the equilibrium with the poorly coupled system is omitted for clarity.

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