

## Chapter 2

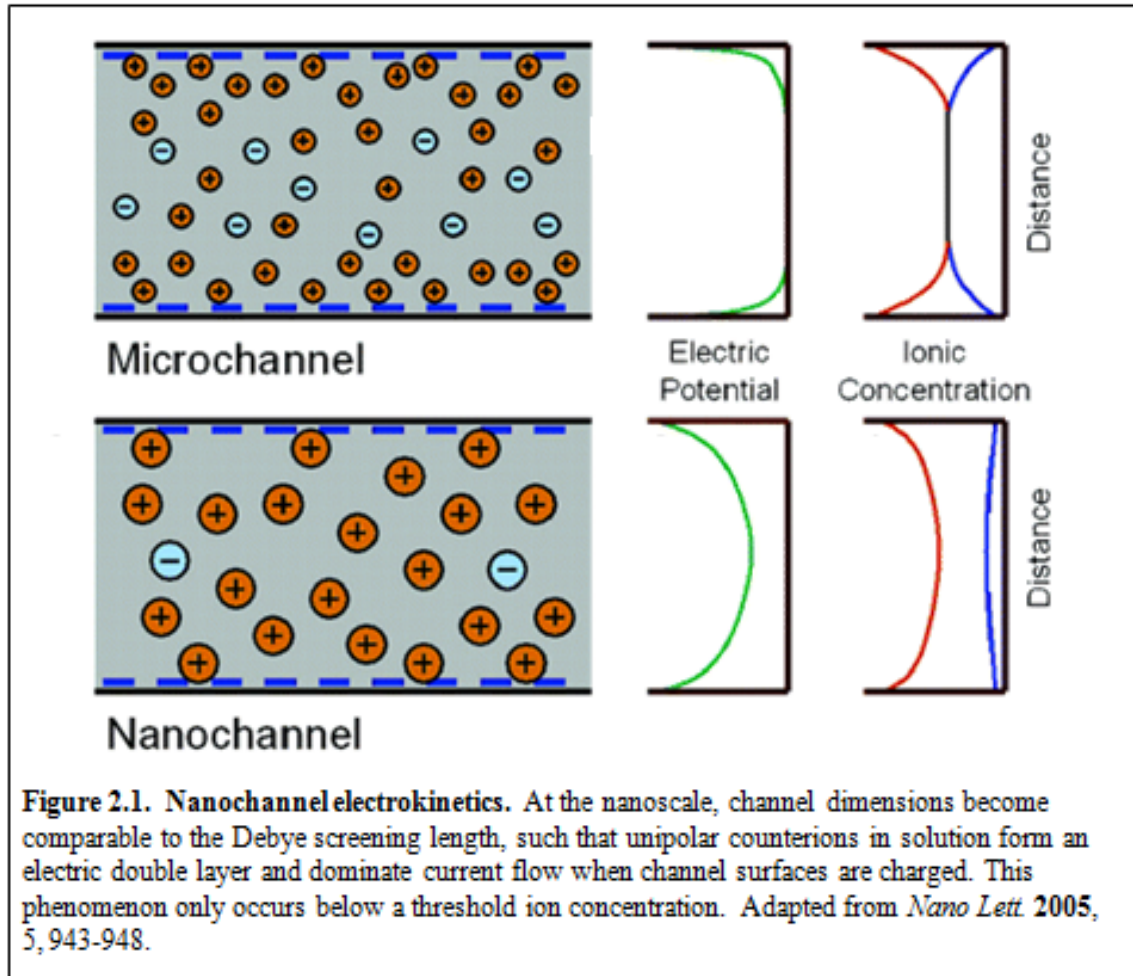
# Fast Nonlinear Ion Transport via Field-Induced Hydrodynamic Slip in Sub-20 nm Hydrophilic Nanofluidic Transistors

### 2.1 Introduction

Recently, novel fluid-mechanical phenomena have been observed as the dimensions of fluidic channels have approached the nanometer scale. Theoretical models<sup>1-4</sup> and experimental demonstrations<sup>5-7</sup> of finite hydrodynamic slip in hydrophobic nanochannels have received considerable attention; however, experimental evidence of slip in hydrophilic nanochannels is nonexistent. Here we report on ion transport through an array of 20 nm-radius, 20  $\mu\text{m}$ -long hydrophilic  $\text{SiO}_2$  nanofluidic transistors. Above a threshold source-drain bias, channel conductances are enhanced up to 50-fold over expected values. The improved ion transport is interpreted as arising due to an electric field-induced breakdown of the zero-slip boundary condition. The slip length can be tuned by modulating the surface-charge density of the nanochannels via application of a gate voltage. This phenomenon results in amino acid specific I-V traces when lysine and aspartate monomer solutions are added separately, which demonstrates the potential for

the use of nanofluidic channel devices for high throughput protein sequencing and structure determination. The presence of finite slip results in a  $\sim 10^6$ -fold increase in mechanical power output, and thus also shows promise for efficient energy conversion, filtration, and desalination applications.

The relatively recent emergence of nanochannel fabrication methods<sup>8-10</sup> has allowed electrokinetic phenomena to be investigated in nanofluidic systems, and has created renewed interest in the potential of fluidic devices for energy conversion and other tasks. As the Debye screening length approaches the scale of the channel dimensions, the surface charge dominates the electrokinetic flow behavior within the channel, controlling the concentration of electrolytes<sup>11-16</sup> such that unipolar counterions dominate current flow (**Figure 2.1**). This phenomenon can potentially be harnessed for mechanical-to-electrical energy conversion by using pressure to drive a streaming current through the nanochannel. Alternatively, electrical-to-mechanical energy conversion is possible by applying an electric field across the length of the nanochannel, thereby driving fluid through by electroosmosis<sup>17-19</sup>. However, current flow through nanofluidic channels at a given pressure, or source-drain voltage, is severely limited due to the no-slip boundary condition that characterizes most such systems. This boundary condition represents the fact that there is no fluid or ion flow at the liquid/channel interface. The counterion density is highest at this interface, but because these counterions are immobilized by surface charges, those ions do not contribute to the current, resulting in low energy conversion efficiencies.



The no-slip boundary condition is not a fundamental limitation, however, and systems with finite slip have received recent attention<sup>20,21</sup>. Slip velocity ( $v_{\text{slip}}$ ) is proportional to the shear rate, according to the following equation:

$$v_{\text{slip}} = \mathbf{b}(\mathbf{d}\mathbf{v}/\mathbf{d}\mathbf{x})|_{\text{surf.}}$$

Here,  $\mathbf{v}$  is the fluid velocity,  $\mathbf{x}$  is the coordinate normal to the surface, and  $\mathbf{b}$  is the slip length, or the distance into the surface where  $\mathbf{v}$  extrapolates to zero.

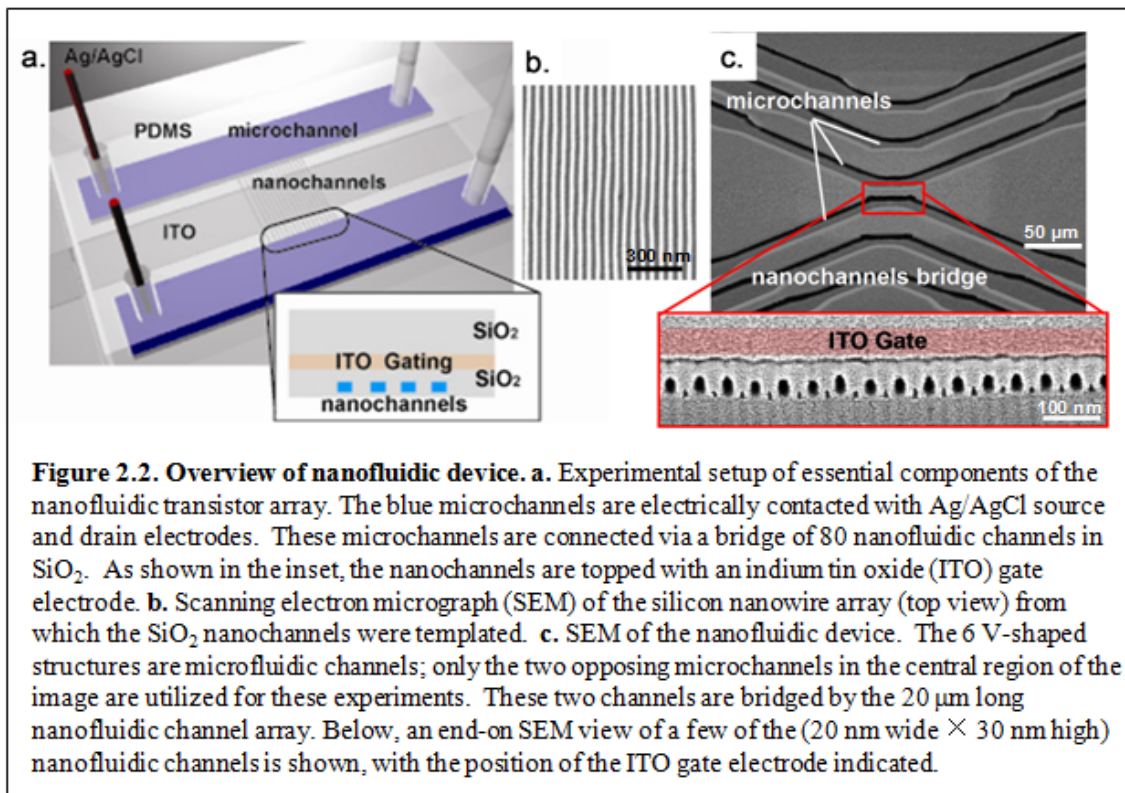
A number of theoretical studies<sup>21,22</sup> have revealed that mechanical-to-electrical energy conversion efficiencies can be very high, even approaching 100 %, for nanofluidic

channels exhibiting a large  $\mathbf{b}$ . These studies have focused on streaming currents through smooth, hydrophobic nanofluidic channels, since such systems are thought to exhibit the greatest potential for slip. For example, Ren and Stein<sup>21</sup> have calculated that, for a 10 nm high slit-shaped, hydrophobic nanochannel, 40 % energy conversion efficiencies are realistic for  $\mathbf{b}$  values of 30 nm. Indeed, very large slip lengths have been measured in carbon nanotube-based nanofluidic channels<sup>5,7</sup>. However such devices may not be suitable for energy conversion since the lack of surface charges prevents the creation of an intrachannel unipolar environment essential for mechanical-to-electrical energy conversion. Currently, most nanofluidic channels are fabricated from silica or other materials that yield charged and/or hydrophilic surfaces. Due to the strong attraction of Stern layer counterions to such hydrophilic surfaces, the no-slip condition is difficult to overcome. For example, Dekker's group has measured energy conversion in hydrophilic nanochannels under no-slip conditions, and found a conversion efficiency of only 3 %<sup>23</sup>. They calculated a maximum conversion efficiency of 12 % for similar monovalent ions in water<sup>22</sup>. Much greater conversion efficiencies will be needed for practical technologies to be realized. If the no-slip boundary condition in hydrophilic channels could be surmounted, greatly enhanced streaming currents and energy conversion efficiencies would be possible in such systems, making energy conversion applications far more feasible.

## 2.2 Experimental Methods

In this chapter, we report on the electrokinetics of potassium chloride (KCl) ion transport through nanofluidic transistors comprised of arrays of oval shaped, hydrophilic, silica nanochannels. Although ion transport has been investigated previously in a single SiO<sub>2</sub> nanofluidic channel<sup>10</sup> with similarly small dimensions, our fabrication method<sup>24</sup> produces sufficiently large numbers of closely spaced SiO<sub>2</sub> nanochannels such that currents can be measured even at very low KCl molarities ([KCl] = 10<sup>-7</sup> M).

The experimental setup is shown in **Figure 2.2**. The nanofluidic device consisted of a 20 micrometer-long array of 80 parallel, 20 nm × 30 nm cross section silica channels built from a template of silicon nanowires fabricated using the SNAP method<sup>24</sup> (**Figure 2.2b,c**). An indium tin oxide (ITO) gate electrode is deposited on top of the array, with a silica gate oxide layer sandwiched in between. As shown in **Figure 2.2c**, extremely narrow (~3 nm) channels between the primary channels were observed. These likely arise from a SiO<sub>2</sub> deposition process that is not 100 % conformal. However, the voids are far smaller than the primary nanochannels and their contribution to the ionic current should be negligible. The nanochannels interface with two sets of microfluidic channels that act as reservoirs for electrolyte solutions. The device is sealed with a slab of plasma-bonded PDMS to isolate the microfluidic channels and prevent leakage current. Finally, holes are punched into the PDMS to allow the insertion of Ag/AgCl electrodes into the microfluidic channels for current measurements. The detailed fabrication processes are described in the Appendix. The SNAP-based nanochannel fabrication method is robust, allowing devices to be consistently generated with nearly 100 % yield.



## 2.3 Results and Discussion

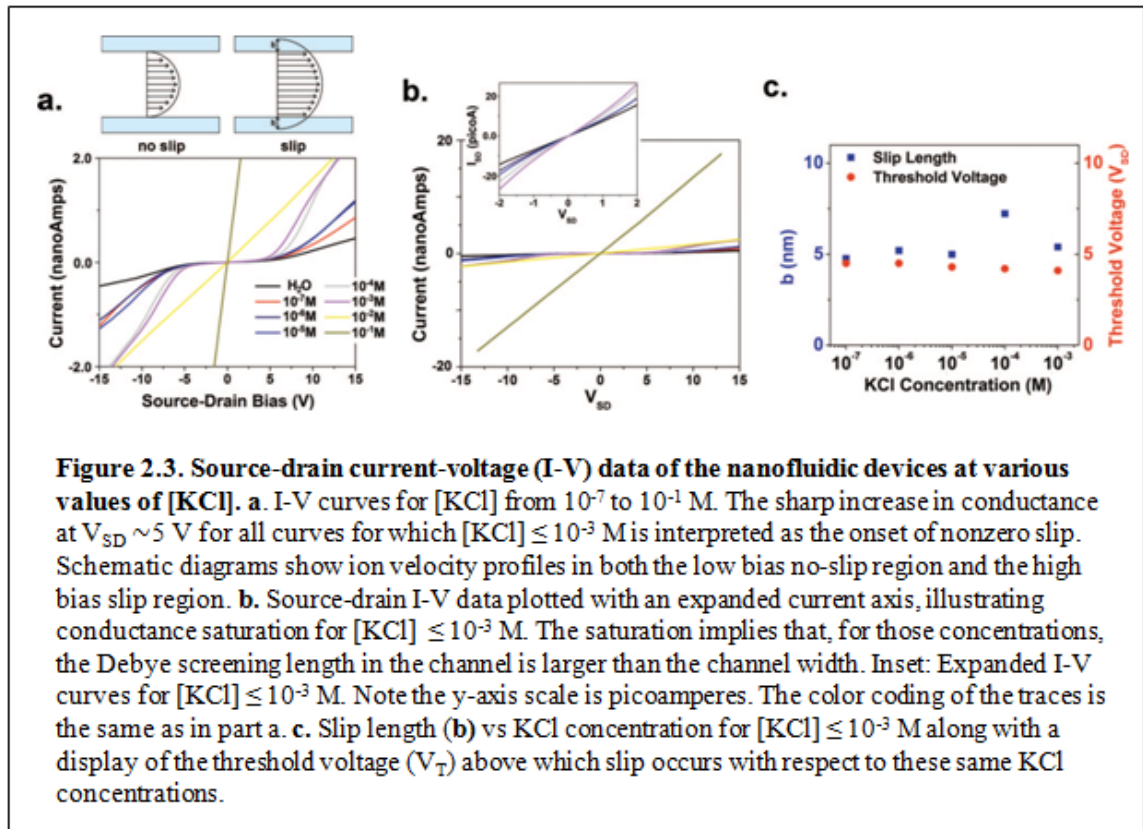
### 2.3.1 Slip-enhanced ion transport through nanofluidic channels

Upon completion of device fabrication, we introduced aqueous solutions of KCl into the device at concentrations ranging from  $10^{-7}$  M to  $10^{-1}$  M, optically observed nanochannel filling over the entire array, and measured the source-drain current-voltage ( $I_{SD}$ - $V_{SD}$ ) characteristics over a 30 – 50 volt range (conductance plots ( $dI_{SD}/dV_{SD}$ ) at the abovementioned KCl concentrations are presented in the Appendix). Similar to previous studies<sup>12,13</sup>, which were limited to conductance measurements at low bias ( $< 5$  V),

conductance saturation is present below a threshold concentration of  $10^{-3}$  M. This saturation occurs because the negatively charged silanol groups at the  $\text{SiO}_2$  nanochannel surface attract  $\text{K}^+$  counterions and repel  $\text{Cl}^-$  anions over the characteristic Debye length ( $\kappa^{-1}$ ), which is given by<sup>25</sup>:

$$\kappa^{-1} = [\epsilon k_B T / (e^2 N_A \sum_i c_i Z_i^2)]^{1/2}.$$

Here,  $c_i$  is the molar concentration and  $Z_i$  is the valence of ion species  $i$ . Standard constants include  $\epsilon$  (the permittivity),  $k_B$  (Boltzmann constant),  $e$  (electron charge), and  $N_A$  (Avogadro's number). For  $[\text{KCl}] = 10^{-3}$  M, this gives a Debye length of 9.7 nm, which is comparable to the 10 nm radius of our nanochannels. As a result, the  $\text{K}^+$  concentration within our nanochannels is determined by the surface charge on the walls for bulk  $[\text{KCl}] \leq 10^{-3}$  M. On the other hand, for  $[\text{KCl}] > 10^{-3}$  M, the Debye length becomes smaller than the nanochannel radius, leading to shielding of surface charges and more ohmic ion transport behavior (**Figure 2.3b**).



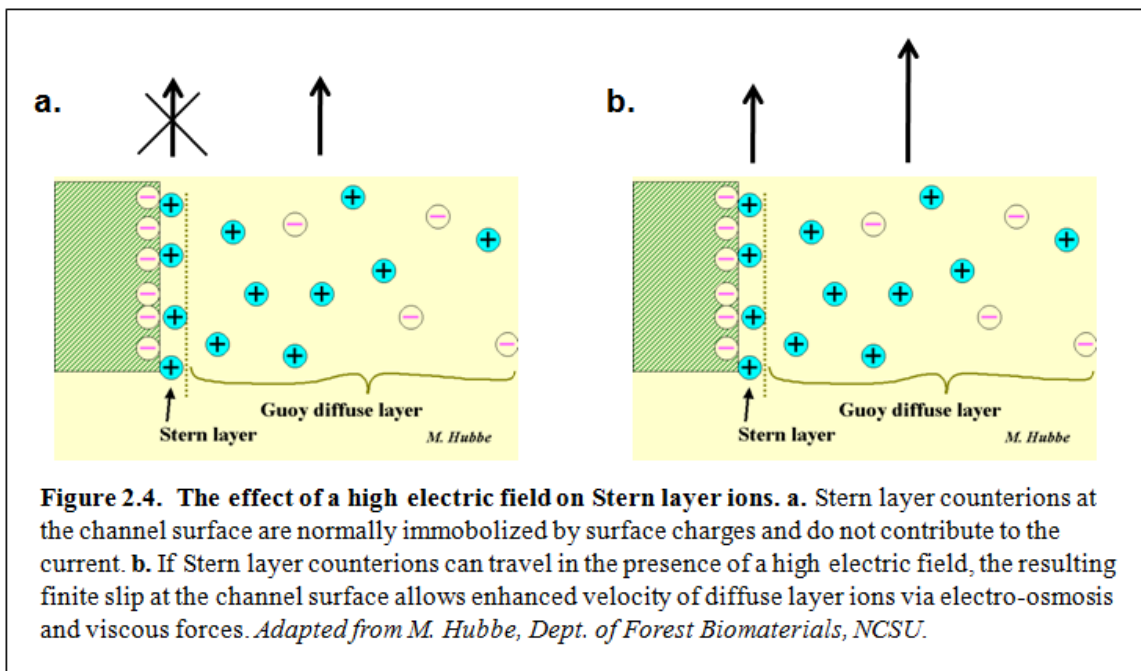
In the surface charge-governed ion transport regime,  $[KCl] \leq 10^{-3}$  M, and for  $V_{SD}$  values above a threshold voltage ( $V_T$ ) of about 5 V, the current exhibits a sharp and nonlinear increase with increasing bias (**Figure 2.3a**) and conductance enhancement of 20-50 fold, depending upon [KCl]. We propose that this nonlinear behavior arises from an electric field-induced breakdown of the zero-slip condition. The transition into the finite-slip regime can be attributed to the changing behavior of the  $K^+$  ion double layer in the nanochannel solution. The layer closest to the surface, called the Stern layer, is about 0.3 nm thick<sup>26</sup> and consists of  $K^+$  ions immobilized to the nanochannel surface. The second layer, which can move under no-slip conditions, is a diffuse layer of  $K^+$  ions that comprises the rest of the solution within the nanochannels<sup>27</sup> (**Figure 2.4a**).



However, it has been theorized that the Stern layer can be moved tangential to the surface under the influence of an external electric field<sup>2,26</sup> (**Figure 2.4b**). In our device, this may be happening above the threshold  $V_{SD}$ , in which case the electric field parallel to the nanochannel surface is overcoming the attraction of the Stern layer  $K^+$  ions to the silica surface. As a result, these ions move along the surface, electroosmotically pulling water with them, and the zero-slip condition no longer holds. Due to viscous forces, hydrodynamic slip at the nanochannel surface increases the velocity of all species within the nanochannel, resulting in greatly enhanced conductance as illustrated in **Figure 2.4b** as well as in the schematic diagrams in **Figure 2.3a**.

Nonlinear I-V characteristics in somewhat larger (40 nm deep) nanofluidic channels for sodium phosphate concentrations in the range  $10^{-4} - 10^{-2}$  M have been observed by Kim, et al<sup>9</sup>. However, their results reflect very different physics. They observed a broad, current-saturated, intermediate bias region separating their low- and high-bias regions. They interpret their data within the framework of concentration polarization, in which an ion depletion region develops at the microchannel/nanochannel interface, which leads to the current saturation regime in their  $I_{SD}$ - $V_{SD}$  plots. At sufficiently high bias, instabilities (imaged as vortices) appear at the microchannel/nanochannel interface, collapsing the depletion regions, and resulting in a second phase of steep current increase (the overlimiting region). At even higher bias, conductance values return to their original (low-bias) levels. The lack of a current-saturated region in our data, the fact that conductances remain high and stable as  $V_{SD}$  increases, the absence of nonlinear ion transport above  $10^{-3}$  M, and the below-described

voltage-gating experiments all distinguish our system and support the interpretation of non-zero slip in our channels.



### 2.3.2 Nanochannel ion transport model

The contribution of slip to conductance enhancement within a nanochannel of radius comparable to the Debye screening length can be modeled as follows (see Appendix for detailed description). To maintain electroneutrality, the nanochannel surface charge must equal the counterion charge in the solution ( $Q_{\text{surface}} = Q_{\text{solution}}$ ). This is expressed as:

$$\mathbf{A} \times \boldsymbol{\sigma} = \mathbf{V} \times \mathbf{e} \times \mathbf{n}_{\text{cr}} \quad (1),$$

where  $\mathbf{A}$  is the nanochannel surface area,  $\boldsymbol{\sigma}$  is the surface charge density,  $\mathbf{V}$  is the nanochannel volume, and  $\mathbf{n}_{\text{cr}}$  is the critical ion density at which nanochannel ion transport transitions from bulk-like to a surface-charge governed regime (herein,  $\mathbf{n}_{\text{cr}}$  is

equivalent to a  $K^+$  concentration of  $\sim 10^{-3}$  M). The value of  $\sigma$  obtained is  $0.0006$  C/m<sup>2</sup>.

Using this value, the slip length  $\mathbf{b}$  can be calculated using the conductance equation:

$$\mathbf{G} = \mathbf{N} \times 4 \mu \sigma (\mathbf{d}/\mathbf{L}) (1 + \mathbf{b}/l_{\text{stern}}) \quad (2),$$

where  $\mu$  is the  $K^+$  mobility ( $= 7.6 \times 10^{-8}$  m<sup>2</sup>/(V·s)),  $\mathbf{d}$  is the nanochannel hydraulic diameter ( $= 24$  nm),  $\mathbf{L}$  is the nanochannel length ( $= 20$  micrometers),  $\mathbf{N}$  is the number of nanochannels (80) in the array, and  $l_{\text{stern}}$  is the thickness of the Stern layer ( $\sim 0.3$  nm), which is also the distance over which the shear plane moves when shifting from no-slip to slip conditions. The first part of equation (2),  $\mathbf{N} \times 4 \mu \sigma (\mathbf{d}/\mathbf{L})$ , represents electrokinetic conductance through the nanochannels in the absence of slip, and the  $(1 + \mathbf{b}/l_{\text{stern}})$  factor represents the conductance enhancement due to slip<sup>1,2</sup>. For conductance in the low bias ( $V_{\text{SD}} < V_{\text{T}}$ ) regime, where there is no slip ( $\mathbf{b} = 0$ ), the measured conductance ( $\sim 14.4$  pS) is almost identical to the value calculated ( $\sim 16.8$  pS) using equations (1) and (2).

### 2.3.3 Tuning the nanochannel surface charge density by application of gate voltages

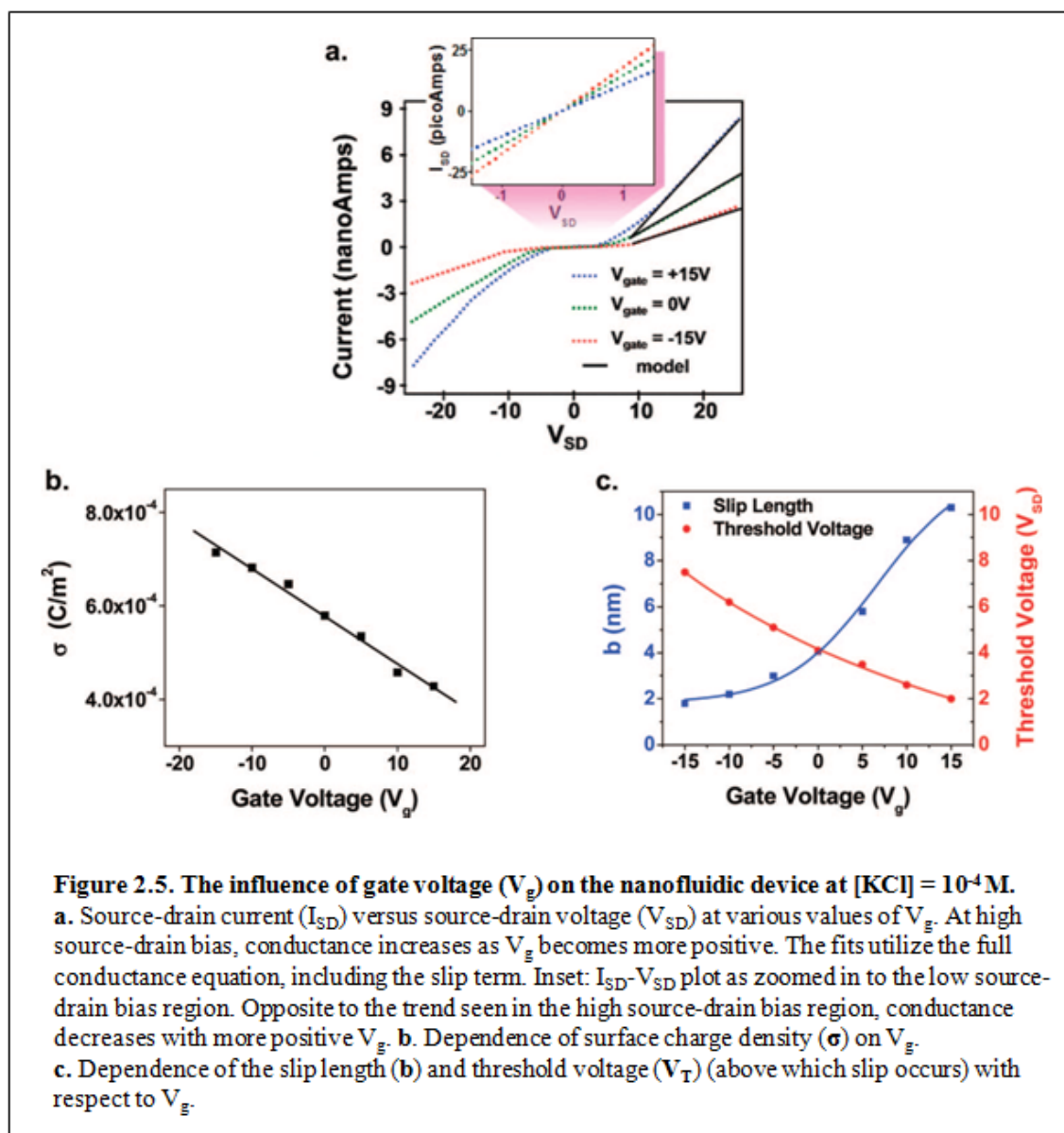
If the emergence of hydrodynamic slip is indeed a consequence of a field-induced breakdown in electrostatic attractions between the Stern layer and the channel wall, reducing the surface charge density should lower this electrostatic barrier and enhance slip. This reasoning is supported by two observations. First, there is no significant difference either in slip length or in the threshold voltage at which slip occurs for all values of  $[KCl] \leq 10^{-3}$  M (**Figure 2.3c**). This is attributable to the fact that the surface charge density remains fairly stable throughout these concentrations. Additional support stems from experiments that exploit a gate electrode to tune the nanochannel surface

charge density and measure the corresponding change in slip length. The gating experiments on a  $10^{-4}$  M KCl solution are presented in **Figure 2.5**.

Another noteworthy observation is the reversal in the effect of  $V_g$  on conductance between the low bias and high bias regimes. As  $V_g$  becomes more positive, the bare surface charge density ( $\sigma$ ) extracted from equation (1) becomes less negative (**Figure 2.5b**), which has two potentially competing effects. The first is a decrease in the intrachannel counterion concentration, which tends to *decrease* the conductance. The second is a decrease in the attraction of the Stern layer to the channel surface, which tends to *increase* the conductance (due to slip). At low source-drain bias ( $V_{SD} < V_T$ ), the electric field is not strong enough to overcome the attraction of the Stern layer to the surface, so the decrease in ion concentration with increased  $V_g$  (**Figure 2.5a inset**) translates directly to a decreased conductance, as shown in previous studies<sup>11,12</sup>. By contrast, in the high source-drain bias ( $V_{SD} > V_T$ ) regime, the electric field is strong enough to move the Stern layer. Therefore, as  $V_g$  becomes more positive, the Stern layer moves faster (larger slip length, **b**) (**Figure 2.5c**), resulting in a net increase in conductance. Alternatively, less force is required to begin moving the Stern layer (lower  $V_T$ ) as its attraction to the channel surface decreases with more positive  $V_g$ .

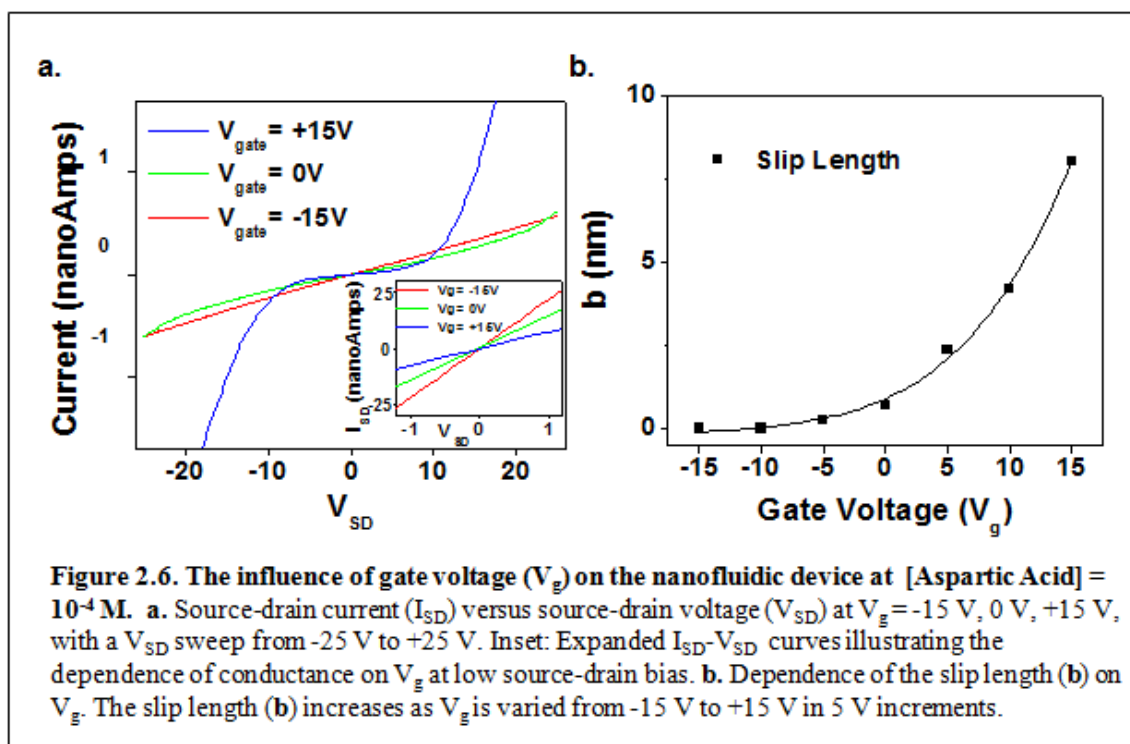
Over the entire range of  $V_{SD}$ , the nonlinear nature of the I-V curves is evident for all values of  $V_g$  (**Figure 2.5a**). In the low bias regime ( $V_{SD} < V_T$ ), where there is no slip, the ohmic response is well-described by the conductance equation without the enhancement factor (notice that the enhancement factor reduces to unity for  $\mathbf{b} = 0$ ) as shown in the Appendix. In the high  $V_{SD}$  regime ( $V_{SD} > V_T$ ), these curves were fitted with the full conductance equation including the slip enhancement term illustrated in equation

(2). Such linear fits permitted us to extract the slip length,  $b$ , for each value of  $V_g$  (Figure 2.5c). Our obtained slip lengths in the range of  $\sim 2 - 10$  nm are comparable to those proposed in studies of  $\text{SiO}_2$  surfaces<sup>28</sup> and represent on average 8 – 35-fold increases in conductance.

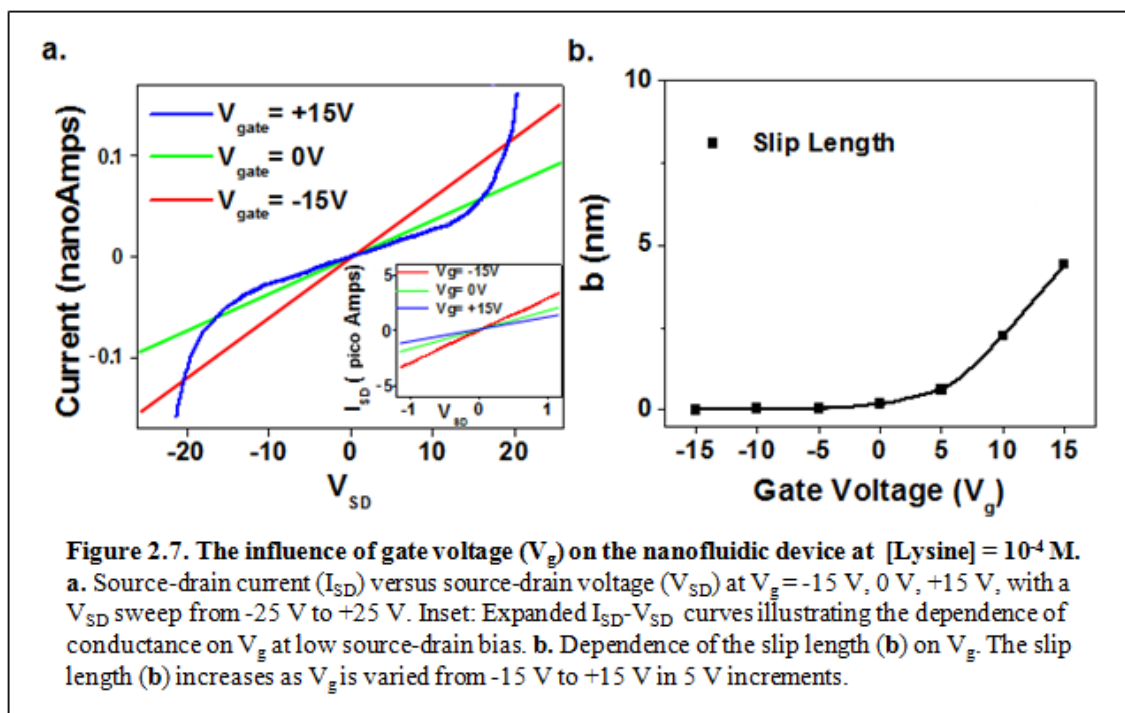


### 2.3.4 Peptide sequencing

A  $10^{-4}$  M solution of aspartic acid monomers at a pH of 5.5 was inserted into the nanochannel array, and I-V curves were obtained. The device fabrication and measurement setup were identical to those used in I-V measurements with KCl. The data for the amino acid species under varying gate voltages (**Figure 2.6a**) is similar to that seen with  $10^{-4}$  M KCl, with enhanced ion transport occurring above a threshold voltage, but, for aspartic acid, this increase is only observed under the influence of a positive gate voltage. For  $V_g = 0$ , the conductance is just starting to increase at  $V_{SD}$  above  $|\pm 20$  V|, and for  $V_g < 0$ , this phenomenon is completely suppressed at all values of  $V_{SD}$ . At low source-drain bias, decreasing conductance with increasing  $V_g$  parallels the behavior of KCl (**Figure. 2.6a inset**) and emphasizes the opposite trend in conductance vs. gate voltage at low and high source-drain bias. The slip lengths range from 0 – 8 nm (**Figure 2.6b**), values that are comparable to those seen with KCl, although the suppression of the slip length at negative gate voltages is not seen with KCl under the  $V_{SD}$  range measured.



However, the similarities between the measurements of the aspartic acid and KCl solutions indicate that positive ions are the dominant charge carriers in the aspartic acid solution. Above a pH of  $\sim 3.9$ , the majority of aspartic acid monomers are deprotonated, giving negatively charged aspartate ions and positive hydronium ions. The negative surface charge of the nanochannels with the ensuing Debye length on the order of the nanochannel radius prevents the entry of aspartate ions, in which case conductance is dominated by hydronium ions.



A similar experiment was also conducted on a  $10^{-4} M$  solution of lysine monomers at a pH of 9.0 (**Figure 2.7**). Here, enhanced ion transport above a threshold voltage was observed as well, however the threshold voltage was considerably higher than for aspartate and was only significantly observed for  $V_g > +5 V$  (**Figure 2.7a**). Even at these positive gate voltages, the conductance just starts to increase at  $V_{SD}$  above  $|\pm 30 V|$ , resulting in slip lengths that range from  $0 - 5 nm$  (**Figure 2.7b**). Also, the values of the current are about an order of magnitude smaller than those seen for aspartate, signifying that the conducting species must be considerably larger in this case. Given these observations and the fact that below a pH of  $\sim 10.5$ , the majority of lysine monomers are protonated, positively charged lysine monomers are most likely the major conducting species. With the differences in I-V characteristics and slip lengths seen for aspartate and lysine, nanofluidic channels have great potential for identifying all the other amino acids



based on mass and pKa value. Once this is achieved, the obvious next step would be to sequence peptides.

### 2.3.5 Mechanical power enhancement

The ability to access finite-slip conditions in these nanochannels is encouraging from the point-of-view of energy conversion. We consider the mechanical power output of our device. This is the kinetic energy per unit time that is associated with the electroosmotic movement of fluid through the nanochannel array:

$$\text{Mechanical Power} = (1/2)(\rho v_{\text{eof}} A) v_{\text{eof}}^2 \quad (3),$$

where  $v_{\text{eof}}$  is the electroosmotic fluid velocity of water through the nanochannels, and  $\rho v_{\text{eof}} A$  is the mass flow rate. According to equation (3), the mechanical power increases as the cube of the electroosmotic fluid velocity. Therefore, an 80 – 200-fold increase in fluid velocity, as calculated in our device due to slip, translates to a  $\sim 10^6$  enhancement in mechanical power. Detailed calculations on electroosmotic fluid velocity enhancements extracted from current measured in the nanochannel array device and their correlation to mechanical power are described in the Appendix. Such a large improvement in mechanical power through these silica channels suggests that they may also be useful for certain applications outside of peptide sequencing for which nanofluidic devices have been targeted, such as filtration and water desalination.

Based on our results showing enhanced ion transport, silica-based nanofluidic devices would likely exhibit a higher mechanical-to-electrical energy conversion efficiency than the current measured limit of 3 %<sup>23</sup>. In a recent work by Ren and Stein<sup>21</sup>, theoretical characterization of electrokinetic transport through nanochannels showed that

streaming current increases dramatically with increases in slip length, predicting > 70 % energy conversion efficiencies. The ability to tune slip lengths with gate voltages should permit the testing of this theory in future experiments. Measurements of streaming currents through nanochannels will require a slight modification of our device design, since the PDMS/silica interface cannot tolerate high pressures.

## 2.4 Conclusion

In summary, we have investigated ion and amino acid transport through an array of 80 closely-spaced sub-20 nm hydrophilic SiO<sub>2</sub> nanochannels over a 30 – 50 V range of source-drain biases. We have observed that for ion concentrations at which the Debye length exceeds the nanochannel radius, ion transport becomes greatly magnified at a threshold source-drain bias. This behavior can be described by a theoretical model that attributes the enhancement to a breakdown of the no-slip boundary condition at the liquid/nanochannel surface interface at high source-drain bias. By varying the surface charge density of the nanochannels using a gate electrode, we were able to tune the slip length to obtain further enhancements in ion transport through the hydrophilic nanochannel array. Using this technique, we were also able to obtain specific I-V characteristics for two different amino acids, aspartate and lysine. These results imply that such devices have the potential to be utilized for protein sequencing and structure determination and can be harnessed for efficient energy conversion applications.

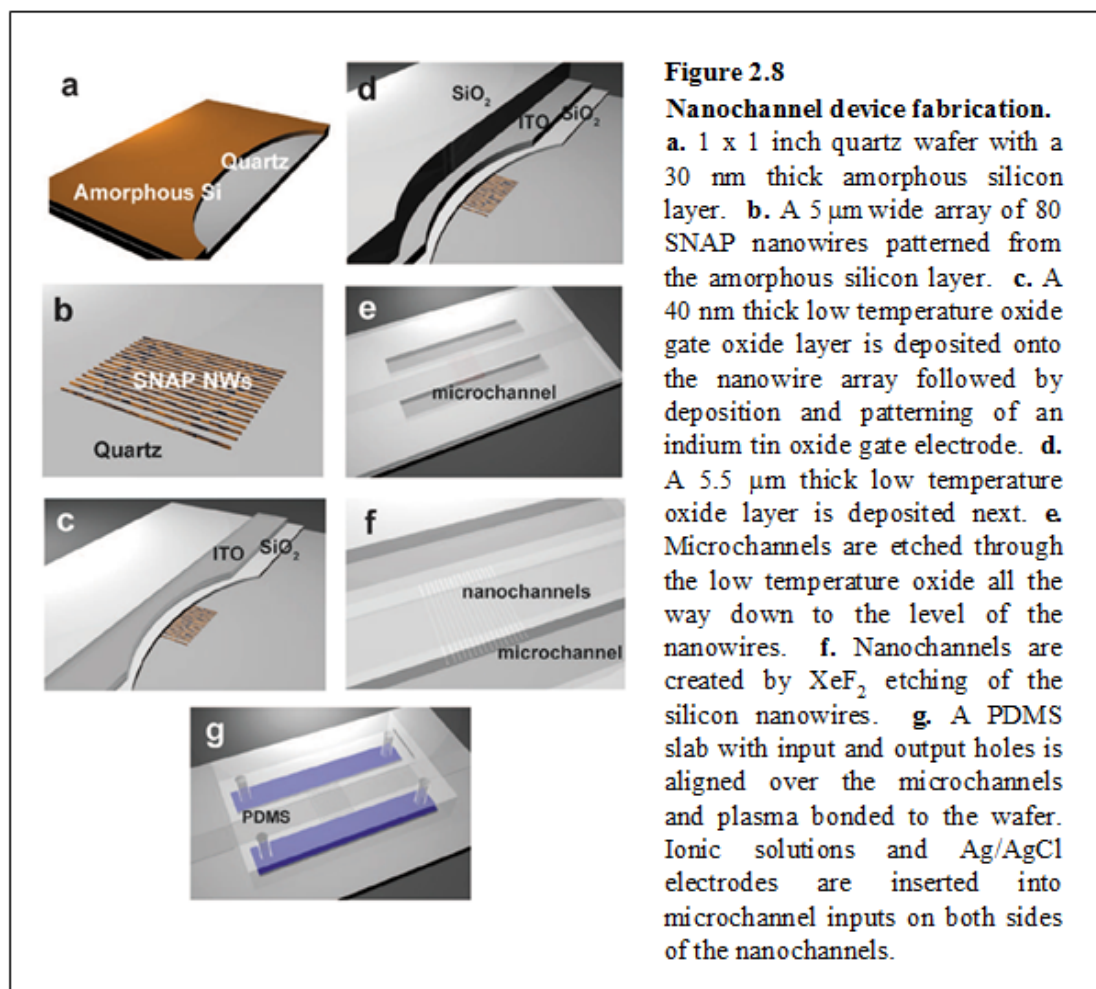
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## 2.6 Appendix A: Nanochannel Fabrication and Measurement



The first step in building nanochannels (**Figure 2.8**) was to generate a nanowire template. The SNAP method<sup>24</sup> and standard electron beam lithography (EBL) were used to make an array of silicon nanowires on a transparent quartz substrate with a 30 nm-thick amorphous silicon layer deposited using an e-beam evaporator. In the silicon nanowire array generated by the SNAP method, each wire was about 15 – 20 nm-wide with a pitch of 50 nm. The wires were sectioned into regions 20 μm in length and 5 μm in

width by standard electron beam lithography (EBL). Low Pressure Chemical Vapor Deposition (LPCVD) was then used to deposit a 40 nm-thick silicon dioxide gate oxide layer on top of and between the wires according to the reaction:  $\text{SiH}_4 + \text{O}_2 \rightarrow \text{SiO}_2 + 2\text{H}_2$ . A 50 nm-thick layer of indium tin oxide (ITO) was sputtered on top of the low temperature oxide (LTO), patterned with photoresist, and etched with a 0.5 M HCl solution to create the gate electrode. A 5  $\mu\text{m}$ -thick layer of LTO was deposited on top of the device. Photoresist was spun onto the LTO layer and a microchannel pattern was made by photolithography. The pattern was etched down via an Active Oxide Etching (AOE) process ( $\text{CHF}_3:\text{C}_4\text{F}_8:\text{Ar} = 33 \text{ sccm}:7 \text{ sccm}:10 \text{ sccm}$ , 200 W, 10 mTorr, 15 minutes) to expose the ends of the SNAP wires. This gave a set of microchannels connecting either end of the SNAP wires. The microchannel depth was about 5.5  $\mu\text{m}$  as confirmed by a surface profiler (Dektak 150). The photoresist was stripped by acetone, and the substrate was further cleaned by a piranha solution ( $\text{H}_2\text{SO}_4:\text{H}_2\text{O}_2 = 5:1$  by volume). At this point,  $\text{XeF}_2$  was used to selectively and isotropically etch the silicon wires to form hollow channels within the glass according to the reaction:  $2\text{XeF}_2 + \text{Si} \rightarrow \text{SiF}_4(\text{g}) + 2\text{Xe}(\text{g})$ . Before loading substrates into the  $\text{XeF}_2$  etching chamber, the substrates were dipped into a buffered oxide etching solution (BOE:  $\text{NH}_4\text{F}/\text{HF} = 6:1$  by volume) for 1 second to remove native oxide layers on the exposed tips of the silicon wires. The pressure of  $\text{XeF}_2$  gas was maintained at about 2800 mTorr during the etching procedures. The etching progress was monitored by a color change in the array as viewed by optical microscopy. Finally, PDMS with drilled input/output holes was bonded to the device by using an oxygen plasma technique to create a watertight seal between the microchannels. This seal ensures that the only connection between the microchannels is via the

nanochannels. Ionic solutions were inserted through the input holes in the PDMS, and the ionic current measurements were carried out with the use of a source/preamplifier unit (Keithley 2400). Commercially available Ag/AgCl electrode assemblies (E. W. Wright) were used. The ensuing electrokinetic current could be read, thereby allowing the characterization of ionic transport through the nanochannels.



## 2.7 Appendix B: Conductance Equation Derivation

The contribution of slip to conductance enhancement within a nanochannel of radius comparable to the Debye screening length can be modeled as follows. To maintain electroneutrality, the nanochannel surface charge must equal the counterion charge in the solution. This is expressed as:

$$Q_{\text{surface}} = Q_{\text{solution}} \quad (\text{eq. A1}).$$

This fundamental equation can be expanded to show its components, which gives:

$$\mathbf{A} \times \boldsymbol{\sigma} = \mathbf{V} \times \mathbf{e} \times (\mathbf{n}_{\text{K}} + \mathbf{n}_{\text{Cl}}) \quad (\text{eq. A2}),$$

where  $\mathbf{A}$  is the nanochannel surface area,  $\boldsymbol{\sigma}$  is the surface charge density,  $\mathbf{V}$  is the nanochannel volume,  $\mathbf{e}$  is the electron charge, and  $\mathbf{n}_{\text{K}}$  and  $\mathbf{n}_{\text{Cl}}$  are the  $\text{K}^+$  and  $\text{Cl}^-$  ion densities, respectively. For  $[\text{KCl}] \leq 10^{-3} \text{ M}$ , the interior of the nanochannel is virtually unipolar, made up almost entirely of  $\text{K}^+$  ions, so that the term  $\mathbf{n}_{\text{Cl}}$  becomes negligible. The factor  $\mathbf{n}_{\text{K}} + \mathbf{n}_{\text{Cl}}$  can then be approximated as the parameter  $\mathbf{n}_{\text{cr}}$ , which is defined as the critical ion density within the nanochannel at which ion transport transitions from bulk-like to a surface charge-governed regime (herein,  $\mathbf{n}_{\text{cr}}$  is equivalent to a  $\text{K}^+$  concentration of  $\sim 10^{-3} \text{ M}$ ). Using these conditions along with eq. A2, the surface charge density ( $\boldsymbol{\sigma}$ ) of a single nanochannel for  $[\text{KCl}]_{\text{bulk}} \leq 10^{-3} \text{ M}$  is estimated as a function of  $\mathbf{n}_{\text{cr}}$  by

$$\boldsymbol{\sigma} = \frac{\mathbf{V} \times \mathbf{e} \times \mathbf{n}_{\text{cr}}}{\mathbf{A}} \quad (\text{eq. A3}),$$

which gives a value of 0.0006 C/m<sup>2</sup>. From the ion density, it is possible to find the current (**I**) associated with ion transport through a nanochannel, which is approximated as<sup>29</sup>

$$\mathbf{I} = \mathbf{e} \times \mathbf{n} \times \mu \times \mathbf{E} \times \mathbf{S} \quad (\text{eq. A4}),$$

where **n** is the ion density,  $\mu$  is the K<sup>+</sup> mobility (= 7.6×10<sup>-8</sup> m<sup>2</sup>/(V·s)), **E** is the electric field defined by source-drain voltage/nanochannel length (**V**<sub>SD</sub>/**L**), and **S** is the cross-sectional area of the nanochannel. The conductance (**G**) of the nanochannel array in the surface charge-governed regime at low source-drain bias is obtained by taking the derivative of the current with respect to source-drain voltage (**V**<sub>SD</sub>), treating the ion density (**n**) as **n**<sub>cr</sub>, and using eq. A3 to make the conductance a function of the surface charge density, which gives

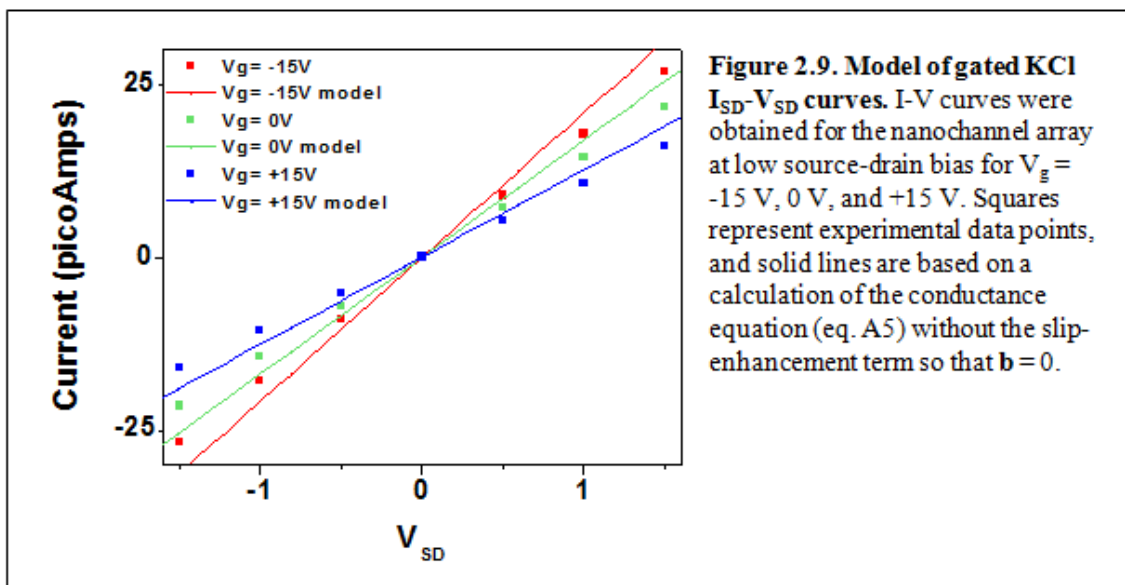
$$\mathbf{G} = \mathbf{N} \times 4 \mu \sigma (\mathbf{d}/\mathbf{L}) \quad (\text{eq. A5}),$$

where **d** is the nanochannel hydraulic diameter (= 24 nm), **L** is the nanochannel length (= 20 micrometers), and **N** is the number of nanochannels (80) in the array. To account for enhanced ion transport at high source-drain bias, eq. A5 is modified to

$$\mathbf{G} = \mathbf{N} \times 4 \mu \sigma (\mathbf{d}/\mathbf{L}) (1 + \mathbf{b}/l_{\text{stern}}) \quad (\text{eq. A6}),$$

where **b** is the extrapolated slip length, and  $l_{\text{stern}}$  is the thickness of the Stern layer (~0.3 nm), which is also the distance over which the shear plane moves when shifting from no-slip to slip conditions. The relation shown in eq. A5,  $\mathbf{G} = \mathbf{N} \times 4\mu\sigma(\mathbf{d}/\mathbf{L})$ , represents electrokinetic conductance through the nanochannels without considering slip (**b** = 0), as shown in **Figure 2.9**. The extra term,  $1 + \mathbf{b}/l_{\text{stern}}$ , presented in eq. A6, represents the

conductance enhancement due to slip<sup>1,2</sup>. For conductance in the zero-slip regime, the measured conductance ( $\sim 14.4$  pS) was almost identical to the value ( $\sim 16.8$  pS) obtained using eqs. A3 and A5.



## 2.8 Appendix C: Mechanical Power Calculations

The mechanical power output of the device – the kinetic energy per unit time associated with electroosmotic movement of fluid through the nanochannel – is defined by

$$\text{Mechanical Power} = \frac{1}{2} (\rho \mathbf{v}_{\text{eof}} \mathbf{A}) v_{\text{eof}}^2 \quad (\text{eq. A7}),$$

where  $\mathbf{v}_{\text{eof}}$  is the electroosmotic flow velocity,  $\rho$  is the fluid density,  $\mathbf{A}$  is the cross-sectional area, and  $\rho \mathbf{v}_{\text{eof}} \mathbf{A}$  is the mass flow rate. To obtain the mechanical power output enhancement from the nanochannel device at high electric fields, we first calculate the electroosmotic flow velocity ( $\mathbf{v}_{\text{eof}}$ ) of a KCl solution with a saturated nanochannel concentration of  $10^{-3}$  M in the low voltage no-slip region and in the absence of a gate voltage by applying the Helmholtz-Smoluchowski equation<sup>30</sup>:

$$v_{\text{eof}} = \frac{\zeta \varepsilon \mathbf{E}}{\eta} \quad (\text{eq. A8}),$$

with  $\eta$  the viscosity of water,  $\varepsilon$  the permittivity, and  $\mathbf{E}$  the electric field.  $\zeta$  is the zeta potential at the shear plane, which can be derived from our previously calculated surface charge density ( $\sigma$ ) via the Grahame equation<sup>31</sup>:

$$\sigma^2 = \frac{\varepsilon k_B T}{\pi} n_0 \left[ \cosh \frac{e \zeta}{k_B T} - 1 \right] \quad (\text{eq. A9}),$$

where  $k_B T$  is the thermal energy, and  $n_0$  is the ion charge density within the nanochannels. This gives an electroosmotic velocity of  $3.25 \times 10^{-4}$  m/s at a source-drain bias of 1 volt.

The electroosmotic current ( $I_{\text{eof}}$ ) can be determined from the electroosmotic velocity value by

$$I_{\text{eof}} = n_0 v_{\text{eof}} S \quad (\text{eq. A10}),$$

where  $S$  is the cross-sectional area of all 80 nanochannels, resulting in a value of 1.5 picoAmps. This makes up roughly 10 % of the total experimentally measured current of 14.4 picoAmps at 1 volt, although electroosmotic flow has been theoretically shown to contribute up to 25 % of ion current in nanochannels<sup>32</sup>.

Thus, assuming that electroosmotic flow accounts for between 10 % and 25 % of the total current, a linear extrapolation of the current from the no-slip, low bias region to higher voltage values results in an electroosmotic velocity of approximately 0.008 – 0.02 m/s at 25 volts. Extracting out the slip-enhanced velocity from the current in the experimental KCl I-V curve with  $V_g = +15$  V shown in **Figure 2.5** using eq. A10 gives a value of  $\sim 1.7$  m/s at 25 volts. We assume that electroosmotic flow dominates the current above the slip threshold voltage, so that the velocity value of 1.7 m/s is the electroosmotic velocity. Therefore, fluid velocity is enhanced about 80 – 200 times.

We can convert the velocity increases into mechanical power enhancement using eq. A7 above, which shows that mechanical power increases as the cube of the electroosmotic fluid velocity. Comparing slip-enhanced fluid flow to fluid transport confined by the no-slip boundary condition results in a calculated mechanical power output enhancement factor of approximately 5 – 6 orders of magnitude at 25 volts.

## 2.9 Appendix D: Differential Conductance of Potassium Chloride

