

# Recent crustal deformation in west-central South America

Thesis by

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# Abstract

I use interferometric synthetic aperture radar (InSAR) to create maps of crustal deformation along the coast and within the volcanic arc of central South America. I image deformation associated with six subduction zone earthquakes, four volcanic centers, at least one shallow crustal earthquake, and several salt flats. In addition, I constrain the magnitude and location of post-seismic deformation from the aforementioned subduction zone earthquakes. I combine InSAR observations with data from the Global Positioning System (GPS) and teleseismic data to explore each source of deformation. I use the observations to constrain earthquake and volcanic processes of this subduction zone, including the plumbing system of the volcanoes and the decadal along strike variations in the subduction zone earthquake cycle.

I created interferograms of over 900 volcanoes in the central Andes spanning 1992-2002, and found four areas of deformation. I constrained the temporal variability of the deformation, the depth of the sources of deformation assuming a variety of source geometries and crustal structures, and the possible cause of the deformation. I do not observe deformation associated with eruptions at several volcanoes, and I discuss the possible explanations for this lack of deformation. In addition, I constrain the amount of co-seismic and post-seismic slip on the subduction zone fault interface from the following earthquakes: 1995  $M_w$  8.1 Antofagasta, Chile; 1996  $M_w$  7.7 Nazca, Peru; 1998  $M_w$  7.1 Antofagasta, Chile; and 2001  $M_w$  8.4 Arequipa, Peru. In northern Chile, I compare the location and magnitude of co-seismic slip from 5  $M_w > 7$  earthquakes during the past 15 years with the post-seismic slip distribution. There is little post-seismic slip from the 1995 and 1996 earthquakes relative to the 2001 event and other recent subduction zone earthquakes.

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# Overview

## 0.1 Introduction to subduction zones

Subduction zones are of fundamental importance to planetary evolution, and the process of subduction is dynamic, generating mountain ranges, volcanoes, and the largest earthquakes (e.g., *Stern*, 2002). In this thesis, I use observations of recent crustal deformation to constrain sub-surface processes associated with several subduction zone earthquakes and volcanoes in west-central South American (14-28°S, see Figure 1) during the past 10 years.

In Chapters 1 and 2, I focus on deformation in the volcanic arc to determine which of the nearly one thousand volcanoes are actively deforming and might over lie regions where magma is moving at depth (see Figure 2). Once deformation is detected, it is difficult to determine the cause and potential hazard of eruption, because deformation can be caused by many processes (e.g., melting, magma injection, or ground water movements). I constrain the location and temporal evolution of the deformation sources, and this provides some clues as to the magma storage and plumbing system as well as the cause of the deformation. I then use these observations to estimate the mass moving within the arc over the past ten years (both intruded shallowly and extruded), and compare it with geologic estimates of the rate of magmatic addition.

In Chapters 3-5, I document the deformation associated with the subduction zone earthquake cycle for several large shallow thrust earthquakes in southern Peru and northern Chile. The classic model of the subduction zone earthquake cycle assumes that on timescales comparable to the earthquake cycle, co-seismic deformation exactly balances the post-seismic and inter-seismic deformation, resulting in no net deforma-

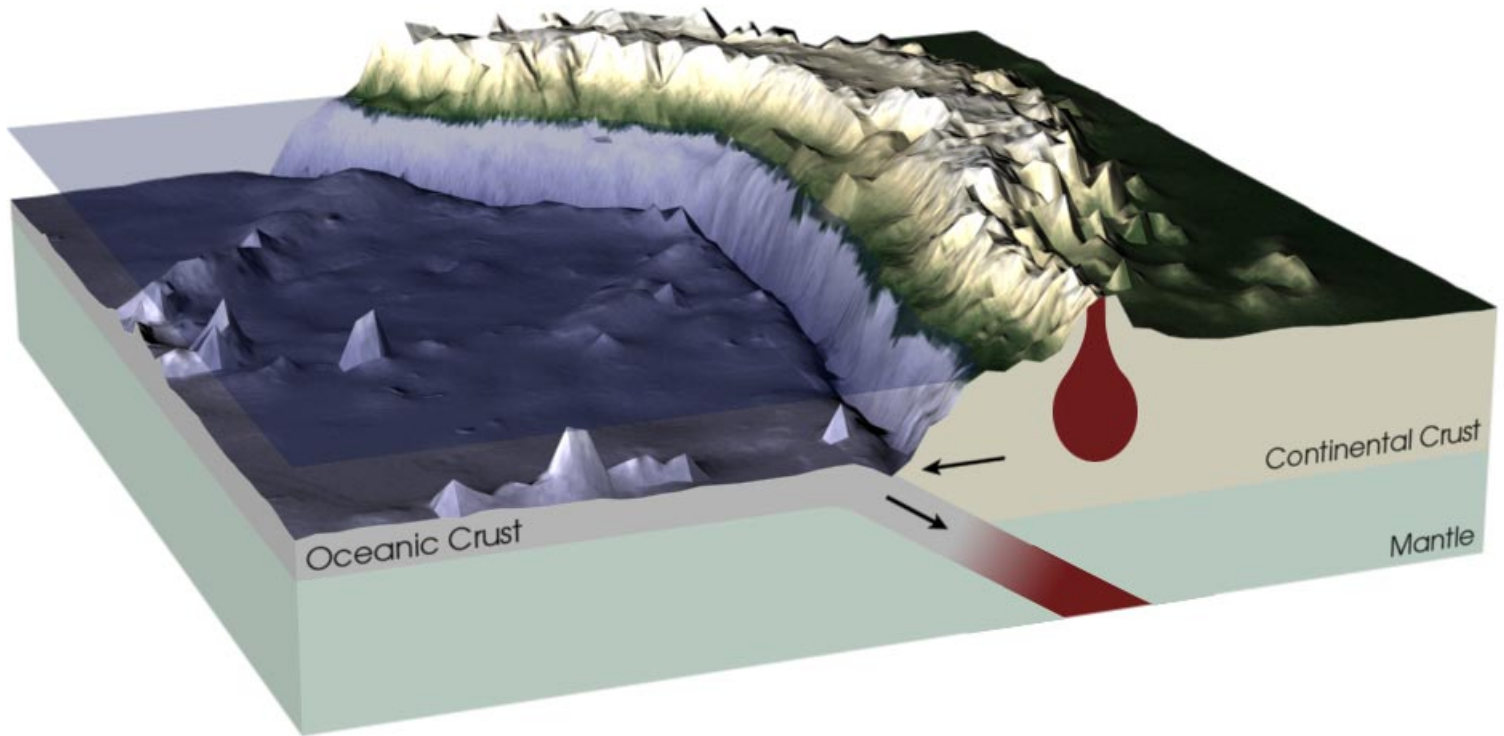


Figure 1: Three-dimensional cut-away perspective of the subduction of the Nazca plate beneath South America within the study area of this thesis, showing the bathymetry, topography, crustal structure and magmatism of the volcanic arc. (Image created by Robert Simmon, Goddard Space Flight Center.)



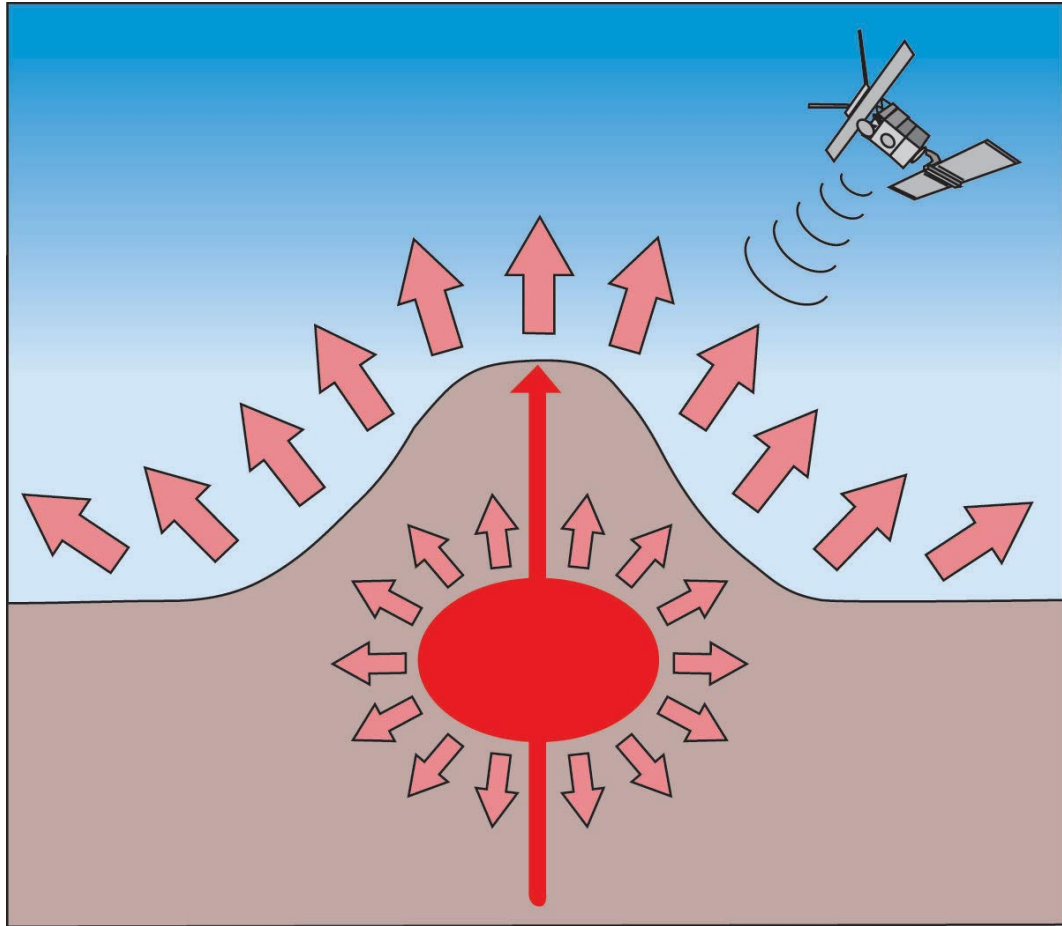


Figure 2: Schematic illustration of magma filling a magma chamber and causing surface inflation that is measured by an overflying radar satellite. (Image created by Doug Cummings, Caltech Public Relations.)

tion (*Savage, 1983*). While this might be a good approximation in some locations, in others, there is evidence for long-term coastal uplift or subsidence, indicating that over the earthquake cycle uplift and subsidence do not cancel (e.g., *Sato and Matsu'ura, 1992; Hsu, 1992; Delouis et al., 1998*).

To better understand the long-term deformation at subduction zones, detailed spatial-temporal measurements of deformation are needed to constrain the variations in co-seismic and post-seismic deformation along strike. I find that even within this single subduction zone, there are significant differences in the earthquake cycle along strike over decadal timescales. In particular, the amount of deformation in the weeks to months following the 2001  $M_w$  8.4 Arequipa, Peru, was much greater than the deformation in the same time interval following the 1995  $M_w$  8.1 Antofagasta, Chile, earthquake, 300 km to the south. While these measurements only constrain deformation over the past few years, and not over the entire earthquake cycle (lasting hundreds of years), the along strike variations in the earthquake cycle documented in Chapter 5 provide some clues for understanding the mechanisms that control post-seismic deformation (particularly afterslip).

## 0.2 Introduction to radar interferometry

To measure surface deformation over the large areas spanned by the volcanic arc and the large subduction zone earthquakes, my primary tool is spaceborne interferometric synthetic aperture radar (InSAR). I also use seismic and Global Positioning System (GPS) observations to constrain slip on the subduction zone interface (Chapters 3-5). InSAR is a technique for atmospheric monitoring, and measuring topography and surface deformation that has been used for more than a decade (for a complete history, see *Rosen et al., 2000*). InSAR is capable of measuring deformation of the Earth's surface with a pixel spacing of order ten meters over hundreds of kilometers, with an accuracy of better than one centimeter. Several publications have thoroughly outlined the technical principles of Synthetic Aperture Radar (SAR) (e.g., *Curlander and McDonough, 1991; Price, 1999*) and InSAR (e.g., *Griffiths, 1995; Gens and van*

*Genderen, 1996; Massonnet and Feigl, 1998; Rosen et al., 2000; Bürgmann et al., 2000; Wright, 2000; Hanssen, 2001*).

For the detailed studies of fault slip and the location of volcanic deformation in this thesis, the high spatial resolution and large lateral coverage of InSAR is essential. GPS is a proven technology for measuring crustal deformation (e.g., *Segall and Davis, 1997*), but although there are several GPS arrays in South America (Figure 3), including hundreds of stations, the station spacing is rather coarse. For example, there are only 3 and 14 GPS measurements of co-seismic deformation from the 1996 and 2001 Peru earthquakes, respectively (both with rupture lengths  $> 100$  km) (*Norabuena et al., 2001*), and only 16 measurements of post-seismic deformation from the 1995 Chile earthquake (*Klotz et al., 2001*). Measurable deformation for all of these events spans hundreds of  $\text{km}^2$ . In Chapters 4 and 5, we present of order  $10^8$  InSAR observations of deformation for the same events. Of course, where possible, data from InSAR and GPS are combined, as the two datasets are complementary (see Chapters 3 and 5).

An illustration of the important interferometry steps is given in Figure 4 and 5. Radar energy is transmitted and received during a satellite (or aircraft) pass (Figure 4). The radar returns are then processed into images with both a magnitude (Figure 5, top row) and phase (Figure 5, second row) of the radar pulse for each pixel. The magnitude forms a recognizable image, in this case of Long Valley caldera, California, where the black area is Lake Crowley. The phase in a single radar image is a complex function of the ground surface scatterers (trees, mountains, people, etc.) resulting in an image that looks like white noise, with values distributed between 0 and  $2\pi$  radians. However, when the phase from the two images is combined in an interferogram (lower right), the phase difference varies in a coherent manner. Several factors influence the phase (Figure 4) – satellite geometry, topography and surface deformation. Atmospheric contamination can also affect the phase measurements, which I discuss in Chapter 2. In this example, the effects of satellite geometry has been removed, so the image only includes topography and the interferogram resembles a topographic contour map (Figure 5, bottom right). Interferograms such as these

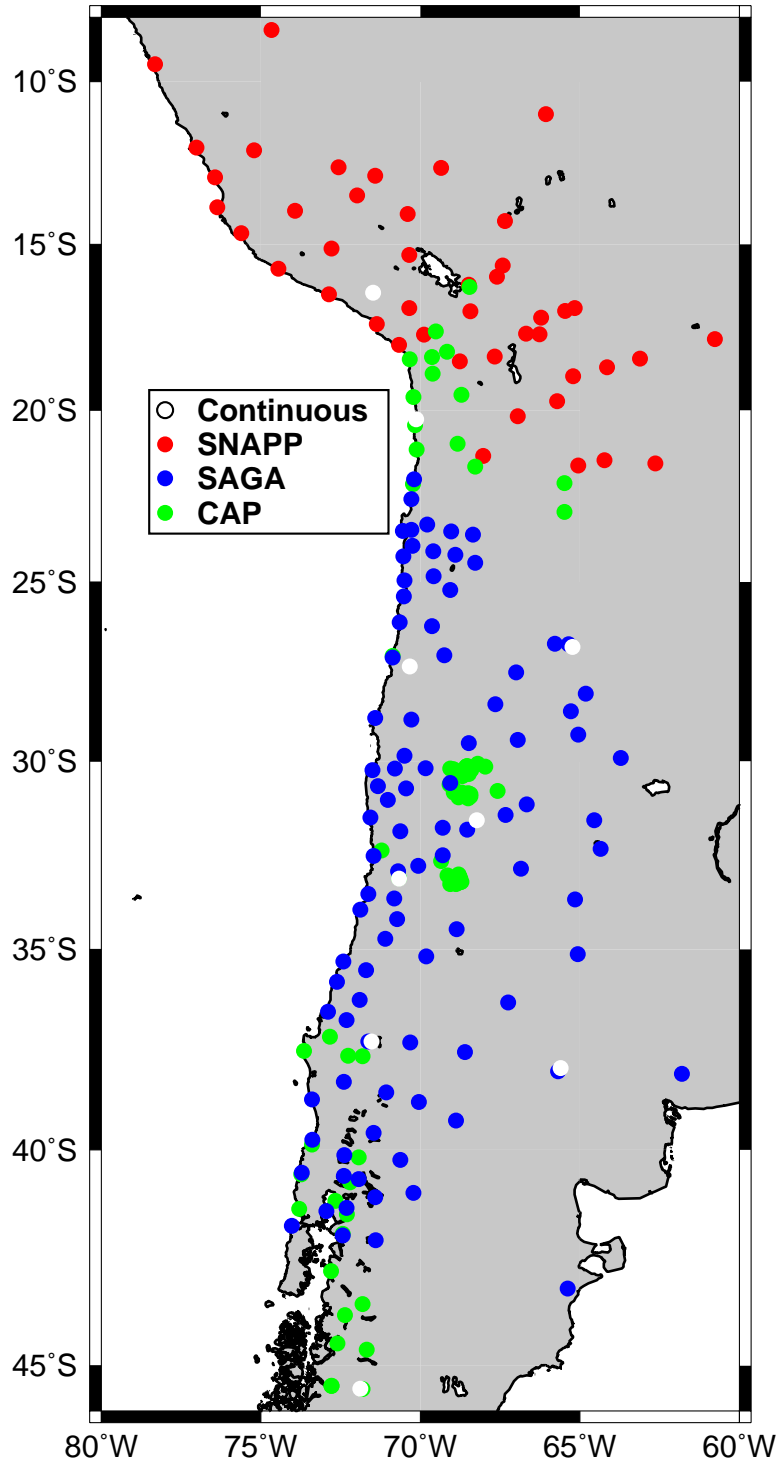


Figure 3: Published locations of GPS stations – Other monuments and continuous stations exist, but their locations are not publically available. Each circle represents a different benchmark, with red from SNAPP (*Norabuena et al., 1998*), green from CAP (*Kendrick et al., 2001*); blue from SAGA (*Klotz et al., 2001*), and white corresponding to continuous GPS stations (the others are all campaign) run by IGS and CAP (*Kendrick et al., 1999*).

can be used to generate digital elevation models (DEM) of an area, and for my thesis and other projects, I have used interferograms to create DEM's in several areas of South America. To measure surface deformation, we must remove the effects of the topography from the interferogram, either by using a pre-existing DEM (the so-called 2-pass approach), or by using an interferogram that is known to include only topography and not deformation (the 3- or 4-pass approach). All of these methods were used in this thesis.

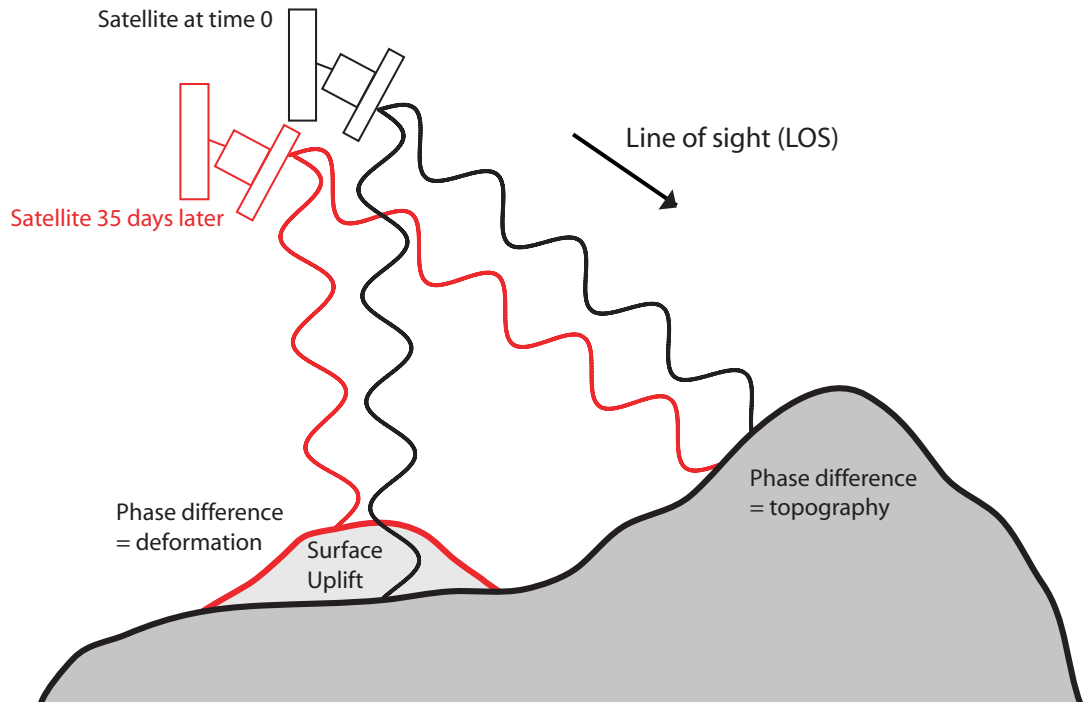


Figure 4: Repeat pass interferometry: During an initial pass over an area, a radar satellite sends an electromagnetic beam to the ground (black lines) and repeats the same operation at a later time from a slightly different perspective (red lines). The red and black wavelengths are out of phase because of the different viewing angles (which is particularly pronounced over topography – the parallax effect, see right side of Figure), and because of surface deformation on the left-hand side of the image.

In terms of measuring surface deformation, the satellite InSAR observations are only sensitive to the line of sight (LOS) component. For an individual interferogram, this means that only one-component of the deformation field can be measured.

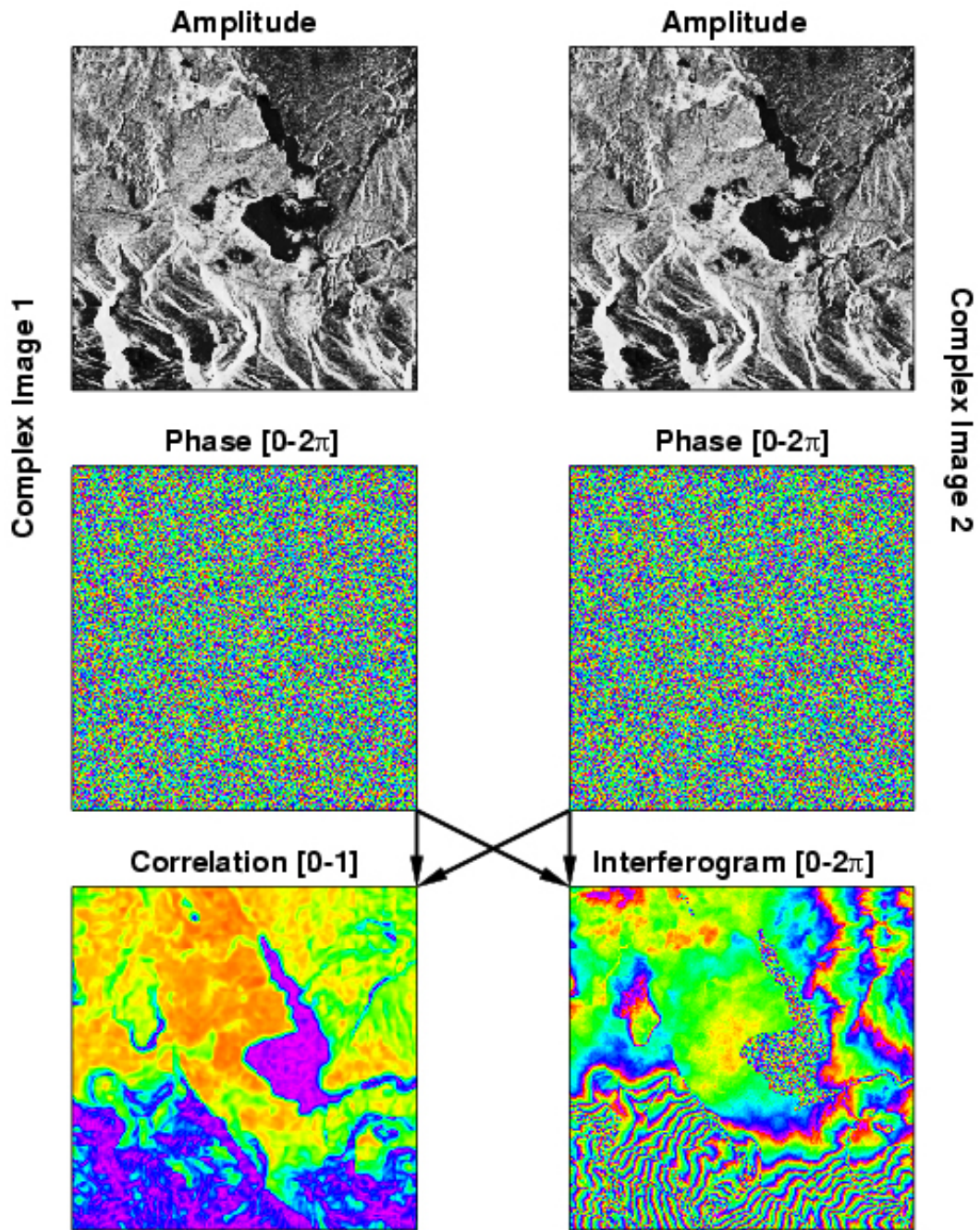


Figure 5: Interferometry flow chart: SAR amplitude (top row) and phase (second row) images of Lake Crowley in Long Valley, California, are used to form an interferogram (lower right) and coherence map (lower left). See text for details. (Image created by Mark Simons).

Multiple satellite passes with different observation geometries can be used to recover more than one component of deformation (Chapters 2 and 3), and if enough data is available, the 3-D deformation field can be reconstructed (e.g., *Fialko et al.*, 2001b).

In some regions, the procedure for creating an interferogram fails – the phase is not coherent during the time interval because the radar scattering properties of the ground changed. A map of the coherence is shown in Figure 5 ( lower left) where purple colors indicate low coherence and red colors correspond to areas of high coherence. Lake Crowley is uncorrelated because the scattering properties of water surfaces at the scale of the radar wavelength change completely between observations.

I use the InSAR processing software called ROLPAC (Repeat Orbit Interferometry PACKage), developed at the Jet Propulsion Laboratory and Caltech. This package allows both experienced and new users to process raw SAR data into a final product that is unwrapped and geolocated and ready for geophysical modeling. Using this software, I have processed about 400 scenes of SAR data from South America for this thesis. The software source code is freely available at the website <http://www.openchannelfoundation.org/projects/ROLPAC/>. During the course of this thesis, I have assisted in the development of ROLPAC by writing new programs, modifying existing programs and scripts, and discussing problems and suggestions with the other developers. I discuss some of the specific technical issues that had to be corrected in order to complete this thesis in Chapters 1-3. Details of the software implementation have been published by *Buckley* (2000), and practical suggestions by *Schmidt* (2002).

### 0.3 Thesis outline

While this thesis is united by a common tool (InSAR) and study area (west-central South America), each chapter is relatively independent. Chapter 1 documents our survey for volcanic deformation in the central Andes, and provides details on the data used, our sensitivity to deformation, and the volcanic and non-volcanic sources of deformation. Four volcanoes erupted in this area during our period of observation,

and we document the lack of deformation associated with any of these eruptions, and give possible explanations for the lack of deformation. In addition, Chapter 1 documents our field visits to several of the volcanoes.

In Chapter 2, we model the four sources of volcanic deformation documented in Chapter 1. We can explain the observed deformation with a variety of models, including centers of deformation that are spherical, prolate or oblate. Based on the depth of the sources, we think that three of the deformation sources are related to magmatism. The amount of deformation at the fourth source (an area of subsidence) can not be explained simply by conductive cooling, so we infer the existence of a hydrothermal system. We compare the amount of material erupted in the central Andes between 1992-2002 with the volume of magma we infer to be moving at depth, and find a ratio of intrusion/extrusion between 1-10. The rate of magmatic addition to the arc over the ten year period is similar to geologic averages for the central Andes and other volcanic arcs.

The focus moves to earthquakes in Chapter 3, where we constrain the fault slip from the 1995  $M_w$  8.1 Antofagasta, Chile, earthquake with InSAR and GPS. We test the ability of the different datasets to resolve slip along the fault interface for this earthquake, and two different inversion methods for calculating fault slip. We find that previous fault slip models made by inverting seismic and sparse GPS observations are inconsistent with the InSAR observations.

We continue our study of subduction zone earthquakes in northern Chile in Chapter 4. We use InSAR and seismic data to invert for fault slip from the 1995  $M_w$  8.1 and 1998  $M_w$  7.1 Antofagasta, Chile, earthquakes. We use seismic data to relocate three  $M_w > 7$  earthquakes from the 1980's. We find that the rupture areas of the five earthquakes do not overlap. The 1995 event did not rupture to the bottom of the seismogenic zone, whereas the earthquakes in 1998 and 1987 did. Using InSAR and GPS, we constrain the moment of the post-seismic deformation following the 1995 earthquake to be about 5% of the co-seismic moment, which is anomalously low compared to other subduction zone earthquakes.

In Chapter 5, we use InSAR and GPS to study the co-seismic and post-seismic



deformation from two large subduction zone earthquakes in southern Peru: 1996  $M_w$  7.7 Nazca, Peru; and 2001  $M_w$  8.4 Arequipa, Peru. We infer that both of these events ruptured to the bottom of the seismogenic zone. While we do not observe any post-seismic deformation from the 1996 event, there is significant deformation following the Arequipa earthquake recorded by GPS. We compare and contrast the co-seismic and post-seismic deformation from the 1995, 1996 and 2001 earthquakes.