DEVELOPMENT OF SYNTHETIC STRATEGIES FOR THE TOTAL SYNTHESIS OF *ENT*-KAURANOID AND DITERPENOID ALKALOID NATURAL PRODUCTS

Thesis by

Victor Wei-Dek Mak

In Partial Fulfillment of the Requirements

for the Degree of

Doctor of Philosophy

CALIFORNIA INSTITUTE OF TECHNOLOGY

Pasadena, California

2018

(Defended July 17, 2017)

© 2017

Victor Wei-Dek Mak

All Rights Reserved

To My Mother

ACKNOWLEDGEMENTS

First and foremost, I would like to thank my advisor, Professor Sarah Reisman, for her unwavering support during my time at Caltech. The past five years have been the most formative of my life. Early on, Sarah encouraged a decision to spend more time with my loved ones, and I'm a much better person for it. Undoubtedly, a delicate worklife balance has been crucial for maintaining my sanity, and I am deeply grateful to Sarah for providing flexibility and freedom during my times of need. At the same time, Sarah has always pushed and encouraged my work whenever I needed confidence and motivation. I cherish the time I've spent on research so much, and I am truly grateful for the opportunity to contribute to such unbelievably exciting projects in the Reisman laboratory.

I must also thank the members of my thesis committee, Professors Brian Stoltz, Greg Fu, and Linda Hsieh-Wilson, for always being incredibly positive and encouraging influences. In particular, Brian has been an especially friendly presence on the 3rd floor of Schlinger. I've learned so much from Brian during classes, group meetings, synthesis of the year meetings, as well as yearly committee meetings. For the rest of my career, I will remember his guidance, particularly to think deeply about the motivations behind research.

The scientific staff at Caltech has always been outstanding in their support of students and research. I'd like to specifically thank Dr. Scott Virgil for maintaining the Caltech 3CS facility, helping me with prep HPLC, and a high pressure hydrogenation

setup. I must also thank Dr. David VanderVelde for managing such an excellent NMR facility and helping me with NMR experiments throughout the years.

I thank all past and present members of the Reisman lab for making it such a dynamic and fun place to grow, both as a scientist and a person. In particular, it was an absolute pleasure to work with Dr. John Yeoman during my first year. I am truly grateful for his patience and guidance. I remember the first time I ran a SmI₂-mediated reductive cyclization and obtained a dismal 18% yield, John took the time to show me step-by-step how to properly setup the reaction, and then proceeded to watch me repeat the exact steps he'd just shown me. I must also thank Dr. Kangway Chuang, not just for his friendship, but also for his contributions to the diterpenoid alkaloids project. Either out of sheer brilliance or hard work, Kangway deeply understood the molecules I was working with, and provided guidance throughout. I recall our conversations about metaphotocycloadditions while walking to and from the basketball courts, and the eyeopening realization that it was not a bad idea after all. I will also especially point out Dr. Maddi Kieffer, for helping me so much through the years. Maddi helped me settle down in lab when I first joined, provided invaluable advice during tough times, always supported and encouraged and helped me build confidence, and provided more invaluable advice when I was trying to leave (*i.e.* job hunting). Lastly, I'd like to thank Alice Wong for her contributions to the diterpenoid alkaloids project during these last few years. It has been very rewarding to see Alice grow as a scientist, and I wouldn't trust anyone more with the fate of the talatisamine synthesis.

I would not have gotten through these past five years if not for those who dragged me out of the lab to do non-lab-related-things. It was incredibly fun playing music with Leah Cleary and Anton Dubrovskiy, who both bravely endured the smell of Cottie's accidents in my apartment during practices. Thank you to the friends who made basketball every week something to look forward to; thank you Kangway Chaung, Haoxuan Wang, Boger Liu, Guy Edouard, Beau Pritchett, Daisuke Saito, and other regulars I'm sure to have missed. Thank you to the Ultimate team who came out to run and throw discs around despite how poorly we'd play; thank you Nick Cowper, Kangway Chaung, Haoxuan Wang, Alice Wong, and Carson Matier.

I have come as far as I have because of the support from the terrific instructors and mentors during my early days as a researcher. The chemistry department at UCI was an extremely nurturing environment that fostered my passion for organic chemistry. I will point out Professors Scott Rychnovsky and Liz Jarvo for encouraging my pursuit of graduate school and inspiring me to become a better chemist. Most of all, I must thank my undergraduate advisor, Professor Ken Shea, for his mentorship and wisdom. His calm demeanor towards research and colleagues is something I have always tried to emulate. I must also express my deepest gratitude to Dr. Leah Cleary, who has contributed so much to my career as a scientist. Leah was always fun to work with, and has taught me probably 90% of the chemistry techniques I use on a daily basis. My fondest memories of my undergraduate career are the times I've spent in the Shea lab, where I'd learned to embrace the camaraderie between scientists.

I am extremely fortunate to have such a loving and supportive family that believes in me. My dad has sacrificed so much for me, and I do not take it for granted that I am able to work on something about which I am passionate. He has also been the one constant during the past few tumultuous years. I will also thank especially my aunt Lori, who after my mother's passing, has made every effort to ensure my well-being. I am grateful to my brother Jordan, who has always, without question or hesitation, helped me with anything I've ever needed help with. I am also grateful to my cousin Peter and his partner Rae, who have put in such effort to check up on me every few weeks. It is always an absolute joy to spend time with them, and I truly appreciate the love and support they've provided during graduate school. I will also thank Bruce Hsueh for his friendship, patience, and understanding, and also for always enthusiastically willing to spend time with me doing anything, whether it be playing videogames, watching TV, playing sports, or going out for food. Additionally, although he likely cannot comprehend, I will also thank my dog Cottie for preparing me to face each and every day and loving me regardless of how good or bad work is going.

Finally, I express my deepest gratitude to Karen for sharing her life with me. Karen is the one who brings value and meaning to everything I do, and she makes every sacrifice I've made worth it. Her admirable character often leaves me in awe, and always inspires me to better myself. Karen, thank you for spending every day with me, keeping me alive, growing with me, and sacrificing for me.

ABSTRACT

As part of an ongoing synthetic effort directed towards biologically active *ent*-kauranoid natural products, the preparation of two structurally unique natural products, (–)-trichorabdal A and (–)-longikaurin E, is presented. The syntheses intercept an early intermediate from the synthetic route towards the rearranged natural product (–)-maoecrystal Z, and thus, represents a unified synthetic strategy to access structurally unique *ent*-kauranoids. Specifically, the syntheses are enabled by a palladium-mediated oxidative cyclization of a silyl ketene acetal to install a key quaternary center within the bicyclo[3.2.1]octane unit, as well as a reductive cyclization of an aldehyde-lactone to construct the oxabicyclo[2.2.2]octane motif of (–)-longikaurin E.

A synthetic strategy to access C_{19} -diterpenoid alkaloids, specifically of the *aconitine* type, is presented. These highly bridged polycyclic natural products are generally characterized by a substituted piperidyl ring bridging a hydrindane framework that is further attached to a bicyclo[3.2.1]octane. The synthetic strategy relies on the enantioselective synthesis of two bicyclic fragments, which are coupled in a convergent fashion through a 1,2-addition/semipinacol rearrangement sequence to forge a sterically hindered quaternary center. Efficient access to late stage intermediates has enabled the synthesis of the aconitine carbocyclic core, with appropriate functionality for advancement to a selective voltage-gated K⁺ channel blocker, talatisamine. Additionally, the synthetic strategy described herein is well applicable to the synthesis of related *denudatine* and *napelline* type C_{20} -diterpenoid alkaloids.

PUBLISHED CONTENT AND CONTRIBUTIONS

Portions of the work described herein were disclosed in the following publications:

Yeoman, J. T. S.; Mak, V. W.; Reisman, S. E. *J. Am. Chem. Soc.* **2013**, *135*, 11764. **DOI:** 10.1021/ja406599a

Yeoman, J. T. S.; Cha, J. C.; Mak, V. W.; Reisman, S. E. *Tetrahedron* **2014**, *70*, 4070. **DOI:** 10.1016/j.tet.2014.03071

V.W.M. conducted experiments towards (–)-longikaurin E, and contributed to the preparation of the manuscripts and supporting information.

TABLE OF CONTENTS

CHAPTER 1
An Introduction to Ent-Kauranoids and Diterpenoid Alkaloids
1.1 INTRODUCTION1
1.2 TERPENE BIOSYNTHESIS2
1.3 OVERVIEW OF <i>ENT</i> -KAURANOIDS4
1.3.1 Structure and Biological Activity4
1.3.2 Ent-Kauranoid Biosynthesis5
1.4 OVERVIEW OF DITERPENOID ALKALOIDS
1.4.1 Isolation, Structure, and Biological Activity
1.4.2 Biosynthesis of Diterpenoid Alkaloids11
1.5 CONCLUDING REMARKS13
1.6 NOTES AND REFERENCES
CHAPTER 2 19
Total Syntheses of Ent-Kauranoids (–)-Trichorabdal A and (–)-Longikaruin E
2.1 INTRODUCTION
2.2 PREVIOUS AND CONCURRENT SYNTHETIC EFFORTS
2.2.1 Fujita's Relay Synthesis of Enmein20

2.2.2 Mander's Synthesis of 15-Desoxyeffusin21
2.2.3 Zhai's Total Synthesis of Sculponeatin N
2.3 SYNTHETIC APPROACH23
2.3.1 Total Synthesis of (–)-Maoecrystal Z23
2.3.2 Retrosynthesis of (–)-Trichorabdal A and (–)-Longikaurin E
2.4 FORWARD SYNTHETIC EFFORTS
2.4.1 Development of a Pd(II)-Mediated Oxidative Cyclization27
2.4.2 Total Synthesis of (–)-Trichorabdal A29
2.4.3 Total Synthesis of (–)-Longikaurin E
2.5 CONCLUDING REMARKS
2.6 EXPERIMENTAL SECTION
2.6.1 Materials and Methods
2.6.2 Preparative Procedures and Spectroscopic Data
2.7 NOTES AND REFERENCES

APPENDIX 1	67
Spectra Relevant to Chapter 2	

CHAPTER 3

114

xi

Synthetic Studies towards the C_{19} -Diterpenoid Alkaloid Talatisamine

3.1	TRODUCTION11	14	ŀ

3.2 STRUCTURAL AND BIOSYNTHETIC CONSIDERATIONS115
3.3 PRIOR TOTAL SYNTHESES
3.3.1 Wiesner's Synthesis of C_{19} - and C_{20} -Diterpenoid Alkaloids
3.3.2 Gin's Synthesis of Neofinaconitine122
3.3.3 Sarpong's Unified Strategy towards C_{20} -, C_{19} -, and C_{18} -Diterpenoid
Alkaloids126
3.3.4 Fukuyama's Synthesis of C_{19} - and C_{20} -Diterpenoid Alkaloids131
3.3.5 Summary of Previous Synthetic Strategies135
3.4 SYNTHETIC APPROACH TO DITERPENOID ALKALOIDS135
3.5 FORWARD SYNTHETIC EFFORTS138
3.5.1 Semipinacol Rearrangement Model Studies towards a Tetracyclic
Analogue138
3.5.2 Enantioselective Syntheses of Two Bicyclic Fragments
3.5.3 Convergent Fragment Coupling156
3.5.4 Assembly of the Carbocyclic Core of Talatisamine161
3.5.5 Endgame Efforts164
3.6 FUTURE DIRECTIONS169
3.7 CONCLUDING REMARKS
3.8 EXPERIMENTAL SECTION
3.8.1 Materials and Methods173
3.8.2 Preparative Procedures and Spectroscopic Data
3.9 NOTES AND REFERENCES

xii

APPENDIX 2	256
Spectra Relevant to Chapter 3	
	100
APPENDIX 3	400
X-Ray Crystallography Reports Relevant to Chapter .	3
ABOUT THE AUTHOR	435

xiii

LIST OF ABBREVIATIONS

$[\alpha]_D$	angle of optical rotation of plane-polarized light
Å	angstrom(s)
p-ABSA	para-acetamidobenzenesulfonyl azide
Ac	acetyl
acac	acetylacetonate
AIBN	azobisisobutyronitrile
aq	aqueous
Ar	aryl group
atm	atmosphere(s)
BINOL	1,1'-bi-2,2'-naphthol
bipy	2,2'-bipyridine
Bn	benzyl
Boc	tert-butoxycarbonyl
bp	boiling point
br	broad
Bu	butyl
<i>i</i> -Bu	iso-butyl
<i>n</i> -Bu	butyl or <i>norm</i> -butyl
<i>t</i> -Bu	<i>tert</i> -butyl
BQ	1,4-benzoquinone
Bz	benzoyl

c	concentration of sample for measurement of optical rotation
¹³ C	carbon-13 isotope
/C	supported on activated carbon charcoal
°C	degrees Celcius
calc'd	calculated
CAN	ceric ammonium nitrate
cat.	catalyst
Cbz	benzyloxycarbonyl
cf.	consult or compare to (Latin: confer)
cis	(zusammen) on the same side
cm^{-1}	wavenumber(s)
CoA	Coenzyme A
conc.	concentrated
conv.	conversion
Ср	cyclopentadienyl
CSA	camphor sulfonic acid
Су	cyclohexyl
Δ	heat or difference
δ	chemical shift in ppm
d	doublet
d	deutero or dextrorotatory
D	deuterium
dba	dibenzylideneacetone

DBU	1,8-diazabicyclo[5.4.0]undec-7-ene
DCE	1,2-dichloroethane
DDQ	2,3-dichloro-5,6-dicyano-1,4-benzoquinone
de novo	starting from the beginning; anew
DIPEA	N,N-diisopropylethylamine
DHQ	dihydroquinine
DHQD	dihydroquinidine
DIBAL	diisobutylaluminum hydride
DMAP	4-(dimethylamino)pyridine
DME	1,2-dimethoxyethane
DMEDA	N,N'-dimethylethylenediamine
DMF	N,N-dimethylformamide
DMPU	1,3-dimethyl-3,4,5,6-tetrahydro-2(1H)-pyrimidinone
DMSO	dimethylsulfoxide
dppe	1,2-bis(diphenylphosphino)ethane
dppf	1,1'-bis(diphenylphosphino)ferrocene
dr	diastereomeric ratio
ee	enantiomeric excess
Е	methyl carboxylate (CO2CH3)
E^+	electrophile
Ε	trans (entgegen) olefin geometry
EDCI	N-(3-dimethylaminopropyl)-N'-ethylcarbodiimide hydrochloride
<i>e.g.</i>	for example (Latin: exempli gratia)

EI	electron impact
ent	enantiomer of
epi	epimeric
equiv	equivalent(s)
ESI	electrospray ionization
Et	ethyl
et al.	and others (Latin: et alii)
FAB	fast atom bombardment
FTIR	fourier transform infrared spectroscopy
g	gram(s)
h	hour(s)
1H	proton
[H]	reduction
HDA	hetero-Diels-Alder
HFIP	hexafluoroisopropanol
HMBC	heteronuclear multiple-bond correlation spectroscopy
HMDS	hexamethyldisilazide
HMPA	hexamethylphosphoramide
hv	irradiation with light
HPLC	high performance liquid chromatography
HRMS	high resolution mass spectrometry
Hz	hertz
IC ₅₀	half maximal inhibitory concentration (50%)

<i>i.e</i> .	that is (Latin: <i>id est</i>)
iso	isomeric
in situ	in the reaction mixture
J	coupling constant in Hz
k	rate constant
kcal	kilocalorie(s)
kg	kilogram(s)
L	liter or neutral ligand
1	levorotatory
LA	Lewis acid
LC/MS	liquid chromatography-mass spectrometry
LDA	lithium diisopropylamide
m	multiplet or meter(s)
М	molar or molecular ion
т	meta
μ	micro
<i>m</i> -CPBA	meta-chloroperbenzoic acid
Me	methyl
mg	milligram(s)
MHz	megahertz
MIC	minimum inhibitory concentration
min	minute(s)
mL	milliliter(s)

MM	mixed method
mol	mole(s)
MOM	methoxymethyl
Ms	methanesulfonyl (mesyl)
MS	molecular sieves
MTT	3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide
m/z	mass-to-charge ratio
NBS	N-bromosuccinimide
nd	not determined
NHC	N-heterocyclic carbene
nm	nanometer(s)
nM	nanomolar
NMO	N-methylmorpholine N-oxide
NMR	nuclear magnetic resonance
NOE	nuclear Overhauser effect
NOESY	nuclear Overhauser enhancement spectroscopy
NPh	naphthyl
Nu	nucleophile
0	ortho
[0]	oxidation
Р	peak
р	para
PCC	pyridinium chlorochromate

PDC	pyridinium dichromate
Ph	phenyl
рН	hydrogen ion concentration in aqueous solution
PHAL	1,4-phthalazinediyl diether
PIFA	[bis(trifluoroacetoxy)iodo]benzene
Pin	pinacol
PivOH	pivalic acid
p <i>K</i> _a	acid dissociation constant
pm	picometer(s)
PMB	para-methoxybenzyl
ppm	parts per million
PPTS	pyridinium para-toluenesulfonate
Pr	propyl
<i>i</i> -Pr	<i>iso</i> propyl
<i>n</i> -Pr	propyl or <i>norm</i> -propyl
psi	pounds per square inch
ру	pyridine
PYR	2,5-diphenyl-4,6-pyrimidinediyl diether
q	quartet
QD	Quinidine
QN	Quinine
quant.	quantitative
R	generic (alkyl) group

RL	large group
R	rectus
RCM	ring-closing metathesis
recry.	recrystallization
ref	reference
Rf	retention factor
rgt.	reagent
rt	room temperature
S	singlet or seconds
sat.	saturated
t	triplet
TBAF	tetra- <i>n</i> -butylammonium fluoride
TBME	tert-butyl methyl ether
TBS	tert-butyldimethylsilyl
TC	thiophene-2-carboxylate
temp	temperature
Tf	trifluoromethanesulfonyl
TFA	trifluoroacetic acid
THF	tetrahydrofuran
TIPS	tri <i>iso</i> propylsilyl
TLC	thin layer chromatography
TMS	trimethylsilyl
TOF	time-of-flight

tol	tolyl
TPAP	tetrapropylammonium perruthenate
trans	on the opposite side
Ts	para-toluenesulfonyl (tosyl)
UV	ultraviolet
vide infra	see below
w/v	weight per volume
Х	anionic ligand or halide
XS	excess
Ζ	cis (zusammen) olefin geometry