

Allright. There's some code here, and it does stuff.

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rrEchelon.py  Takes a matrix and returns its reduced row echelon form.
getSyms.py   Uses a couple of generators to find the 48 symmetries of a
              cubic crystal. It dumps them out to S.pkl.
S.pkl        List of numpy matrices, each one corresponding to a point
              group symmetry of a cubic crystal
fcc?NN.py    Little code that spits out the constraints on the components
              of the ?NN force constant tensor for an FCC crystal.
fcc.py       Spits out constraints for 1-8NN. Add more NN by adding
              their one of their vectors to the list, V.

mat2vec.py   This is the interesting one.

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Some pair of atoms in a crystal is 'connected' by some vector. The connection is made mathematically with a force constant tensor. We'll talk 3D from here on out. Without knowing any better, we write:

$$F = \begin{pmatrix} F_{11} & F_{12} & F_{13} \\ F_{12} & F_{22} & F_{23} \\ F_{13} & F_{32} & F_{33} \end{pmatrix} \quad (1)$$

And you can see that we have 9 degrees of freedom (DOF). It seems likely that some of the magical symmetries of the crystal will reduce the DOF. We can look into this by taking one of the  $3 \times 3$  representations of the point group symmetries,  $S_s$ , and applying it to the  $F$ , requiring that  $F$  remain unchanged. That looks like so:

$$S_s = \begin{pmatrix} S_{11}^s & S_{12}^s & S_{13}^s \\ S_{21}^s & S_{22}^s & S_{23}^s \\ S_{31}^s & S_{32}^s & S_{33}^s \end{pmatrix} \quad (2)$$

$$S_s^T F S_s = F \quad (3)$$

That equation, is rather intimidating, so we rewrite it thusly:

$$S_s^T F - F S_s^{-1} = 0 \quad (4)$$

This looks a lot like the oh so familiar Lyapunov Equation:

$$AX + XB = C \quad (5)$$

where  $A$ ,  $B$ ,  $C$  and  $X$  are all square matrices of dimension  $N$ . So let us consider it. It is clear that all the terms on the left-hand side of the equation are linear in the components of  $X$ , thus, their sum must be linear in the components of  $X$ . This means that we may rewrite the equation as follows:

$$Mx + b = 0 \quad (6)$$

where  $M$  is a  $N^2 \times N^2$  matrix, and  $y$  and  $b$  are  $N^2$ -vectors. The order in which you choose to map the components of the matrix  $X$  into the vector  $x$  is arbitrary; however, once you choose an order, you must be consistent. Let's just show one example... you could write:

$$x = \begin{pmatrix} x_{11} \\ x_{12} \\ x_{13} \\ x_{21} \\ x_{22} \\ x_{23} \\ x_{31} \\ x_{32} \\ x_{33} \end{pmatrix} \quad (7)$$

Which would imply the same ordering for  $b$ , where the components would be given by  $-C_{ij}$ .

Let's construct the matrix  $Z \equiv AX + XB$ . For the 3x3 case, we have:

$$Z = \begin{pmatrix} A_{11}X_{11} + A_{12}X_{21} + A_{13}X_{31} & A_{11}X_{12} + A_{12}X_{22} + A_{13}X_{32} & A_{11}X_{13} + A_{12}X_{23} + A_{13}X_{33} \\ A_{21}X_{11} + A_{22}X_{21} + A_{23}X_{31} & A_{21}X_{12} + A_{22}X_{22} + A_{23}X_{32} & A_{21}X_{13} + A_{22}X_{23} + A_{23}X_{33} \\ A_{31}X_{11} + A_{32}X_{21} + A_{33}X_{31} & A_{31}X_{12} + A_{32}X_{22} + A_{33}X_{32} & A_{31}X_{13} + A_{32}X_{23} + A_{33}X_{33} \end{pmatrix} + \begin{pmatrix} X_{11}B_{11} + X_{12}B_{21} + X_{13}B_{31} & X_{11}B_{12} + X_{12}B_{22} + X_{13}B_{32} & X_{11}B_{13} + X_{12}B_{23} + X_{13}B_{33} \\ X_{21}B_{11} + X_{22}B_{21} + X_{23}B_{31} & X_{21}B_{12} + X_{22}B_{22} + X_{23}B_{32} & X_{21}B_{13} + X_{22}B_{23} + X_{23}B_{33} \\ X_{31}B_{11} + X_{32}B_{21} + X_{33}B_{31} & X_{31}B_{12} + X_{32}B_{22} + X_{33}B_{32} & X_{31}B_{13} + X_{32}B_{23} + X_{33}B_{33} \end{pmatrix} \quad (8)$$

We see that  $Z_{12}$  depends on the 1<sup>st</sup> row of  $A$ , the 2<sup>nd</sup> column of  $X$ , the 1<sup>st</sup> row of  $X$ , and the 2<sup>nd</sup> column of  $B$ . More generally:

$$Z_{ij} = \sum_k A_{ik}X_{kj} + B_{kj}X_{ik} \quad (9)$$

Because of our choice of mapping from  $X$  to  $x$ , the  $Z_{ij}$  tells you what row in  $M$  you are dealing with. The indices on the variables  $X_{kj}$  or  $X_{ik}$  tell you which column in  $M$ . All that is left is to add the  $A_{ik}$  and  $B_{kj}$  into the slots in  $M$ , so given. This is difficult to show explicitly, however, this is the best I got:

$$M = \begin{pmatrix} M_{11} & M_{12} & \dots & M_{19} \\ M_{21} & M_{22} & \dots & M_{29} \\ \vdots & \vdots & \ddots & \vdots \\ M_{91} & M_{92} & \dots & M_{99} \end{pmatrix} \leftarrow \begin{pmatrix} \{Z_{11}, X_{11}\} & \{Z_{11}, X_{12}\} & \dots & \{Z_{11}, X_{33}\} \\ \{Z_{21}, X_{11}\} & \{Z_{21}, X_{12}\} & \dots & \{Z_{21}, X_{33}\} \\ \vdots & \vdots & \ddots & \vdots \\ \{Z_{33}, X_{11}\} & \{Z_{33}, X_{12}\} & \dots & \{Z_{33}, X_{33}\} \end{pmatrix} \quad (10)$$

The left and center of the equation are  $M$  and the components of  $M$ . The thing on the right is supposed to indicate that any time you have  $Z_{ij}$  on the left in Eq. 9, and an  $X_{mn}$  next to one of the coefficients  $A_{pq}$  or  $B_{rt}$  on the right, you add that coefficient at the slot marked  $\{Z_{ij}, X_{mn}\}$  in  $M$ . The problem  $AX + XB = C$  has now been reduced to  $Mx + b = 0$ , which linear algebra tells us how to solve.

For our particular case, we wish to find the constraints on the components of  $F$ . Simply take  $A \rightarrow S_s^T$ ,  $B \rightarrow S_s^{-1}$ ,  $X \rightarrow F$  in Eq. 5, and  $C = 0$  and then put  $M$  into reduced row echelon form. Reading off the rows gives you the constraints on the components of  $F$ . For example, you may end up with something that looks like this:

$$\begin{array}{cccccccccc} xx & xy & xz & yx & yy & yz & zx & zy & zz & \\ [ 0. & 1. & 0. & 0. & 0. & 0. & 0. & 0. & 0. & 0.] \\ [ 0. & 0. & 1. & 0. & 0. & 0. & 0. & 0. & 0. & 0.] \\ [ 0. & 0. & 0. & 1. & 0. & 0. & 0. & 0. & 0. & 0.] \\ [ 0. & 0. & 0. & 0. & 1. & 0. & 0. & 0. & 0. & -1.] \\ [ 0. & 0. & 0. & 0. & 0. & 1. & 0. & 0. & 0. & 0.] \\ [ 0. & 0. & 0. & 0. & 0. & 0. & 1. & 0. & 0. & 0.] \\ [ 0. & 0. & 0. & 0. & 0. & 0. & 0. & 1. & 0. & 0.] \end{array}$$

Which we can rewrite like so:

$$\begin{array}{rcl} xy & & = 0 \\ & xz & = 0 \\ & & yx & = 0 \\ & & & yy & -zz & = 0 \\ & & & & yz & = 0 \\ & & & & & zx & = 0 \\ & & & & & & zy & = 0 \end{array}$$

We see, then, that there are two DOF. Both  $yy = zz$ , and  $xx$  may be varied independently. This particular force constant tensor is axially symmetric.

If there are  $n$  symmetry elements,  $S_s$ , that can transform your bond vector back onto itself, you simply stack up the  $n$   $M_s$ , and find the reduced row echelon form of  $M$ :

$$M = \begin{pmatrix} M_1 \\ M_2 \\ \vdots \\ M_n \end{pmatrix} \quad (11)$$