

Appendix E:
Secondary Organic Aerosol Formation from Cyclohexene
Ozonolysis: Effect of OH Scavenger and the Role of Radical
Chemistry*

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Secondary Organic Aerosol Formation from Cyclohexene Ozonolysis: Effect of OH Scavenger and the Role of Radical Chemistry

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To isolate secondary organic aerosol (SOA) formation in ozone-alkene systems from the additional influence of hydroxyl (OH) radicals formed in the gas-phase ozone-alkene reaction, OH scavengers are employed. The detailed chemistry associated with three different scavengers (cyclohexane, 2-butanol, and CO) is studied in relation to the effects of the scavengers on observed SOA yields in the ozone-cyclohexene system. Our results confirm those of Docherty and Ziemann that the OH scavenger plays a role in SOA formation in alkene ozonolysis. The extent and direction of this influence are shown to be dependent on the specific alkene. The main influence of the scavenger arises from its independent production of HO₂ radicals, with CO producing the most HO₂, 2-butanol an intermediate amount, and cyclohexane the least. This work provides evidence for the central role of acylperoxy radicals in SOA formation from the ozonolysis of alkenes and generally underscores the importance of gas-phase radical chemistry beyond the initial ozone-alkene reaction.

Introduction

Chamber experiments are invaluable for understanding secondary organic aerosol (SOA) formation, with the ability to isolate chemical systems of interest. A trademark system that has received considerable attention is the ozonolysis of cyclohexene. One reason for this is that the structure of cyclohexene may be viewed as a building block on which many of the more complicated biogenic hydrocarbons are based. In addition, for a number of cyclic alkenes ozonolysis is the major pathway to aerosol formation. It is well established that the OH radical is a byproduct of alkene-ozone reactions (2). Thus, to isolate SOA formation in any alkene-ozone system in chamber experiments it is necessary to remove OH from the system, via a molecular OH scavenger. Scavengers commonly used in this regard include cyclohexane, CO, alcohol, and aldehydes. Most investigations of ozone-alkene chemistry have had the goal of understanding the yield of OH and so have been concerned primarily with the effect of the scavenger on the gas-phase chemistry (2–4). Recently, it has been suggested that the OH scavenger can have an effect on the SOA yield itself (1, 5). For example,

in the cyclodecene-ozone system, in the presence of propanol scavenger, Ziemann (5) observed the formation of cyclic peroxyhemiacetals, and while these products were not shown explicitly to result in an increase in aerosol yield we may suppose that these large cyclic peroxyhemiacetals partition to the aerosol phase. In contrast to this, Docherty and Ziemann (1) observed a reduction in SOA yield for the β -pinene ozonolysis when propanol scavenger was used, compared with cyclohexane as a scavenger.

The reaction between cyclohexene and ozone is initiated by the addition of ozone to the double bond to form a primary ozonide which stabilizes or decomposes to an excited bifunctional Criegee intermediate that has two isomers (5) (Figure 1). How this intermediate then goes on to form SOA has been discussed extensively (5, 7–9). The predominant low molecular weight SOA products identified in the cyclohexene-ozone system are dicarboxylic acids and hydroxylated dicarboxylic acids (8). Hydroxyl radicals can be produced from various reactions in the alkene ozonolysis mechanism. The dominant pathway of OH formation is understood to be from the syn isomer of the carbonyl oxide, since the alkyl group in the syn position is able to interact with the terminal oxygen (10, 11).

Understanding the chemical role played by the OH scavenger in SOA formation in alkene-ozone systems is important in separating the effects of the scavenger itself from that of the intrinsic ozone-alkene reactions in SOA formation. Moreover, differences in observed SOA yields and products when different scavengers are used provide important clues to the gas-phase chemistry occurring in the system. In the present work we present a detailed analysis of the SOA yields and associated chemistry in the cyclohexene-ozone system when different OH scavengers are used. The differences will be seen to provide key insights into the chemistry leading to SOA formation.

Experimental Methods

Ozonolysis of cyclohexene in the presence of different OH scavengers (cyclohexane, 2-butanol, and CO) and in the absence of OH scavenger was carried out in the Caltech Indoor Chamber Facility. Details of this facility have been described in detail elsewhere (12), and details of the experimental methods employed in these experiments are described in Keywood et al. (13). In short, the experiments were carried out in the presence of (NH₄)₂SO₄ seed, and the volume of SOA was determined by scanning electrical mobility spectrometers (SEMS). Temperature and RH within the chambers were measured continuously; temperature of operation of the chambers was 20 ± 2 °C and the RH was <10%. Concentration of the parent hydrocarbon was determined by gas chromatography flame ionization detection.

The OH scavengers, cyclohexane, 2-butanol, and CO, were injected at sufficient concentration so that the reaction rate of OH radicals with the scavenger exceeded that of the OH with the cycloalkene by a factor of 100. The liquid scavenger compounds were injected into a glass bulb and gently heated as a stream of clean air was passed through the bulb, vaporizing the scavenger, and carrying it into the chamber. Microliter syringes were used to inject known amounts of liquid cyclohexene into the chambers using the same method. The reaction was initiated with the injection of ozone. Ozone was generated using a UV lamp ozone generator and continuously measured. The total concentration of ozone injected was sufficient to exceed the parent hydrocarbon concentration by a factor of 3.

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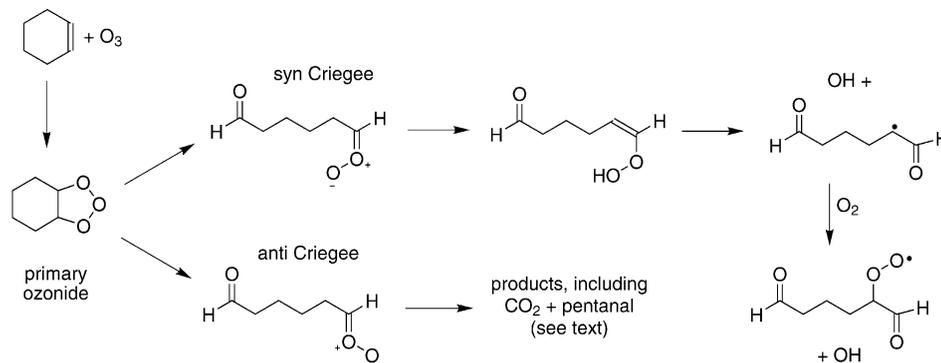


FIGURE 1. Initial steps of the cyclohexene-ozone reaction.

TABLE 1. Initial Conditions and Data for Cyclohexene Ozonolysis Reactions

date	scavenger	T (°C)	cyclohexene (ppb)	ΔM_0 ($\mu\text{g m}^{-3}$)	yield
04/18/02	2-butanol	19.8	90	55	0.196
04/26/02	2-butanol	18.8	51	24	0.141
04/30/02	2-butanol	19.6	54	28	0.153
05/06/02	2-butanol	20.9	102	52	0.156
06/29/02	2-butanol	21.7	86	44	0.152
09/16/02	2-butanol	20.3	263	198	0.224
09/27/02	2-butanol	20.0	241	161	0.203
09/27/02	2-butanol	19.6	57	11	0.057
10/28/02	2-butanol	20.9	271	176	0.194
02/21/03	2-butanol	20.2	291	203	0.208
03/01/03	2-butanol	18.8	262	193	0.216
09/23/02	2-butanol	29.6	60	3	0.018
09/25/02	2-butanol	25.7	112	27	0.073
09/25/02	2-butanol	24.2	240	69	0.094
09/21/02	2-butanol	31.5	227	42	0.059
09/23/02	2-butanol	30.4	82	13	0.051
01/27/03	cyclohexane	19.3	206	111	0.158
01/29/03	cyclohexane	20.5	240	101	0.141
02/06/03	cyclohexane	19.3	119	45	0.111
02/08/03	cyclohexane	19.2	59	14	0.072
02/10/03	cyclohexane	19.4	173	81	0.136
03/03/03	cyclohexane	18.9	81	25	0.090
03/05/03	cyclohexane	19.8	324	232	0.209
06/04/03	cyclohexane	19.2	313	200	0.187
02/19/03	no scavenger	19.1	229	165	0.211
02/27/03	no scavenger	19.3	211	141	0.196
11/01/02	no scavenger	21.1	217	146	0.205
11/01/02	CO	20.4	232	180	0.244

Experimental Results

The experiments discussed in this paper are listed in Table 1. The table lists the date of the experiment, the concentration of cyclohexene consumed (ΔHC), the identity of the scavenger, the mass concentration of SOA produced (ΔM_0), and the SOA yield (Y). ΔM_0 was determined from the change in aerosol volume (measured by the SEMS) and assuming a particle density of 1.4 g cm^{-3} , as determined by Kalberer et al. (8) for the cyclohexene-ozone system. Measured particle number concentrations were corrected for size-dependent wall loss (13). SOA yield (Y) can be defined as the ratio of organic aerosol mass concentration produced (ΔM_0 , $\mu\text{g m}^{-3}$) to the mass concentration of hydrocarbon consumed (ΔHC , $\mu\text{g m}^{-3}$), $Y = \Delta M_0 / \Delta\text{HC}$.

Figure 2 shows the SOA yields from the ozonolysis of cyclohexene as a function of aerosol mass produced (ΔM_0) when the different OH scavengers, cyclohexane, 2-butanol, and CO are used. Also shown are the SOA yields in the absence of scavenger. The data in Figure 2 (for cyclohexane and 2-butanol scavengers) are fitted empirically with the two-product model of Odum et al. (14), primarily as a convenient

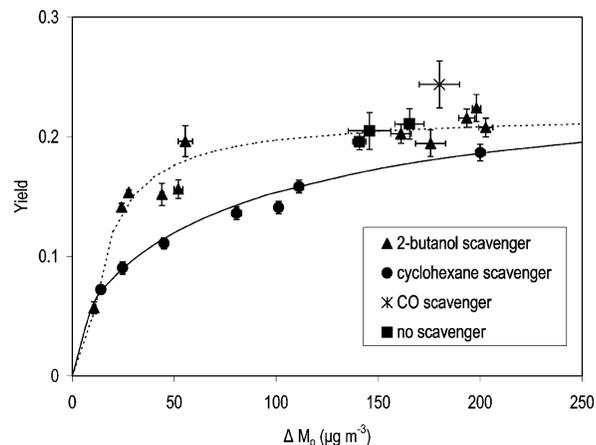


FIGURE 2. SOA yield for ozonolysis of cyclohexene in the presence of different OH scavengers. The two lines are the result of the two-product model used to fit curves to the 2-butanol and cyclohexane scavenger data.

way to represent the data. The error bars in Figure 2 are computed based on propagation of uncertainties arising in the ΔHC and ΔM_0 measurements (13).

The use of cyclohexane as an OH scavenger results in the smallest aerosol yield as well as low scatter (or variance) about the fitted yield curve (Figure 2). 2-Butanol scavenger results in a higher SOA yield than that of cyclohexane and greater variance about the fitted yield curve. When no scavenger is used, the SOA yield is similar to that when 2-butanol is used; finally, the use of CO as a scavenger results in the greatest yield (as well as the greatest uncertainty in the measurement).

The scatter about the yield curves apparent in Figure 2 may be partially attributed to variance in temperature. Temperature affects the vapor pressure of the gaseous secondary products resulting in increased partitioning to the particle phase at lower temperatures and conversely, at higher temperature, reduced partitioning. This effect is clearly demonstrated in Figure 3, which shows SOA yield for the ozonolysis of cyclohexene in the presence of 2-butanol scavenger carried out at 30°C and 25°C and compares these yields with the data for 20°C . As temperature increases, the yield decreases. The extent of deviation of the measured SOA yield from the fitted curve is plotted against temperature in Figure 4. A statistically significant linear relationship can be seen between temperature and deviation from the fitted yield curve, suggesting that the scatter about the fitted yield curve may be due to temperature variation. When the yield is corrected for this temperature dependence (Figure 5), the data for cyclohexane scavengers all fall completely on the fitted yield curve. For the 2-butanol scavenger, the temperature corrected data in general fall closer to the fitted curve,

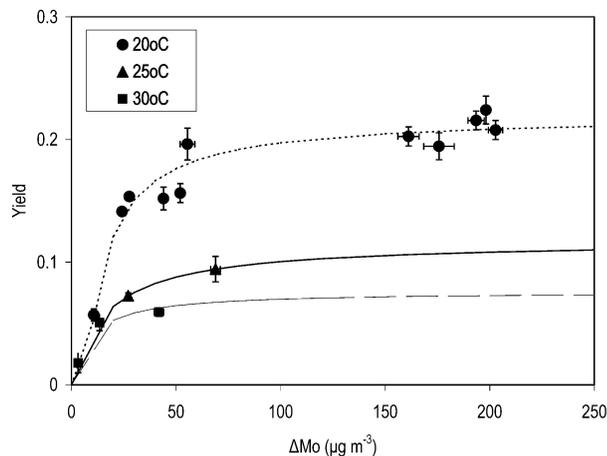


FIGURE 3. SOA yield for ozonolysis of cyclohexene in the presence of 2-butanol at differing temperatures.

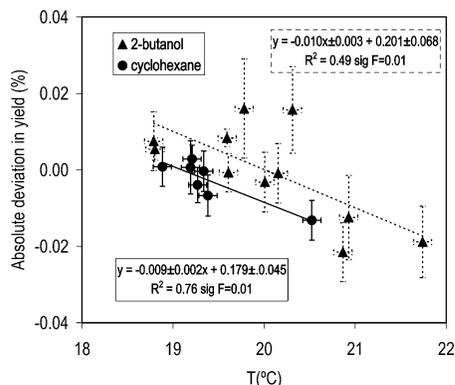


FIGURE 4. Relationship between temperature and deviation in yield from the fitted yield curve for cyclohexane and 2-butanol scavenger experiments.

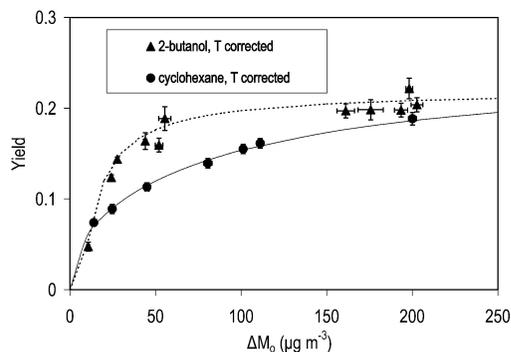


FIGURE 5. SOA yield curves for ozonolysis of cyclohexene in the presence of 2-butanol scavenger and cyclohexane scavengers corrected for temperature variations using the linear relationships displayed in Figure 4.

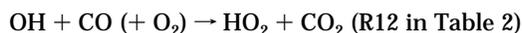
although some scatter about the curve still exists. While the small variance in the cyclohexane scavenger data can be explained entirely by temperature differences, for the 2-butanol scavenger only a fraction of the variance can be attributed to temperature.

Scavenger Chemistry

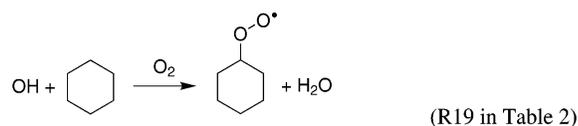
Understanding the reasons for the observed effects of the OH scavenger on aerosol yields provides a clue to the chemistry occurring in the system. One possible explanation lies in reactions of the stabilized Criegee intermediate (SCI) with the scavenger, which could potentially form different low-volatility products. However, in the case of cyclohexene

ozonolysis, such reactions probably do not occur to an appreciable extent, as there is very little SCI formed. Criegee intermediates from endocyclic alkenes are formed with more energy than those from exocyclic alkenes and so are less likely to be stabilized (15). Therefore, SCI yields from cyclohexene ozonolysis are very low, measured to be ~3% (16). In addition, it is unlikely that the reaction of the Criegee intermediate with CO would form products of lower volatility than those of the Criegee-2-butanol reaction. Therefore, reactions of the scavengers with the Criegee intermediate probably do not affect aerosol yield significantly.

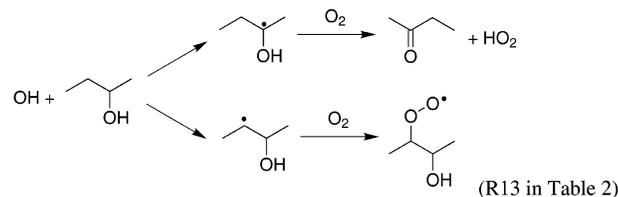
A more likely explanation for the observed effect of the scavenger on SOA yield may lie in the differing radical products formed in the OH-scavenger reactions. Docherty and Ziemann (1) show that different scavengers lead to differences in HO₂/RO₂ ratios, which may have an effect on the subsequent radical chemistry. In the case of the CO scavenger, only HO₂ is produced:



By contrast, when cyclohexene is used as a scavenger, the radical product is an alkylperoxy radical:



Some HO₂ production is expected by the OH-cyclohexane reaction due to reactions of the cyclohexylperoxy radical: self-reaction forms an alkoxy radical, which may further react to form HO₂. However, the amount formed is expected to be small. The intermediate case is the reaction of OH with 2-butanol, which may form either HO₂ or RO₂:



(The OH radical may abstract hydrogens from the other carbons as well, forming organic peroxy radicals different than the one shown.) Formation of HO₂ is the major channel, with a branching ratio of ~70% (4).

The expected trend in the HO₂/RO₂ ratios from each scavenger, CO > 2-butanol > cyclohexane, matches that of the aerosol yields, suggesting that increased concentrations of HO₂ and/or decreased concentrations of RO₂, promote aerosol formation. This conclusion is in contrast to that reached by Docherty and Ziemann (1), who argue that for β-pinene ozonolysis, increased HO₂/RO₂ ratios instead *inhibit* aerosol formation.

Mechanism Description

To better understand the role that differences in scavenger chemistry may have on the ozonolysis reaction system and why β-pinene and cyclohexene exhibit opposite trends in SOA formation, we have constructed a simple chemical mechanism describing the gas-phase radical chemistry within the chamber. The reactions, rate constants, and branching ratios in the mechanism are listed in Tables 2 and 3; here we highlight the important aspects of the mechanism.

The reaction of cyclohexene and ozone (R1) is known to form OH radicals in high yields; we assume a yield of 0.6, based upon three studies (3, 10, 17). The vast majority of the

TABLE 2. Reactions and Rate Constants Used in the Mechanism

no.	reaction		rate ^a	note	
R1	cyclohexene + O ₃ (+ O ₂)	→	0.6OH + 0.6RO ₂ + other products	8.1e-17	<i>b</i>
R2	HO ₂ + HO ₂	→	H ₂ O ₂ + O ₂	2.8e-12	<i>c</i>
R3	HO ₂ + OH	→	H ₂ O + O ₂	1.1e-10	<i>c</i>
R4	HO ₂ + O ₃	→	OH + 2O ₂	2e-15	<i>c</i>
R5	OH + O ₃	→	HO ₂ + O ₂	7.3e-14	<i>c</i>
R6	RO ₂ + HO ₂	→	ROOH + O ₂	1.5e-11	<i>d</i>
R7	AcylO ₂ + HO ₂	→	products (see Table 4)	1.5e-11	<i>d</i>
R8	RO ₂ + RO ₂	→	products (see Table 4)	1.4e-12	<i>e</i>
R9	AcylO ₂ + AcylO ₂	→	R'C(O)O + R'C(O)O + O ₂	1.6e-11	<i>f,g</i>
R10	RO ₂ + AcylO ₂	→	products (see Table 4)	9.5e-12	<i>h</i>
R11	RO (+ O ₂)	→	products (see Table 4)	rapid	<i>i</i>
CO Scavenger Products					
R12	OH + CO (+ O ₂)	→	HO ₂ + CO ₂	2.8e-12	<i>c</i>
2-Butanol Scavenger					
R13	OH + 2-butanol (+ O ₂)	→	HO ₂ + MEK + H ₂ O	6.4e-12	<i>j</i>
		→	R ^S O ₂ + H ₂ O	2.8e-12	<i>j</i>
R14	R ^S O ₂ + HO ₂	→	R ^S OOH + O ₂	1.5e-11	<i>d</i>
R15	R ^S O ₂ + R ^S O ₂	→	products (see Table 4)	6.7e-13	<i>k</i>
R16	R ^S O ₂ + RO ₂	→	products (see Table 4)	1.9e-12	<i>h</i>
R17	R ^S O ₂ + AcylO ₂	→	products (see Table 4)	6.5e-12	<i>h</i>
R18	R ^S O (+ O ₂)	→	products (see Table 4)	rapid	<i>i</i>
Cyclohexane Scavenger Products					
R19	OH + cyclohexane (+ O ₂)	→	R ^S O ₂ + H ₂ O	7.2e-12	<i>l</i>
R14	R ^S O ₂ + HO ₂	→	R ^S OOH + O ₂	1.5e-11	<i>d</i>
R15	R ^S O ₂ + R ^S O ₂	→	products (see Table 4)	2.8e-14	<i>m</i>
R16	R ^S O ₂ + RO ₂	→	products (see Table 4)	4.0e-13	<i>h</i>
R17	R ^S O ₂ + AcylO ₂	→	products (see Table 4)	1.3e-12	<i>h</i>
R18	R ^S O (+ O ₂)	→	products (see Table 4)	rapid	<i>i</i>

^a All rates in cm³ molecule⁻¹ s⁻¹. ^b Reference 7; see text for additional products. ^c Reference 18. ^d For simplicity, all HO₂-RO₂ reactions were assumed to have a rate of 1.5e-11 cm³ molecule⁻¹ s⁻¹, as recommended by ref 19. ^e From parametrization of ref 20. ^f Based on recommendation by Atkinson et al. (18) for the acetylperoxy radical. ^g The R'C(O)O radicals are assumed to decompose to R' + CO₂; R' is then treated as RO₂. ^h Following ref 21, rates of all cross peroxy radical reactions are assumed to be twice the geometric mean of the self-reaction of the individual peroxy radicals. ⁱ RO radicals have lifetimes of <20 μs at 1 atm air and so are not explicitly treated in this model. ^j Reference 4. ^k Reference 22. ^l Reference 19. ^m Reference 23.

TABLE 3. Branching Ratios Used in the Mechanism

no.	reaction	branching ratio	note	
R7a	AcylO ₂ + HO ₂	→ R'C(O)OH + O ₃	0.2	<i>f</i>
R7b		→ R'C(O)OOH + O ₂	0.8	
R8a	RO ₂ + RO ₂	→ RO + RO + O ₂	0.25	<i>n</i>
R8b		→ carbonyl + ROH	0.75	
R10a	RO ₂ + AcylO ₂	→ RO + R'C(O)O	0.5	<i>g,n</i>
R10b		→ carbonyl + R'C(O)OH	0.5	
R11a	RO (+ O ₂)	→ HO ₂ + carbonyl	0.5	<i>o</i>
R11b		→ RO ₂	0.45	
R11c		→ AcylO ₂	0.05	
R15	R ^S O ₂ + R ^S O ₂	→ R ^S O + R ^S O + O ₂	0.3	<i>p</i>
R15b		→ carbonyl + R ^S OH	0.7	
R16	R ^S O ₂ + RO ₂	→ RO + R ^S O + O ₂	0.5	<i>q</i>
R16b		→ carbonyl + alcohol	0.5	
R17a	R ^S O ₂ + AcylO ₂	→ R ^S O + R'C(O)O + O ₂	0.9	<i>g,r</i>
R17b		→ carbonyl + R'C(O)OH	0.1	
R18a	R ^S O (+ O ₂)	→ HO ₂ + carbonyl	0.5	<i>p</i>
R18b		→ RO ₂	0.5	

ⁿ Based upon recommendation by Atkinson et al. (18) for CH₃C(O)-CH₂O₂. ^o See text. ^p As measured by Rowley et al. (23) for the cyclohexylperoxy radical; assumed to be the same for the peroxy radicals formed by OH+2-butanol. Results are relatively insensitive to these parameters. ^q Arbitrary; results are relatively insensitive to this parameter. ^r Based upon recommendation by Atkinson et al. (18) for CH₃O₂.

OH formed (>95%) undergoes reaction with the scavenger; while some small fraction may react with the parent alkene, we omit this reaction channel. The OH-scavenger reaction (R12, R13, or R19) then produces HO₂ and/or RO₂, as discussed above. In addition, whenever an OH is formed by the ozonolysis reaction, an R radical, which immediately becomes RO₂ in air, is also cogenerated. These radicals, the RO₂ from the ozonolysis reaction and the HO₂ and/or RO₂

from the OH-scavenger reaction, are responsible for the ensuing radical chemistry in the chamber. Because the experiments were carried out in the absence of NO_x, the chemistry consists largely of self- and cross-reactions of peroxy species, i.e., HO₂-HO₂, RO₂-RO₂, and HO₂-RO₂. We therefore focus on the evolution of these peroxy radicals, only explicitly following the key molecular compounds, ozone, cyclohexene, scavenger, and organic acids.

The chemistry of most of the individual alkylperoxy species has not been studied in detail, so we represent only three different classes of alkylperoxy radicals, shown in Figure 6. The first is formed from the ozonolysis reaction, in yields equal to that of OH. For cyclohexene ozonolysis, this radical, denoted "RO₂" in the mechanism, has the structure shown in Figure 6a. We assume this radical may ultimately react to form the low-volatility products that are incorporated in the SOA.

The structure of RO₂ is similar to that of the acetonoxyl radical (CH₃C(O)CH₂O₂), which has been extensively studied, so we expect their chemistries to be similar. Reaction with HO₂ (R14) is chain-terminating, leading to the formation of a hydroperoxide; however, reaction with another alkylperoxy may be either chain-terminating (forming an alcohol and a carbonyl) or chain-propagating, forming two alkoxy radicals (RO). The resulting RO is short-lived and likely reacts either by decomposition or isomerization (Figure 7). This branching ratio has not been measured, so we assign the decomposition channel a branching ratio of 0.5, that of a structurally similar species, the β-hydroxyalkoxy radical from OH+1-hexene (24). We note that our qualitative results are insensitive to this value. Isomerization mostly occurs by a 1,5-hydrogen shift (R11b), ultimately forming a different alkylperoxy radical, R'O₂. However, RO₂ and R'O₂ are structurally similar, differing by a single OH group, so for simplicity we treat them as the

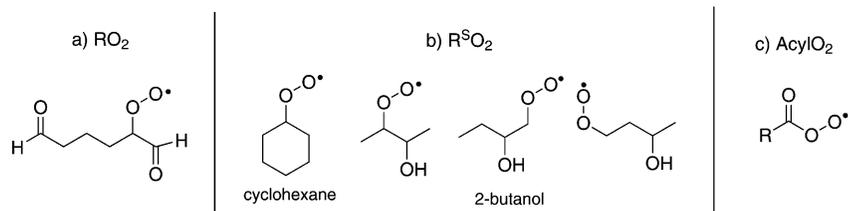


FIGURE 6. The three classes of organic peroxy radicals modeled in this study (a): RO_2 , the peroxy radical cogenerated with OH in the ozonolysis reaction, (b) $R^S O_2$, from reaction with the cyclohexane and 2-butanol scavengers, and (c) $AcylO_2$, acylperoxy radicals.

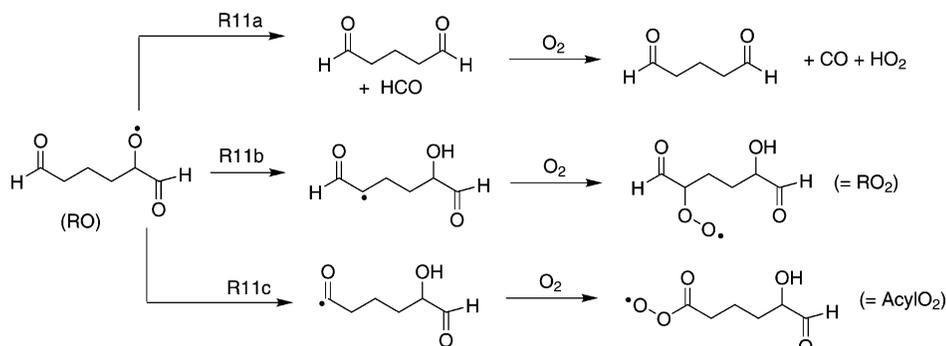


FIGURE 7. Possible reaction pathways of the RO radical generated in the self-reaction of RO_2 . Pathways shown are decomposition to form HO_2 , isomerization to regenerate RO_2 , and isomerization to form $AcylO_2$.

same species. In addition, a 1,6-hydrogen shift to form an acyl radical (which quickly becomes an acylperoxy radical) may also be a minor channel, for which we assign a branching ratio of 0.05. We note that reaction pathways other than those shown above may be available to the RO radical, but these will only make HO_2 or large alkylperoxy radicals, so we assume these pathways are incorporated into the reactions R11a and R11b.

The second class of alkylperoxy radicals represented is that from the reaction of OH with scavengers (cyclohexane and 2-butanol), shown in Figure 6b and denoted $R^S O_2$. These radicals exhibit similar chemistry to the RO_2 radicals but are represented separately, as they are not expected to be incorporated directly into the aerosol phase. Rates of self-reaction have been measured for such radicals (22, 23), though the branching ratios of their alkoxy radicals ($R^S O$) are less well-constrained, particularly for those from the 2-butanol scavenger. However, the chemistry of most peroxy radicals is generally dominated by reaction with HO_2 and RO_2 (and not $R^S O_2$), so that mechanism predictions are relatively insensitive to the rates and branching ratios used for the $R^S O_2$ reactions.

Shown in Figure 6c is the third class of alkylperoxy radical, acylperoxy ($AcylO_2$), formed by reaction R11c. As these radicals are derived from RO_2 , they too are expected to eventually lead to low-volatility products and contribute to SOA. We represent them explicitly since their chemistry differs from that of other peroxy radicals. Particularly, the reaction with HO_2 forms organic acids, $R^C(O)OH$ (as well as peracids, $R^C(O)OOH$), which have significantly lower volatility than other molecular species described by the mechanism. Reaction with RO_2 and $R^S O_2$ may also form $R^C(O)OH$; the yield is small (~ 0.1) for a simple alkylperoxy radical like CH_3O_2 (18) but is significantly larger (~ 0.5) for the acetonoxyl radical (25); we use these values for $R^S O_2$ and RO_2 , respectively. In addition, self-reaction of small acylperoxy radicals forms $R^C(O)O$, which decompose rapidly to $R^C + CO_2$. We assume this is also the case for the larger acylperoxy radicals formed in the present reaction system and treat the resulting peroxy radicals as RO_2 .

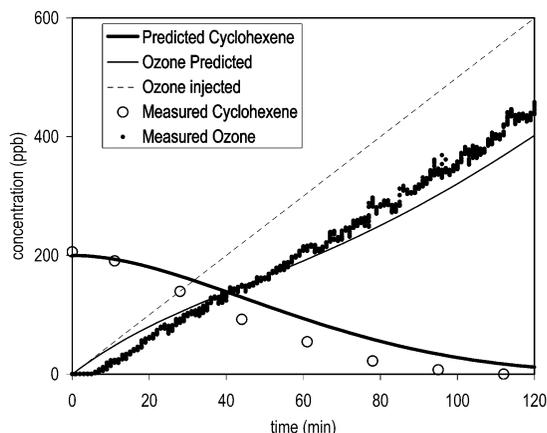


FIGURE 8. Predicted and measured ozone and cyclohexene concentrations for a typical experiment (01/30/03). The straight line indicates predicted ozone concentration if no alkene were present.

Mechanism Predictions

Shown in Figure 8 are the predicted and measured ozone and cyclohexene concentrations for the first 2 h of reaction. Agreement between mechanism and experiment is good, although in the mechanism it was necessary to increase the ozone production from its nominal value of 4 ppb min^{-1} to 5 ppb min^{-1} ; this may be the result of errors in the ozone calibration and/or the ozone-cyclohexene rate constant.

Predicted radical concentrations for all three scavengers are shown in Figure 9. In all cases radical concentrations peak at around 40 min, corresponding to the maximum in $[\text{ozone}] \times [\text{cyclohexene}]$ (the maximum in radical production). As expected, HO_2 concentrations vary greatly with scavenger molecule, being highest for CO and lowest for cyclohexane, with alkylperoxy radical concentrations exhibiting the opposite trend. It should be noted that the trend in alkylperoxy radicals is not simply a result of the differences in $R^S O_2$ produced from the scavengers; RO_2 also varies, despite being produced at the same rate in each case. This is a result of the fast HO_2-RO_2 reaction, which leads to shorter RO_2 lifetimes (and thus lower $[RO_2]$) when $[HO_2]$ is high. Since $AcylO_2$ is formed by self-reaction of alkylperoxy radicals,

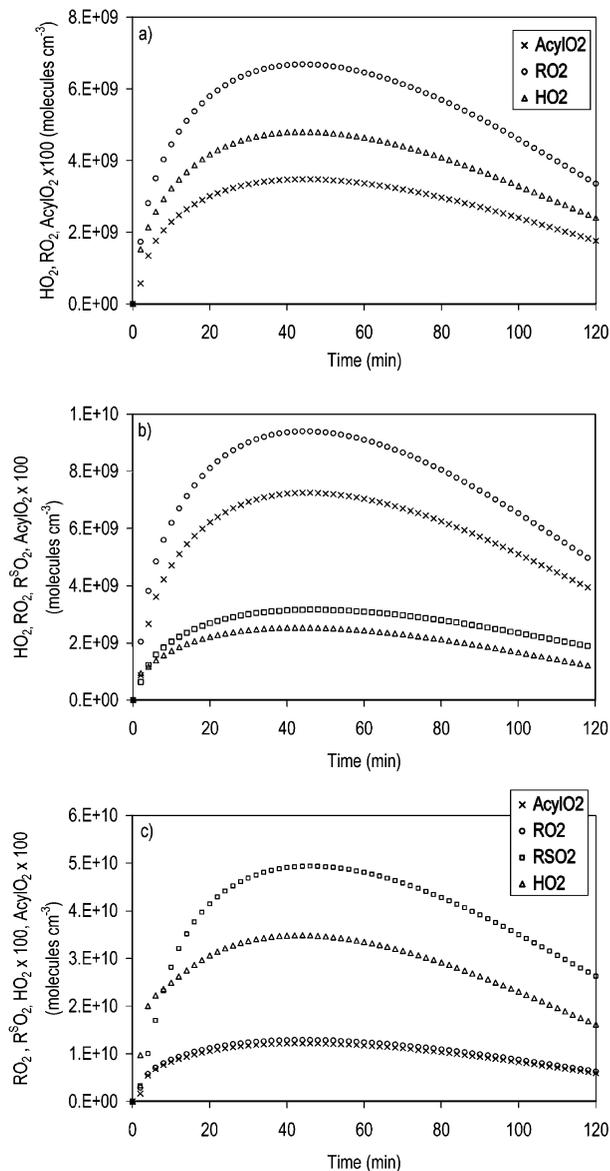


FIGURE 9. Predicted radical concentrations for ozonolysis of 200 ppb of cyclohexene for each of the three scavengers used: (a) CO, (b) 2-butanol, and (c) cyclohexane. Note that for HO₂ and AcylO₂ the scale has been expanded by a factor of 100.

AcylO₂ concentrations exhibit the same trend as RO₂, being ~3.5 times higher for cyclohexane than for CO.

The trends in radical production displayed in Figure 9 are expected to have an effect on SOA formation. Among the classes of molecular products in this simplified reaction mechanism, the least volatile species are the organic acids (and peracids), formed by acylperoxy-HO₂ and acylperoxy-alkylperoxy reactions. More complex chemistry not included in the mechanism may lead to the formation of compounds of even lower volatility. However, since acids are known to be an important component of the aerosol formed in cyclohexene ozonolysis (5, 8, 26), it is reasonable to assume their production is related to aerosol growth. Our purpose is not to explicitly model the total organic acids that will be incorporated into the SOA or to estimate the individual classes of species such as diacids. Instead, we treat gas-phase organic acid formation as a metric for all low-volatility species produced by reactions of acylperoxy radicals, so that we can understand the formation of such species under different reaction conditions. Such species may include diacyl peroxides, compounds of the form R'C(O)OOC(O)R' which have

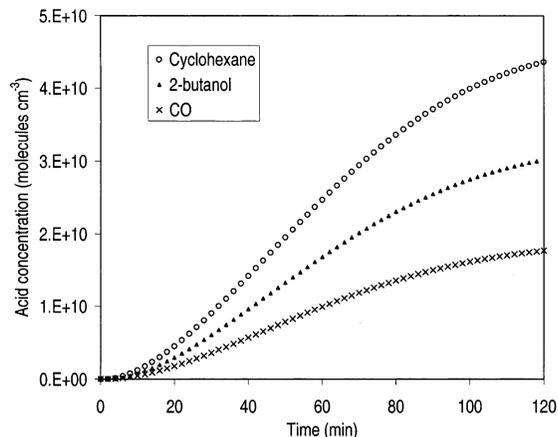


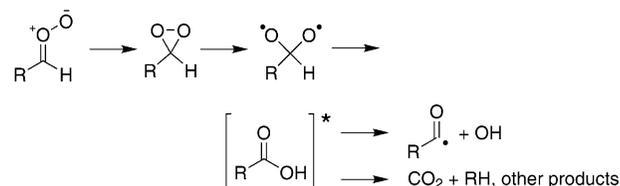
FIGURE 10. Predicted organic acid (including peracid) concentrations for each scavenger, from the ozonolysis of 200 ppb of cyclohexene, assuming no acyl radicals are formed directly by the ozonolysis reaction.

been observed as very low-volatility components of the aerosol generated in cycloalkene ozonolysis (9).

The production of organic acids (including peracids) for each of the three scavengers is shown in Figure 10. Most organic acids are formed when cyclohexane is used as the scavenger and the least are formed when CO is used. This is a largely a result of the differences in concentrations of the acylperoxy precursors. The mechanism of acid production for each scavenger is somewhat different: with cyclohexane, most (~90%) organic acid is formed from acylperoxy-alkylperoxy reactions, as [RO₂] is relatively high. With CO this fraction is only ~30%, as the higher HO₂/RO₂ ratio leads to acid production dominated by the fast acylperoxy-HO₂ reaction.

The mechanism predictions would seem to be at odds with our experimental data, in which aerosol yields are highest using CO scavenger, intermediate with 2-butanol, and lowest for cyclohexane. Instead, these predictions are consistent with the differences in aerosol yield from β -pinene ozonolysis using different scavengers, as observed by Docherty and Ziemann (1). These calculations are essentially in agreement with their explanation that higher HO₂/RO₂ ratios from OH-scavenger reactions lead to lower-volatility products and thus lower aerosol yields. We note that this is primarily a result of differences in the concentration of alkylperoxy radicals, the self-reaction of which is necessary to form acylperoxy radicals.

Thus far the mechanism neglects the formation of acylperoxy radicals via the direct decomposition of the Criegee intermediate, suggested by Aschmann et al. (17) and Ziemann (9) in order to rationalize products observed. Moreover, there is experimental evidence (27) that some fraction of anti Criegee decomposes via the "hot acid" channel (28) to form an acyl radical and OH:



This mechanism of OH formation was shown to be distinct from that shown in Figure 6a by the ozonolysis of selectively deuterated 3-hexenes, forming both OH and OD, which were detected separately. While an alternate mechanism involving secondary reactions may have contributed somewhat to OD production (29), it is unlikely to have had a large effect, as

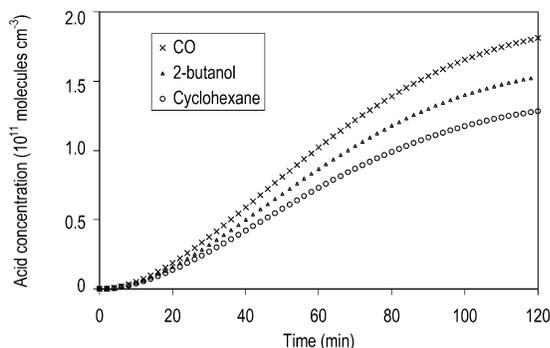


FIGURE 11. Predicted organic acid (including peracid) concentrations for each scavenger, for the ozonolysis of 200 ppb cyclohexene, assuming an acyl radical yield of 0.05 from the ozonolysis reaction.

it cannot account for the large differences in the OD/OH ratios observed for *cis*- and *trans*-alkenes.

Therefore we include the acylperoxy radical as a direct product of the initial ozone-cyclohexene reaction. We assume a yield of 0.05, based upon the yield measured in ref. 27. Even with this relatively low yield, this reaction becomes the dominant source of AcylO₂, so that AcylO₂ production is no longer limited by alkylperoxy self-reaction. The acylperoxy radical concentration increases by a factor of 3 with the cyclohexane scavenger, and a factor of 10 with the CO scavenger. The difference between the two scavengers arises simply from the fact that [AcylO₂] was greater for the cyclohexane scavenger than for the CO scavenger before the additional acyl source was included in the mechanism (Figure 9). These differences have a major effect on the production of organic acids, as shown in Figure 11. Including a small source of acylperoxy radicals from the ozonolysis reaction completely reverses the trend in acid production, which is now highest for CO, intermediate for 2-butanol, and lowest for cyclohexane, consistent with our experimental results.

The discrepancy between the observations presented here and those of Docherty and Ziemann (1), where SOA yield from the ozonolysis of β -pinene in the presence of cyclohexane were greater than in the presence of propanol, can now be readily explained using the mechanism presented here. Notably, the additional source of acylperoxy radicals does not play a role in the ozonolysis of β -pinene, as the Criegee intermediate formed in that case has no vinylic hydrogens so cannot form acyl radicals via the mechanism shown above. The general mechanism described by this model, in which reactions of acylperoxy radicals are central to aerosol formation, is consistent with both our results and those of Docherty and Ziemann (1). The fact that the effect of the scavenger on SOA yields is so much greater for β -pinene than for cyclohexene may be a result of differences in RO₂-RO₂ rate constants. The self-reaction of alkylperoxy radicals is significantly slower when the R group is cyclic (as is the case in β -pinene ozonolysis) than when it is linear (as is the case in cyclohexene ozonolysis), yet RO₂-HO₂ reaction rates are roughly equivalent. Therefore formation of low-volatility products, and thus secondary organic aerosol, is expected to be much more sensitive to HO₂/RO₂ ratios for the ozonolysis of β -pinene than for the ozonolysis of cyclohexene.

We recognize that the ozonolysis reaction mechanism for β -pinene differs from that for cyclohexene in other ways also; for example, the RO radical may decompose to form an acyl radical directly (1), leading to higher yields of acylperoxy radicals from the RO₂ self-reaction. However, since we represent general classes of peroxy radicals and not individual species, such mechanistic differences are generally reflected as changes in rate constants and branching ratios and are not expected to affect our qualitative conclusions significantly.

In cyclohexene ozonolysis in the absence of a radical scavenger, the OH formed will rapidly react with cyclohexene early in the reaction, though as cyclohexene is depleted, OH will begin to react with the reaction products of both cyclohexene-OH and cyclohexene-O₃. Such reactions complicate the gas-phase radical chemistry and may even contribute to aerosol formation, particularly since acyl radicals may be formed in OH-aldehyde reactions. While explicit modeling of the reaction system is beyond the scope of this work, we can examine the reaction mechanism qualitatively. The peroxy radicals formed by cyclohexene-OH reaction self-react to form β -hydroxyalkoxy radicals, which are expected to decompose only to HO₂, with no RO₂ regeneration or acylperoxy formation (7). Thus in the absence of an OH scavenger we expect an HO₂/RO₂ ratio between that of CO and that of cyclohexane, and therefore we expect an aerosol yield between the two. This expectation agrees with our experimental observations.

Implications

Our results confirm those of Docherty and Ziemann (1) that the OH scavenger plays a role in SOA formation during alkene ozonolysis. However, the extent and direction of this influence are dependent on the specific alkene. The main influence of the scavenger arises from its independent production of HO₂ radicals, with CO producing the most HO₂, 2-butanol an intermediate amount, and cyclohexane producing the least. In the scenario described here, the R^SO₂ radicals produced by the OH scavenger reactions do not participate directly in particle formation; instead, acids are formed by reactions of HO₂-acylperoxy and RO₂-acylperoxy reactions. In the case of β -pinene, where the Criegee intermediate cannot directly form acylperoxy radicals, the presence of high HO₂/RO₂ ratios, as occurs when propanol scavenger is used, results in artificially low SOA levels as the reactions are driven to producing high-volatility products. In the case of cyclohexene, however, acylperoxy radicals are produced by direct decomposition of the Criegee intermediate so the effect of this additional channel has a less significant effect on acid formation for the cyclohexane scavenger than for CO scavenger.

On the surface, the simple reaction scheme of CO with OH makes it an attractive scavenger candidate; however, as shown here, its radical chemistry contributes significantly to SOA yield. Experimentally, CO scavenger resulted in a doubling of the yield relative to cyclohexane. The SOA yields for cyclohexene-ozonolysis presented in Kalberer et al. (8) were carried out in the presence of CO scavenger at 25 °C and can be compared to the data presented here. As noted above, temperature has a strong effect on SOA yield, so using the simple temperature correction for cyclohexane scavenger shown in Figure 4 to correct the Kalberer data to 20 °C, again we see an approximate doubling of SOA when CO scavenger is employed, relative to the cyclohexane scavenger data presented here. Additionally, Gao et al. (26) present molecular speciation data for the cyclohexane scavenger experiments presented here and report lower concentrations of hydroxy diacids than reported by Kalberer et al. (8). Thus, perturbation to the reaction mechanism caused by the presence of scavenger related radical productions are seen not only in the total SOA yield but in the composition of the products formed, as expected.

The outcomes presented here suggest that each of the scavengers discussed perturbs SOA yield, since all of the scavenger OH reactions result in the formation of a radical. The ideal scavenger would be one that results in direct chain-termination. This conclusion has implications for the use of SOA yield and molecular speciation data for SOA formation models in atmospheric models. For example, the 2-butanol scavenger data show approximately 30% higher SOA yield

than the cyclohexane scavenger data. Docherty and Ziemann (1) show 3 times lower yield for the ozonolysis of β -pinene in the presence of propanol scavenger compared with cyclohexane scavenger. The ozonolysis of biogenic hydrocarbons in the presence of 2-butanol scavenger is reported in Griffin et al. (30) and Cocker et al. (31), and based upon the conclusions reached in this paper, we expect that α -pinene and 3-carene SOA yields are overestimated in those studies, while β -pinene and sabinene are underestimated. However, in order for ozonolysis to be isolated, an OH scavenger must be employed, and until one that results in a chain-termination step can be identified, these scavengers are the most suitable. Highlighted here is the importance of understanding the chemistry of the scavenger itself.

This work has provided more evidence for the central role of acylperoxy radicals in SOA formation in the ozonolysis of alkenes. Only by incorporating these radicals in the chemistry discussed here can the observed trends in SOA formation for the different scavengers be replicated. More generally, this work underscores the importance of radical chemistry beyond the initial ozonolysis reaction steps and points to the need of a better understanding of the details of such radical-radical reactions.

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